

CR-05 - Goals and Outcomes

Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

This Consolidated Annual Performance and Evaluation Report (CAPER) is the first annual report of outcomes under the 2025-2029 Five-Year Consolidated Plan. Kentucky Housing Corporation (KHC), the allocating agency for HOME funds, worked diligently to meet deadlines to commit HOME funds. KHC continued producing and preserving affordable multifamily housing units this year, blending funds for the greatest impact. Homebuyer new construction and rehabilitation efforts continued to assist single family homebuyers. In addition, KHC utilized the Emergency Solutions Grants (ESG) to assist persons experiencing homelessness or at risk of becoming homeless, and HOME Tenant-Based Rental Assistance served households at or below 60% of Area Median Income. KHC also used HOPWA to assist income-eligible households where a member has HIV/AIDS with supportive services and housing subsidies.

In addition to the highlights specific to the five block grant programs covered by this report, efforts in other areas, including, but not limited to, were: the Family Self Sufficiency Program, Housing Counseling education for persons with limited English proficiency, and assisting families in danger of foreclosure due to financial hardship.

The table below includes projects and housing units completed during the program year, regardless of the year funding was allocated. Most projects are not completed the same year funding is allocated. The narrative following the table includes information on activities completed as well as those funded during the year. Please note that activities related to the COVID-19 response may not be reported in this CAPER due to the timing of the disbursement and expenditure of CARES and HOME-ARP funds.

Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee's program year goals.

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
CDBG Economic Development	Non-Housing Community Development	CDBG: \$	Businesses assisted	Businesses Assisted	26	3	11.54%	5	3	60.00%
CDBG Housing	Affordable Housing	CDBG: \$	Homeowner Housing Rehabilitated	Household Housing Unit	194	9	4.64%	38	9	23.68%
CDBG Public Facilities	Non-Housing Community Development	CDBG: \$	Other	Other	157680	12365	7.84%	7200	12365	171.74%
CDBG Public Improvement/Infrastructure	Non-Housing Community Development	CDBG: \$	Other	Other	0	0		0	0	
CDBG Services	Recovery Kentucky Services	CDBG: \$	Other	Other	5500	2255	41.00%	1100	2266	206.00%
Emergency Shelter	Homeless	ESG: \$	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	4250	526	12.38%	575	526	91.48%
Emergency Shelter	Homeless	ESG: \$	Homeless Person Overnight Shelter	Persons Assisted	21000	4040	19.24%	2800	4040	144.29%
Emergency Shelter	Homeless	ESG: \$	Overnight/Emergency Shelter/Transitional Housing Beds added	Beds	0	0		0	0	
Emergency Shelter	Homeless	ESG: \$	Homelessness Prevention	Persons Assisted	2500	47	1.88%			

HOME Homeowner Activities	Affordable Housing	HOME: \$	Homeowner Housing Added	Household Housing Unit	225	22	9.78%	45	22	48.89%
HOME Homeowner Activities	Affordable Housing	HOME: \$	Homeowner Housing Rehabilitated	Household Housing Unit	25	1	4.00%	5	3	60.00%
HOME Multifamily Activities	Affordable Housing	HOME: \$	Rental units constructed	Household Housing Unit	120	7	5.83%	24	7	29.17%
HOME Multifamily Activities	Affordable Housing	HOME: \$	Rental units rehabilitated	Household Housing Unit	100	0	0.00%	20	0	0.00%
HOME Rental Assistance	Affordable Housing	HOME: \$	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	1200	353	29.42%	400	353	88.25%
HOPWA Activities	Non-Homeless Special Needs	HOPWA: \$	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	175	67	38.29%	75	67	89.33%
HOPWA Activities	Non-Homeless Special Needs	HOPWA: \$	Other	Other	1800	568	31.56%	75	67	89.33%
Housing Trust Fund Multifamily Activities	Affordable Housing	HTF: \$	Rental units constructed	Household Housing Unit	0	0		0	0	
Housing Trust Fund Multifamily Activities	Affordable Housing	HTF: \$	Rental units rehabilitated	Household Housing Unit	120	0	0.00%			

Table 1 - Accomplishments – Program Year & Strategic Plan to Date

Assess how the jurisdiction's use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.

CDBG: CDBG Program priorities are unchanged from those established in the 2025 Consolidated Plan. Please note that actual program year outcomes depend on the number of specific program applications received during a program year. Public facilities/Infrastructure serving community development needs provide a wide variety of projects, such as water and sewer improvements, fire stations, senior citizen centers, and ambulance service buildings, among others. **(Public Facilities and Public Facilities/Infrastructure beneficiaries are combined in the table above as the PR83 does not list separate beneficiaries for each public facilities category.)** Public Facilities beneficiaries were lower than the annual expected count of 30,000 and reported 12,365 for the 2025 program year. The annual goal of DLG's Economic Development program is to assist 7 businesses a year. DLG assisted 3 businesses in 2025 – a decrease from 2024. Economic Development is always a high priority for the Department for Local Government. CDBG housing numbers increased in 2025 as 7 housing units were completed. The annual goal is 38. Public services numbers showed 2,266 persons assisted in 2025. There are 13 Recovery Kentucky substance abuse centers in the Commonwealth; all have waiting lists. CDBG accomplishments have been gaining ground since the pandemic. Hopefully, this trend will continue.

HOPWA: HOPWA TBRA goals were nearly met, and exceeded last year's actual number, during the program year. The availability of HOPWA-Competitive funding provides additional TBRA resources in addition to the Formula Grant addressed in the CAPER. All other HOPWA services goals were exceeded. HOPWA-funded agencies continue to be strong partners in our CoC and play a critical role in preventing homelessness among persons with HIV/AIDS.

ESG: Rapid Re-Housing goals were nearly met and Emergency Shelter goals were exceeded. Prevention goals were not met due to the limited amount of ESG formula funds, for which the Continuum of Care prioritize Emergency Shelter and Rapid Re-Housing activities. However, many homeless services providers used Emergency Rental Assistance 2 (ERA2) funding to support homelessness prevention services as well as rapid re-housing. Also, the ESG Street Outreach component assisted 66 individuals, which is not depicted in the chart above, and HOME-ARP funding provided 562 households with street outreach services. KHC's ERA2-funded homeless services program also served 550 households with Rapid

Re-Housing services.

Additional program notes on use of funds.

HOME: Single-family homebuyer production goals were not met largely due to the continued impact of the 2022 Southeastern Kentucky flood disaster, which impacted many of the Kentucky Balance of State non-profit affordable housing developers. Repeated flooding since 2022 in Eastern Kentucky has resulted in a challenge to find properties for new construction outside the flood plain. However, new higher ground communities, currently under development, will contribute to an increase in available properties outside of the flood plain for homebuyer new construction. They are also seeing significant increases in labor/contractor costs. In addition, the CHDOs are reporting that income thresholds continue to be challenging with construction costs and property values on the rise. HOME Tenant-Based Rental Assistance (TBRA) goals were exceeded. HOME multifamily activities were partially achieved, despite delays due to the government shutdown, increased construction costs and lack of other available gap funds. However, there are 25 HOME-assisted properties currently in some stage of development, but have not yet completed. HTF goals were not achieved this year although there are 11 HTF-assisted properties currently in some stage of development, but have not yet completed.

CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

	CDBG	HOME	HTF	HOPWA
White	51,288	67	204	83
Black or African American	2,234	7	150	25
Asian	81	0	1	0
American Indian or American Native	516	9	1	1
Native Hawaiian or Other Pacific Islander	17	0	0	0
Total	54,136	83	356	109
Hispanic	183	9	51	3
Not Hispanic	2	74	385	107

Describe the clients assisted (including the racial and/or ethnicity of clients assisted with ESG)

	HESG
American Indian, Alaska Native, or Indigenous	24
Asian or Asian American	18
Black, African American, or African	870
Hispanic/Latina/e/o	97
Middle Eastern or North African	6
Native Hawaiian or Pacific Islander	6
White	3,793
Multiracial	329
Client doesn't know	3
Client prefers not to answer	3
Data not collected	1
Total	5,150

Table 2 – Table of assistance to racial and ethnic populations by source of funds

Narrative

Data is derived from the CDBG and HOME PR-23 reports for completed units.

CDBG data containing additional breakdowns of race and income are available on these reports. The racial categories in this table are not the same as in the PR-23 report for all persons. The total number of

persons served is 54,976 including 1,356 persons not in the table above.

In the HOME category, the total number of persons served is 83, including Hispanic and Non-Hispanic populations.

HTF racial and ethnic data is derived from PR-110. The total number of persons served is 110.

HOPWA racial and ethnic data is derived from the HOPWA CAPER and is based on all individuals served with all HOPWA services including all household members Those individuals and beneficiaries depicted are those that received housing assistance. The total number of persons served is 436 including 29 persons not in the table above who selected Other multiracial.

ESG racial and ethnic data is derived from ESG CAPER report.

CR-15 - Resources and Investments 91.520(a)

Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	public - federal	26,355,588	20,555,193
HOME	public - federal	15,289,439	24,786,889
HOPWA	public - federal	14,426,262	1,295,840
ESG	public - federal	2,666,606	2,623,992
HTF	public - federal	3,134,373	6,011,294

Table 3 - Resources Made Available

Narrative

Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
Non-Entitlement Geographic Area	90	100	Other

Table 4 – Identify the geographic distribution and location of investments

Narrative

Collectively, all CDBG, and HOME funds were disbursed in all Congressional Districts. Total HOME funds expended include program income. Funds expended during the program year may be from more than one year's allocation of funds. ESG and HOPWA funds were made available in all Congressional Districts except Congressional District 3, which includes Louisville/Jefferson County. No new HOME funds were awarded to new multifamily projects in Congressional Districts 2, 3, 5, and 6 in plan year 2025. Two projects received 9% tax credit awards. No new HTF funds were awarded in 2025.

Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

DLG programs for public facilities, community projects, and economic development provide high priority rankings for projects with significant local match/leveraging components during the application review process. Public facility applications receive a priority I rank if the leveraged amount is a 1:1 match. Housing projects with 25% match receive a priority I rank. Economic Development projects do not have a percentage ranking guideline, but projects with the greatest local match are more likely to be funded. In 2024, Economic Development projects generated \$7,966,000. Public Facility projects generated \$4,504,640 and Community projects (6) generated \$1,389,607.

HUD does not require HOPWA sub-recipients to provide a match or leverage dollars. However, HOPWA sub-recipients provide leverage dollars each year in several categories that are further defined within the CAPER. This reporting year, HOPWA sub-recipients leveraged a total of \$810,236 from other federal, state, local, and private funding to help run their programs.

ESG requires each grantee to provide additional resources at a minimum equal to the amount of ESG funds awarded to the project/program. This results in expanding the ESG project/program by 100% and attracts an array of match and leverage resources. Grantees receive competitive scores based on the percentage amount above 100% provided by other cash and non-cash resources. In addition, ESG grantees are audited to ensure those percentages of other resources are fully committed to the project/program. The majority of ESG grantees are nonprofit entities and are very successful in attracting local and private funds in almost every instance. In addition to local and private funding, ESG grantees access resources such as United Way, VOWA, VOCA, CDBG, CSBG, Continuum of Care, HOME TBRA, AmeriCorps, value of shelter buildings, volunteer time, and in-kind services.

KHC limits HTF awards to projects with Low-Income Housing Tax Credits, which leverages private equity into the transaction. In addition, KHC generally requires projects seeking HTF funding to have project-based rental assistance in place.

HOME matching funds are detailed in the following table. KHC continues to record matching funds well above the annual requirement, resulting in a large carry-forward amount. HOME matching requirements were waived in 2025 to the Kentucky PJ due to "Fiscal Distress" as evaluated by HUD, posted in guidance, and integrated into the IDIS report PR-33.

Fiscal Year Summary – HOME Match	
1. Excess match from prior Federal fiscal year	141,585,582
2. Match contributed during current Federal fiscal year	1,295,883
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	142,881,465
4. Match liability for current Federal fiscal year	0
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	142,881,465

Table 5 – Fiscal Year Summary - HOME Match Report

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match
19606	01/22/2025	0	0	0	0	75,000	0	75,000
19618	04/09/2025	0	4,017	0	0	0	0	4,017
19852	06/16/2025	0	0	0	0	500	0	269,383
19995	10/14/2024	23,923	0	18,000	0	0	0	41,923
20033	07/22/2025	0	0	269,338	0	37,765	0	37,765
20053	03/24/2025	801,000	0	0	0	37,790	0	838,790
20114	08/18/2025	0	0	0	0	16,750	0	16,750
20117	08/04/2025	0	0	0	0	11,800	0	11,800

Table 6 – Match Contribution for the Federal Fiscal Year

HOME MBE/WBE report

Program Income – Enter the program amounts for the reporting period				
Balance on hand at begin-ning of reporting period \$	Amount received during reporting period \$	Total amount expended during reporting period \$	Amount expended for TBRA \$	Balance on hand at end of reporting period \$
7,465,033	1,684,476	2,041,486	84,222	7,108,022

Table 7 – Program Income

Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Contracts						
Dollar Amount	57,706,881	0	0	0	0	57,706,881
Number	17	0	0	0	0	17
Sub-Contracts						
Number	512	0	0	0	1	511
Dollar Amount	36,862,590	0	0	0	176,563	36,686,027
	Total	Women Business Enterprises	Male			
Contracts						
Dollar Amount	54,438,188	9,350	54,428,838			
Number	12	1	11			
Sub-Contracts						
Number	505	7	498			
Dollar Amount	36,817,209	245,562	36,571,647			

Table 8 - Minority Business and Women Business Enterprises

Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0

Table 9 – Minority Owners of Rental Property

Relocation and Real Property Acquisition – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition						
Parcels Acquired		5	5,145,800			
Businesses Displaced		0	0			
Nonprofit Organizations Displaced		0	0			
Households Temporarily Relocated, not Displaced		0	0			
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

Table 10 – Relocation and Real Property Acquisition

CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	0	4,566
Number of Non-Homeless households to be provided affordable housing units	0	378
Number of Special-Needs households to be provided affordable housing units	0	224
Total	0	5,168

Table 11 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	0	946
Number of households supported through The Production of New Units	0	144
Number of households supported through Rehab of Existing Units	0	3
Number of households supported through Acquisition of Existing Units	0	0
Total	0	1,093

Table 12 – Number of Households Supported

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

Please see CR-05 for a summary of obstacles in meeting goals and outcomes.

Discuss how these outcomes will impact future annual action plans.

Future action plan goals will be reviewed to ensure that expected outcomes that are reflected in the numbers established.

Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

Number of Households Served	CDBG Actual	HOME Actual	HTF Actual
Extremely Low-income	6,504	26	109
Low-income	2,680	30	
Moderate-income	11,835	27	
Total	21,019	83	

Table 13 – Number of Households Served

Narrative Information

Please note: PR-23 notes, in the CDBG actual accomplishment section of the report, 25 households. All housing activities must be 100% LMI.

The data source for CDBG and HOME in Table 13 is PR-83 and PR-23. The data for HTF in Table 13 is PR-110, and there is one household in the Low-income category that is not reflected on the table above. For additional details, please see attached PR-23, PR-83 and PR-110.

KHC's PHA dept. serves the full 118-county Balance of State (BoS) geography in partnership with the CoC for its Mainstream Voucher, Emergency Housing Voucher (EHV), and soon-to-be-launched Stability Voucher programs targeting those experiencing homelessness with severe service needs, including those with histories of unsheltered homelessness and those fleeing or attempting to flee domestic violence, sexual assault, dating violence, stalking, or human trafficking. In 2022, KHC launched the Housing Connector Team (HCT) to provide housing navigation services for specialized voucher holders experiencing homelessness. The HCT consists of a supervisor and 5 regionally located Connectors who provide direct housing navigation services to clients referred to or enrolled in a specialized voucher program connecting vulnerable households experiencing homelessness with high-service needs, including physical, mental health, and developmental disabilities to specialized vouchers while providing supportive services and service coordination. The HCT serves eligible EHV/Mainstream Voucher/Stability Voucher households (experiencing Category 1,2&4 of HUD Homeless Definition and those who are non-elderly with disabilities) prioritized by the KY BoS CoC Coordinated Entry System (CES). As of June 30, 2026 The HCT has assisted 2,167 households using Mainstream, Emergency Housing Vouchers, and Stability Vouchers since program launch and also assisted 216 of those households with voucher recertifications. Services include: client-driven unit identification, landlord outreach/negotiation, voucher-application assistance, housing search/placement services, and connection to mainstream benefits. HCT members provide housing search support and systems connection to tenants, landlords, homeless services providers, and the CES to expedite housing search, increase landlord engagement/retention, facilitate lease-up, and increase housing stabilization for voucher holders. The HCT provides transportation assistance to those experiencing homelessness for purposes of housing search, unit viewings, and meetings with prospective landlords. Members are SOAR trained and have been trained in homeless service best practices (Trauma Informed Care, Impactful Rural Outreach, Harm

Reduction, and Motivational Interviewing) and also serve as mobile connectors to Medicaid benefits. KHC successfully applied for HUD “Special NOFO” funding to continue HCT services in 99 rural counties and will directly subsidize operations in the remaining 19 urban BoS counties once pandemic-era funding for this project is exhausted. The HCT fills gaps between the CoC and the PHA, guaranteeing people experiencing homelessness with the highest service needs have direct housing navigation supports in locating and obtaining permanent housing, ending their homelessness as quickly as possible and reducing the overall time spent homeless.

Also, the KHC Affordable Housing Trust Fund (AHTF) Home Repair program, implemented by nonprofit community-based housing developers, brings substandard owner-occupied homes up to KHC’s Minimum Habitability Standards (see p. 7 of https://kyhmis.zendesk.com/hc/en-us/article_attachments/20348885843483). The Home Repair program can also provide accessibility modifications to households where one or more members are persons with a disability.

CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)

Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The Kentucky Balance of State Continuum of Care (CoC) continues to carefully design its homeless response system to better respond to the individual needs of people experiencing homelessness so that the best resource can be used for the specific situation as often as possible. During the pandemic, KHC allocated approximately \$1M in CARES Act ESG-CV funding to significantly expand ESG Street Outreach activity. Previously, less than \$100,000 of ESG had been used for Street Outreach annually. This has allowed partner agencies to hire staff specifically to engage people who are unsheltered. The CoC continues to work closely with the Veterans Administration (VA) and the Kentucky Department of Behavioral Health on their outreach programs. KHC has hosted various training to improve provider knowledge and effectiveness. As part of the KY BoS CoC Coordinated Entry System, all ESG-funded emergency shelters and street outreach programs, as well as all ERA-2 funded housing programs, were required to use the VI-SPDAT triage tool as part of the Coordinated Entry process to assess the individual housing needs of persons entering their shelters or staying on the streets. This allows projects to identify participants with the highest needs and longest amount of time homeless and directs them to the appropriate housing resources. In 2020, KHC revised its Coordinated Entry prioritization criteria to take into consideration communicable disease risk factors. In 2024, KHC further updated its prioritization criteria to address unsheltered homelessness, given CoC-wide street outreach capacity growth and momentum. In addition, KHC and OrgCode have trained providers to utilize the Full SPDAT, which is a comprehensive needs assessment case management tool that allows case managers to better assist participants in a manner that is responsive to their unique circumstances. Additionally, in an effort to continue strides made under the ESG-CV allocation that was fully expended during FFY23 the Kentucky Balance of State CoC has been awarded 9 regional Street Outreach projects under the CoC Supplemental NOFO to Address Unsheltered and Rural Homelessness and KHC has awarded HOME-ARP Street Outreach funds to regions where ESG-CV funding has ended and no SNOFO Street Outreach funding exists to ensure 100% CoC coverage of street outreach services for the next 3 years.

Addressing the emergency shelter and transitional housing needs of homeless persons

KHC continues to allow a significant portion of the annual ESG allocation to be requested and used for the Emergency Shelter Component. While permanent housing is the ultimate goal when assisting persons experiencing homeless in the Balance of State CoC, providing resources that allow service providers to address the immediate, critical needs of participants (e.g., getting people off the streets or offering safe housing for persons fleeing domestic violence) first is a critical component of Kentucky's homeless response system when a permanent housing option is not immediately available. When participants enter the emergency shelter, they are quickly assessed using the VI-SPDAT Triage Tool and

Full SPDAT assessment tool in order to develop a housing plan. Even if a client is enrolled quickly into RRH, it still can take time to locate a suitable permanent housing destination, especially for areas with significant shortages of affordable housing options. Emergency Shelters engage clients in supportive services while housing is secured. The alternative would be for persons experiencing homelessness to wait for housing while living on the streets or possibly returning to unsafe situations. In addition, emergency shelters work with clients to solve their own homeless situations if possible, which allow RRH and PSH resources to be reserved for higher need households. Through the Youth Homelessness Demonstration Program (YHDP), partners in Southeastern KY created “crisis housing” for youth under the age of 25. The projects are funded through the TH component of the CoC program, but unlike traditional transitional housing that can last up to 24 months, the aim of the youth crisis housing is to be short-term while a permanent solution can be identified. Also, through the YHDP, the partners have created “crisis host homes” where youth can stay with a family while they are looking for permanent housing. This is especially helpful in areas where physical shelters do not exist and for minors who are not allowed to go to adult shelters. Through the 2017, 2018, 2019, and 2020 CoC competitions, the KY BoS CoC was awarded several Joint TH-RRH projects, that have further increased our availability of crisis housing options. This includes one large project funded through the DV Bonus competition that has been expanded twice.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

Numerous ESG- and CoC-funded projects are part of local homeless coalitions within their local service areas. The coalitions include representatives from housing providers, jails, law enforcement, mental health providers, schools, etc. Agencies report utilizing coalitions to develop strong partnerships to divert persons from homelessness through deliberate and proactive coordination. For example, many projects work with local agencies (both private and public) which have prevention assistance programs, e.g., financial assistance for delinquent rent and utilities and advocacy for landlord and rental lease issues. The CoC collaborates with state agencies, including the Kentucky Cabinet for Health and Family Services, to implement discharge planning for youth who are aging out of foster care. KHC, as the PHA for 87 counties, partnered with the Department for Community Based Services (DCBS) to administer Family Unification Program (FUP) vouchers. These vouchers are used for families with children for whom lack of adequate housing is the primary factor where a child cannot yet rejoin the parent or are about to be separated from the parent and for youth who are at least 18 years old, and not more than 24 years of age, and who has left foster care, or will leave foster care within 180 days, in accordance with a transition plan, or is at risk of becoming homeless at age 16 or older. KHC also applied for and received Mainstream Vouchers, which are used for non-elderly disabled households, which includes those exiting institutions. Kentucky participates in Medicaid and Medicare programs that require health care facilities

and providers to adhere to all applicable standards of care, including discharge. KHC was also awarded 257 Emergency Housing Vouchers to serve those experiencing or at risk of homelessness however funding for this program will end December 31, 2026. KHC had prioritized these vouchers for persons exiting homelessness who only have time-limited housing subsidies, such as Rapid Re-Housing. In addition, Kentucky has an established policy that prevents individuals with serious mental illnesses from being discharged into homelessness. Such individuals are required to be discharged into permanent, community-based housing. If housing cannot be secured through a family member or other housing provider, Olmstead programs can be utilized to house individuals exiting mental health hospitals. The Kentucky Department of Corrections (DOC) has established discharge policies that seek to assist offenders secure housing prior to release. The DOC often partners with halfway houses or substance abuse recovery programs to assist in the reentry process. KHC also administers a program called Protect My Kentucky Home, which connects distressed Kentucky homeowners with resources such as housing counselors and legal aid. The intention is to prevent homeowners from losing their homes and becoming homeless.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

ESG funding is prioritized for RRH programs. RRH funds provide services, help locate and secure suitable housing and can be used to provide ongoing case management for a period of time after the participant is no longer receiving rental assistance through the program. Such assistance helps participants transition more quickly into permanent housing and with more tools that can positively contribute to a household's ability to stay housed. Increasing a household's income is a critical element in successfully transitioning persons into permanent housing and keeping them there as well as connecting participants with mainstream resources, including job training, education, and mainstream benefits. This information, along with other performance indicators, continues to be used by the KY BoS CoC to track the performance of the overall homeless service system and the progress of individual projects receiving federal funding to assist persons experiencing homelessness. Beginning with the 2019 ESG annual competition, KHC implemented a policy where all RRH participants, including those that receive only deposit assistance or first month's rent must be offered at least up to 90 days of housing stability case management. Additionally, since 2019 KHC requires ESG and CoC RRH recipients to implement the National Alliance on Ending Homelessness National RRH Core Components, which stresses case management assistance in obtaining and maintaining housing. Through CARES Act ESG-CV funding, KHC awarded over \$15M in RRH. For the first time, the KY BoS CoC had full RRH coverage across its 118-county region. In an effort to continue this ESG-CV built RRH capacity across the CoC, KHC created an ERA2-funded homeless services program that invested nearly \$19M of funds for RRH and prevention assistance through fall 2025. Additionally, through the SNOFO competition, the KY BoS was awarded

additional regional RRH projects eligible for renewal in the annual CoC competition. Through Coordinated Entry, households with the highest level of need, including those who are chronically homeless, considered tri-morbid and/or have high risk for contracting COVID-19/infectious disease are prioritized for housing resources. Additionally, KHC (257 vouchers) and 7 other housing authorities (105 vouchers) serving the Kentucky Balance of State were awarded 326 Emergency Housing Vouchers, which assist households experiencing or at risk of homelessness. The Kentucky Balance of State Continuum of Care refers households to the PHAs for those vouchers. Lastly, in the spring of 2023, KHC was awarded 25 HCV Stability Vouchers, targeting those experiencing homelessness, while 3 other PHAs in the KY Balance of State CoC each received 5 SVss each.

CR-30 - Public Housing 91.220(h); 91.320(j)

Actions taken to address the needs of public housing

Although KHC is the statewide PHA (in jurisdictions with no local PHA), KHC does not administer public housing units of its own.

Actions taken to encourage public housing residents to become more involved in management and participate in homeownership

Although public housing is primarily administered at the local and not the state level, public housing undertook efforts to encourage and assist the population it serves to obtain economic self-sufficiency. Local PHAs provide housing for over 33,523 families statewide.

KHC's Family Self-Sufficiency (FSS) Program provides supportive services to participants who possess a housing choice voucher under KHC's jurisdiction. FSS participants must sign a five-year contract of participation. The goal of the FSS program is to empower participants in becoming more economically self-sufficient and less reliant upon government assistance, including public housing. FSS participants receive employment skills training, budget and credit counseling, money management tips. KHC establishes an escrow account with monthly deposits based on the participant's increased earnings. FSS graduates are encouraged to use the escrow funds as a down payment on a home, but it is not mandatory. As of June 30, 2026, 81 families participate in FSS, and 53 participants have funds in escrow. Since the program started, 461 families completed the program.

NeighborWorks® America's curriculum called Realizing the American Dream is available in multiple languages. Anyone, including people currently living in rental or public housing, can access the program. Realizing the American Dream (pre-purchase counseling) and Keeping the American Dream (post-purchase counseling) provides user-friendly training tools for homeownership education classes and is available in English, Spanish, Mandarin Chinese, and Vietnamese. Voucher Programs allow families housed by local housing authorities and who have the knowledge and qualifications access KHC's single-family loan programs. In addition, KHC established a homeownership voucher program that allows qualifying families to use their Housing Choice Voucher for homeownership. Currently, there are 25 families utilizing a voucher for homeownership.

Actions taken to provide assistance to troubled PHAs

HUD did not notify KHC and DLG of any troubled PHAs needing assistance during this fiscal year.

CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)

Both KHC and the DLG work to overcome obstacles where influence is possible. Many of the public policies that serve as barriers to affordable housing are adopted at the local level.

Duty to Serve Initiative: On the state level, during this fiscal year, existing initiatives, as well as new initiatives, work to ameliorate the effects of public policies that have negative effects on both the production of affordable housing and preventing persons from having access to affordable housing. Fannie Mae and Freddie Mac have a Duty to Serve initiative that KHC offers as a part of its conventional loan offering.

The Recovery Kentucky program is very successful in removing unintentional compartmentalization between state agencies that prevent persons with addiction to access help and stops the cycle of repeated incarceration. Currently, 14 centers actively assist individuals. An estimated 2,500 men and women enter the centers annually.

The Kentucky Infrastructure Authority enacted a Water and Waste-Water Plan. The plan identifies problem areas and populations in need of infrastructure, thus keeping water and sewer rates at a minimum for low-income families.

Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)

The lack of a sufficient supply of affordable housing remains the greatest need. Access is especially difficult for individuals and families in the lowest income brackets, those with special needs, those with limited English proficiency, and the homeless or precariously housed.

Recovery Kentucky, a partnership between KHC, DLG, and the Department of Corrections, continues to serve persons who are addicted to alcohol and/or drugs. Some are homeless, at risk of homelessness, or incarcerated. Activities under this program directly address goals in the state's plan to end chronic homelessness. There are now 13 Recovery Kentucky Centers. Each center can provide beds to assist 100 clients at a time. DLG and KHC support projects that preserve affordable housing that is in danger of being lost from the already short supply. KHC's focus on housing preservation continues with the funding of 8 rental rehabilitation projects, totaling 950 units.

In the last several years, KHC worked to expand its homeownership counseling services and outreach efforts. Efforts include providing language access through translation and interpretation when it is needed to its Limited English Proficiency customers. As part of its Language Access Plan update, KHC

conducted in 2023, an internal survey using the Four Factor Analysis methods to determine the second most utilized language besides English. Spanish remains the second most frequently spoken language. Hence, KHC ensures that educational, programs and public notices are made available in Spanish to allow qualified homebuyers and other customers to have real-time access to KHC's programs. Another partnership with different cities like Bowling Green Lexington, Louisville and expanded the outreach to other LEP communities (these individuals often come from Congo, Rwanda, Haiti, Cameroon, Cuba, Honduras, Guatemala, Vietnam, Myanmar, Thailand, Nepal, Ethiopia, etc.).

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

DLG follows lead-based paint abatement guidelines during all homeowner rehabilitation activities.

KHC fully implements the lead-based paint requirements located in 24 CFR Part 35. Included are activities related to abatement, interim controls or standard practices, lead safe work practices, visual assessment/paint stabilization, and other actions required by local/state codes. KHC continues to educate and train housing providers throughout the state. Basic information is given out at implementation and application trainings. KHC promotes free training when available and maintains a section on KHC's website where interested persons can find links to important sources of information. The section entitled "Protect Your Family from Lead in Your Home" can be found at <https://www.kyhousing.org/programs/landlords-and-property-managers>. KHC provides technical assistance regarding the rules and regulations in 24 CFR Part 35 when necessary and/or requested.

About 6,000 Housing Quality Standards (HQS) and NSPIRE-V inspections and construction-related inspections performed by KHC during this program year included a review of lead-based paint status. A visual assessment is performed, and if lead-based paint is suspected, the field inspector requires that the property owner hire a certified lead risk assessor and that they provide a clearance examination before the housing unit can pass the inspection.

KHC requires grantees certify that they are aware of the Environmental Protection Agency's 2008 Lead-Based Paint Renovation, Repair and Painting (RRP) Rule that became effective April 22, 2010.

KHC's HOME program's lead-safe housing activities during this fiscal year included 1 abatement, 3 interim controls or standard practices, 17 lead safe work practices, 20 visual assessment/paint stabilization, and 2 other actions required by local/state. KHC's HOPWA program's lead-safe housing activities included 56 risk assessments, 21 lead safe work practices, 355 visual assessments, and 30 paint stabilization. KHC's HTF program's lead-safe housing activities during this fiscal year included 327 abatements, 32 interim controls or standard practices, 75 lead safe work practices, and 5 visual assessment/paint stabilization. This information is derived from PR-89 for HOME, HOPWA, and HTF.

Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)

Reduction in the number of poverty-level families continues through the allocation of resources

available to the state as outlined throughout the Consolidated Plan. Activities during this program year included:

- Assets directed toward self-sufficiency programs.
- Funding for first-time homebuyers.
- Pre- and post-purchase housing counseling.
- Housing Choice Vouchers utilized for homeownership.
- Non-traditional economic development projects that provide job training and job opportunities.
- Microenterprise programs that provide training and assistance for persons to start their own small businesses.
- The Tax Credit Qualified Allocation Plan (QAP) provides incentives for de-concentration of poverty, locating projects in census tracts with lower poverty rates.

Actions taken to develop institutional structure. 91.220(k); 91.320(j)

Kentucky Housing Corporation (KHC) and the Department for Local Government (DLG) administer Kentucky's block grant programs for housing and community development at the state level. Although they are separate entities, KHC and DLG work together to ensure that funding allocated by each agency meets the goals of the Consolidated Plan and Annual Action Plan. Statewide affordable housing and community development activities depend on a large network of housing developers, community housing development organizations (CHDOs), local lenders, housing authorities, community action agencies, nonprofit organizations, housing and service nonprofits, other state agencies, and local governments throughout the state. KHC and DLG also undertake activities utilizing interagency councils, the Continuum of Care, Housing Policy Advisory Committee, and other formal groups.

KHC's Board of Directors includes representatives from other state agencies and cabinets as well as private members representing lenders, builders, realtors, other housing industry professionals, and the general public. DLG is represented on KHC's board. This year, as in prior years, the Board of Directors approves KHC's annual funding allocation plan, approves multifamily and single-family bond transactions, and oversees general business strategy decisions. As it does each year, DLG presented the CDBG annual plan to the state legislature prior to it being included in the Annual Action Plan.

DLG, KHC, and the Kentucky Justice Cabinet also continued its collaboration on the Recovery Kentucky initiative.

In addition, the following continued in FY2022: Public facilities projects are funded through CDBG and coordinated between DLG, The Kentucky Infrastructure Authority, Rural Development, Appalachia Regional Commission (ARC), and the Kentucky Division of Water.

The Kentucky Interagency Council on Homelessness continues to work toward ending homelessness under My New Kentucky Home: Update of Kentucky's Strategic Plan to End Homelessness (Nov. 2018)

The Affordable Housing Trust Fund Advisory Committee continues to be an invaluable resource in the development of affordable housing. This group of internal and external parties meets quarterly. Advising on program policy is one of their responsibilities.

The statewide Housing Policy Advisory Committee's goals and objectives include the coordination of housing activities and services among state departments and agencies, the removal of regulatory and administrative barriers, and encouraging and strengthening collaborative planning and partnerships.

The annual Point-in-Time Count of persons experiencing homelessness is led by KHC and the Kentucky Balance of State CoC, with the active participation of numerous agencies and volunteers statewide.

Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)

The Recovery Kentucky program model helps the recovering individual regain a life of sobriety and to begin a journey toward permanent housing and self-sufficiency. KHC provided assistance for the construction of the facilities and DLG provides ongoing operating assistance.

KHC's FSS Program Coordinating Committee (PCC) is utilized to coordinate local service providers who would be beneficial to the FSS participants' needs. Members are representatives from state, local, public, and private groups who have resources to assist low-income families and have a commitment to family self-sufficiency. KHC's FSS program successfully graduated many households, some with substantial escrow accounts.

KHC hosts discussions surrounding the development of the tax credit Qualified Allocation Plan, which brings the public/private partnership into practice to ensure that the best decisions are made regarding the allocation of limited funding for the best impact toward the goal of creating new and preserving existing affordable multifamily units.

KHC, as the collaborative applicant for the Kentucky Balance of State Continuum of Care, has built a strong partnership with the Kentucky Department for Medicaid Services and the Cabinet for Health and Family Services Department for Behavioral Health, Developmental and Intellectual Disabilities Following completion of the Centers for Medicaid and Medicare Services (CMS) Advancing Housing Related Supports and Activities for Individuals with Substance Use Disorders (SUD) State Medicaid Learning Collaborative, this collaboration continues to focus on identifying and implementing resources and policies to increase the availability of housing supports for persons with severe mental illness (SMI) and SUD, including those experiencing homelessness. In 2025, CMS approved the Department for Medicaid services application for a 1915i State Plan Amendment to provide additional supports to persons with SMI, including those with co-occurring SUD. Among these new benefits are tenancy support services that should enhance the capacity of CoC PSH providers to provide supportive services once fully launched. In early 2026, KHC, in partnership with the Corporation for Supportive Housing, offered a Medicaid Academy to help homeless services providers across the state learn how to provide

and be reimbursed for benefits available under the new State Plan Amendment.

Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)

The state's Analysis of Impediments to Fair Housing was completed in 2025 and remains the guiding document for KHC in affirmatively furthering fair housing. The AI is posted on KHC's webpage at <https://www.kyhousing.org/Legal/Pages/Fair-Housing.aspx>. KHC follows a process for issuing Certifications of Consistency with the state's Consolidated Plan for PHAs in response to HUD's requirement that the certification state how the PHAs' plans or actions are consistent with the Consolidated Plan and Analysis of Impediments to Fair Housing. PHAs specify how their plans are consistent in a certification to KHC. All PHAs that request a certification of their PHA Plan indicate they will assist the Fair Housing Task Force with implementing solutions to impediments. Please see the attachment labeled "AI Appendix" in the CR-00 Administration Section for this CAPER for additional information.

CR-40 - Monitoring 91.220 and 91.230

Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

Citizen Participation Plan 91.105(d); 91.115(d)

Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.

This CAPER and its supporting reports were made available for written public comment from August 31, 2026, to September 18, 2026. The CAPER was posted on KHC's website at <https://www.kyhousing.org/page/consolidated-and-action-plans-caper>. Advertisements appeared in the Louisville Courier-Journal, Lexington Herald-Leader, and via KHC's eGram. A Spanish version of the advertisement appeared in the Spanish language publication Al Dia. For copies of the public notices and affidavits of publication, please see the "Citizen Participation" attachment in CR-00. No comments were received during the public comment period for plan year 2025.

CR-45 - CDBG 91.520(c)

Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

There are no substantial changes in program objectives.

Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?

No

[BEDI grantees] Describe accomplishments and program outcomes during the last year.

CR-50 - HOME 24 CFR 91.520(d)

Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations

Please list those projects that should have been inspected on-site this program year based upon the schedule in 24 CFR §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 24 CFR 91.520(e) and 24 CFR 92.351(a)

KHC adopted and follows affirmative marketing procedures and requirements for rental and homebuyer projects and requires sub-recipients to do the same. Affirmative marketing requirements and procedures also apply to all HOME-funded programs, including, but not limited to, TBRA and down payment assistance programs. Affirmative marketing steps consist of actions to provide information and otherwise attract eligible persons in the housing market area to the available housing without regard to race, color, national origin, sex, age, religion, marital status, familial status, disability, and actual or perceived sexual preference or gender identity. If KHC's written agreement with the project owner permits the rental housing project to limit tenant eligibility or to have a tenant preference in accordance with §92.253(d)(3), KHC has affirmative marketing procedures and requirements that apply in the context of the limited/preferred tenant eligibility for the project. Sub-recipient affirmative marketing plans are submitted to KHC's legal team for review. Because Kentucky's HOME program serves mostly rural communities, where the minority population is generally lower as compared to the White demographic, the level of minority participation is expected to be lower. However, when looking at the racial breakdown of Kentucky as a whole, where the minority population comprises roughly 10% of the total population, it appears that the HOME program's affirmative marketing efforts have been successful in ensuring that minority populations are fairly served by the HOME program.

Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics

\$0 in HOME PI funds were awarded in KHC FY2026. This funded 0 new construction family units. In a typical year, some projects serve more than one type of population.

Describe other actions taken to foster and maintain affordable housing. 24 CFR 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 24 CFR 91.320(j)

KHC is the state's allocating agency for the Low-Income Housing Tax Credit Program, as well as the administrator of other sources of funding for multifamily projects. During this past fiscal year, projects allocated funding under the tax credit program also utilized blended funding including HOME, Housing Bonds, state Affordable Housing Trust Fund dollars, National Housing Trust Fund (HTF), as well as funding under the Housing Assistance Fund. KHC issues notices of funding availability for multifamily housing that combine various sources of funding for this activity into single applications. KHC worked toward a strategy to preserve as many affordable housing units as possible. By pooling smaller projects into a combined transaction, KHC was able to utilize bond funding blended with these other funding sources. KHC administers sources of funding that may be used for the rehabilitation of homeowner-occupied housing as well as weatherization. In the new 2020-2024 Consolidated Plan, KHC detailed information on the need and plan for the preservation of affordable housing.

Funding Type	Project Name	Location	Review Date	Date of Monitoring Review Results Letter	Monitoring Resulted in Non-Compliance (Y/N) (Findings or Concerns)	Description of Non-compliance (example, eligibility, legal doc. issues, ineligible expense, inspection, recert, lack of income docs)	Date of Monitoring Close-Out Letter	Review Final Results: Non-compliance Corrected (Y/N)	Review Final Results: Closed with Open Non-compliance
HOME TBRA	LiWell	McCracken	8/6/2025	8/11/2025	Y	Lack of income docs, student status verification, incorrect utility chart	10/3/2025	Y	NA
HOME TBRA	ZeroV	Franklin	9/15/2025	9/16/2025	Y	incorrect UA chart utilized	10/8/2025	Y	NA
HOME TBRA	KCEOC	Knox	9/22/2025	9/29/2025	N	NA	9/29/2025	NA	NA
HOME TBRA	DBCAA	Clay	9/23/2025	10/2/2025	N	NA	10/2/2025	NA	NA
HOME TBRA	KRCC	Breathitt	10/2/2025	10/3/2025	N	NA	10/3/2025	NA	NA
HOME TBRA	Clark County Community Services	Clark	3/17/2026	3/20/2026	N	NA	3/20/2026	NA	NA
HOME TBRA	Clark County Homeless Coalition	Clark	3/18/2026	3/20/2026	N	NA	3/20/2026	NA	NA
HOME TBRA	Clark County Homeless Coalition	Clark	3/18/2026	3/20/2026	N	NA	3/20/2026	NA	NA
HOME TBRA	Clark County Homeless Coalition	Clark	3/18/2026	3/20/2026	N	NA	3/20/2026	NA	NA
HOME TBRA	Henderson Addiction Recovery	Henderson	4/14/2026	4/27/2026	N	NA	4/27/2026	NA	NA
HOME TBRA	City of Georgetown	Scott	7/20/2026	7/28/2026	N	NA	7/28/2026	NA	NA
HOME TBRA	Matthew 25	Henderson	11/7/2025	12/10/2025	N	NA	2/3/2026	NA	NA
HOME TBRA	Matthew 25	Henderson	11/7/2025	12/10/2025	N	NA	2/3/2026	NA	NA
HOME	Live the Dream	Warren	9/18/2025	9/29/2025	N	NA	10/20/2025	NA	NA
HOME	HFH Pennyrile	Hopkins	9/16/2025	9/18/2025	N	NA	9/18/2025	NA	NA
HOME	KCEOC	Knox	11/3/2025	11/10/2025	N	NA	11/10/2025	NA	NA
HOME	Beattyville Housing	Lee	11/12/2025	11/21/2025	N	NA	11/21/2025	NA	NA
HOME	KY Mtn Housing	Clay	12/3/2025	12/9/2025	N	NA	12/9/2025	NA	NA
HOME	Frontier Housing	Rowan	1/21/2026	1/29/2026	N	NA	1/29/2026	NA	NA
HOME	HFH Henderson	Henderson	4/15/2026	4/23/2026	N	NA	4/23/2026	NA	NA
ESG	Community Assistance Referral Service	Boyd	8/18/2025	8/22/2025	N	NA	8/22/2025	NA	NA
ESG	Community Outreach	Monroe	10/1/2025	10/20/2025	Y	ineligible expenses, COI, incomplete documentation	3/5/2026	Y	NA
ESG	Daniel Pitino Shelter	Davies	10/6/2025	10/27/2025	Y	Shelter inspection	12/4/2025	Y	NA

CDBG Monitoring 1

Funding Type	Project Name	Location	Review Date	Date of Monitoring Review Results Letter	Monitoring Resulted in Non-Compliance (Y/N) (Findings or Concerns)	Description of Non-compliance (example: eligibility, legal doc. issues, ineligible expense, inspection recert, lack of income docs)	Date of Monitoring Close-Out Letter	Review Final Results: Non-compliance Corrected (Y/N)	Review Final Results: Closed with Open Non-compliance
HOME TBRA	LiWell	McCracken	8/6/2025	8/11/2025	Y	Lack of income docs, student status verification, incorrect utility chart.	10/3/2025	Y	NA
HOME TBRA	ZeroV	Franklin	9/15/2025	9/16/2025	Y	incorrect UA chart utilized	10/8/2025	Y	NA
HOME TBRA	KCEOC	Knox	9/22/2025	9/29/2025	N	NA	9/29/2025	NA	NA
HOME TBRA	DBCAA	Clay	9/23/2025	10/2/2025	N	NA	10/2/2025	NA	NA
HOME TBRA	KRCC	Breathitt	10/2/2025	10/3/2025	N	NA	10/3/2025	NA	NA
HOME TBRA	Clark County Community Services	Clark	3/17/2026	3/20/2026	N	NA	3/20/2026	NA	NA
HOME TBRA	Clark County Homeless Coalition	Clark	3/18/2026	3/20/2026	N	NA	3/20/2026	NA	NA
HOME TBRA	Clark County Homeless Coalition	Clark	3/18/2026	3/20/2026	N	NA	3/20/2026	NA	NA
HOME TBRA	Clark County Homeless Coalition	Clark	3/18/2026	3/20/2026	N	NA	3/20/2026	NA	NA
HOME TBRA	Clark County Homeless Coalition	Clark	3/18/2026	3/20/2026	N	NA	3/20/2026	NA	NA
HOME TBRA	Henderson Addiction Recovery	Henderson	4/14/2026	4/27/2026	N	NA	4/27/2026	NA	NA
HOME TBRA	City of Georgetown	Scott	7/20/2026	7/28/2026	N	NA	7/28/2026	NA	NA
HOME TBRA	Matthew 25	Henderson	11/7/2025	12/10/2025	N	NA	2/3/2026	NA	NA
HOME TBRA	Matthew 25	Henderson	11/7/2025	12/10/2025	N	NA	2/3/2026	NA	NA
HOME	Live the Dream	Warren	9/18/2025	9/29/2025	N	NA	10/20/2025	NA	NA
HOME	HFH Pennyrite	Hopkins	9/16/2025	9/18/2025	N	NA	9/18/2025	NA	NA
HOME	KCEOC	Knox	11/3/2025	11/10/2025	N	NA	11/10/2025	NA	NA
HOME	Beattyville Housing	Lee	11/12/2025	11/21/2025	N	NA	11/21/2025	NA	NA
HOME	KY Mtn Housing	Clay	12/3/2025	12/9/2025	N	NA	12/9/2025	NA	NA
HOME	Frontier Housing	Rowan	1/21/2026	1/29/2026	N	NA	1/29/2026	NA	NA
HOME	HFH Henderson	Henderson	4/15/2026	4/23/2026	N	NA	4/23/2026	NA	NA
ESG	Community Assistance Referral Service	Boyd	8/18/2025	8/22/2025	N	NA	8/22/2025	NA	NA
ESG	Community Outreach	Monroe	10/1/2025	10/20/2025	Y	ineligible expenses, COI, incomplete documentation	3/5/2026	Y	NA
ESG	Daniel Pitino Shelter	Davess	10/6/2025	10/27/2025	Y	Shelter inspection	12/4/2025	Y	NA

HOME Inspection 1

Project Number	Type	Project Name	Location	Total Units	Review Date	Date of Monitoring Review Results Letter	Monitoring Resulted in Non-Compliance (Y/N)	Description of Non-compliance	Date of Monitoring Close-Out Letter	Review Final Results: Non-compliance Corrected	Review Final Results: Closed with Open Non-compliance
RN10-0633-01	Rental	Maplewood Apts	Barbourville	4	6/4/2026	6/5/2026	Y	Using wrong rent limits-corrected	6/5/2026	Closed All Clear	
RN13-0782-01	Rental	Woodland Sr. Apts	Dawson Springs	5	6/4/2026	6/8/2026	N	No Findings	6/8/2026	Closed All Clear	
RN10-0627-01	Rental	Fulton Manor I	Fulton	4	6/8/2026	7/1/2026	Y	Income issues on TIC, corrected	7/1/2026	Closed All Clear	
RN10-0628-01	Rental	Fulton Manor II	Fulton	2	6/8/2026	7/1/2026	N	No Findings	7/1/2026	Closed All Clear	
RN19-0993-01	Rental	Mayfield Manor Apts II	Mayfield	3	6/10/2026	6/15/2026	Y	Using wrong rent limits-corrected	6/10/2026	Closed All Clear	
RN17-0883-02	Rental	Mayfield Manor Apts	Mayfield	5	6/10/2026	6/15/2026	Y	Using wrong rent limits-corrected	6/10/2026	Closed All Clear	
RN18-0925-01	Rental	Holly Point Apartments	Harian	7	6/16/2026	6/24/2026	Y	Findings cured after findings letter sent	7/29/2026	Closed All Clear	
KY-99-046	Rental	Kirkland Fields - FKA East Main Project	Frankfort	5	6/16/2026	7/13/2026	N	No Findings	7/13/2026	Closed All Clear	
RN19-0983-01	Rental	Pembroke Sr Apts	Pembroke	3	6/16/2026	6/16/2026	Y	Using wrong rent limits-corrected	6/16/2026	Closed All Clear	
RN14-0027-01	Rental	Central Crossings	Lewis	2	6/17/2026	6/17/2026	N	No Findings	6/17/2026	Closed All Clear	
RN16-0027-01	Rental	Central Crossings III	Lewis	2	6/17/2026	6/17/2026	N	No Findings	6/17/2026	Closed All Clear	
KY-99-034	Rental	Mt. Washington Place	Mt. Washington	3	6/17/2026	6/22/2026	N	No Findings	6/22/2026	Closed All Clear	
RN10-0637-01	Rental	Sahale Heights Apts	Elizabethtown	4	6/18/2026	6/23/2026	Y	Using wrong rent limits-corrected	6/23/2026	Closed All Clear	
RN06-0027-01	Rental	Katelyn and Fuller I	Lewis	6	6/19/2026	6/23/2026	N	No Findings	6/23/2026	Closed All Clear	
RN06-0027-01	Rental	Katelyn and Fuller Phase II	Lewis	6	6/19/2026	6/23/2026	N	No Findings	6/23/2026	Closed All Clear	
RN14-0817-01	Rental	Willow Woods Apts	Lawrenceburg	2	6/23/2026	6/23/2026	Y	Wrong rent limits, corrected	6/23/2026	Closed All Clear	
RN18-0924-01	Rental	Cedar Crag Terrace	Manchester	2	6/23/2026	6/26/2026	N	No Findings	6/26/2026	Closed All Clear	
RN11-0697-01	Rental	Laurel Place	London	4	6/23/2026	6/26/2026	Y	Physical findings corrected after findings letter sent	7/28/2026	Closed All Clear	
RN12-0723-01	Rental	Sunset Villages	Bullitt	2	6/24/2026	6/26/2026	N	No Findings	6/26/2026	Closed All Clear	
RN01-0063-01	Rental	Willow Spring Sr. Apts.	Scottsville	5	6/25/2026	6/25/2026	N	No Findings	6/29/2026	Closed All Clear	

HOME Inspection 2

Project Number	Type	Project Name	Location	Total Units	Review Date	Date of Monitoring Review Results Letter	Monitoring Resulted in Non-Compliance (Y/N)	Description of Non-compliance	Date of Monitoring Close-Out Letter	Review Final Results: Non-compliance Corrected	Review Final Results: Closed with Open Non-compliance
RN10-0633-01	Rental	Maplewood Apts	Barbourville	4	6/4/2026	6/5/2026	Y	Using wrong rent limits-corrected	6/5/2026	Closed All Clear	
RN13-0782-01	Rental	Woodland Sr. Apts	Dawson Springs	5	6/4/2026	6/8/2026	N	No Findings	6/8/2026	Closed All Clear	
RN10-0627-01	Rental	Fulton Manor I	Fulton	4	6/8/2026	7/1/2026	Y	Income issues on TIC, corrected	7/1/2026	Closed All Clear	
RN10-0628-01	Rental	Fulton Manor II	Fulton	2	6/8/2026	7/1/2026	N	No Findings	7/1/2026	Closed All Clear	
RN19-0993-01	Rental	Mayfield Manor Apts II	Mayfield	3	6/10/2026	6/15/2026	Y	Using wrong rent limits-corrected	6/10/2026	Closed All Clear	
RN17-0883-02	Rental	Mayfield Manor Apts	Mayfield	5	6/10/2026	6/15/2026	Y	Using wrong rent limits-corrected	6/10/2026	Closed All Clear	
RN18-0925-01	Rental	Holly Point Apartments	Harian	7	6/16/2026	6/24/2026	Y	Findings cured after findings letter sent	7/29/2026	Closed All Clear	
KY-99-046	Rental	Kirkland Fields - FKA East Main Project	Frankfort	5	6/16/2026	7/13/2026	N	No Findings	7/13/2026	Closed All Clear	
RN19-0983-01	Rental	Pembroke Sr Apts	Pembroke	3	6/16/2026	6/16/2026	Y	Using wrong rent limits-corrected	6/16/2026	Closed All Clear	
RN14-0027-01	Rental	Central Crossings	Lewis	2	6/17/2026	6/17/2026	N	No Findings	6/17/2026	Closed All Clear	
RN16-0027-01	Rental	Central Crossings III	Lewis	2	6/17/2026	6/17/2026	N	No Findings	6/17/2026	Closed All Clear	
KY-99-034	Rental	Mt. Washington Place	Mt. Washington	3	6/17/2026	6/22/2026	N	No Findings	6/22/2026	Closed All Clear	
RN10-0637-01	Rental	Sahale Heights Apts	Elizabethtown	4	6/18/2026	6/23/2026	Y	Using wrong rent limits-corrected	6/23/2026	Closed All Clear	
RN06-0027-01	Rental	Katelyn and Fuller I	Lewis	6	6/19/2026	6/23/2026	N	No Findings	6/23/2026	Closed All Clear	
RN06-0027-01	Rental	Katelyn and Fuller Phase II	Lewis	6	6/19/2026	6/23/2026	N	No Findings	6/23/2026	Closed All Clear	
RN14-0817-01	Rental	Willow Woods Apts	Lawrenceburg	2	6/23/2026	6/23/2026	Y	Wrong rent limits, corrected	6/23/2026	Closed All Clear	
RN18-0924-01	Rental	Cedar Crag Terrace	Manchester	2	6/23/2026	6/26/2026	N	No Findings	6/26/2026	Closed All Clear	
RN11-0697-01	Rental	Laurel Place	London	4	6/23/2026	6/26/2026	Y	Physical findings corrected after findings letter sent	7/28/2026	Closed All Clear	
RN12-0723-01	Rental	Sunset Villages	Bullitt	2	6/24/2026	6/26/2026	N	No Findings	6/26/2026	Closed All Clear	
RN01-0063-01	Rental	Willow Spring Sr. Apts.	Scottsville	5	6/25/2026	6/25/2026	N	No Findings	6/29/2026	Closed All Clear	

HOME Inspection 3

Project Number	Type	Project Name	Location	Total Units	Review Date	Date of Monitoring Review Results Letter	Monitoring Resulted in Non-Compliance (Y/N)	Description of Non-compliance	Date of Monitoring Close-Out Letter	Review Final Results: Non-compliance Corrected	Review Final Results: Closed with Open Non-compliance
RN10-0633-01	Rental	Maplewood Apts	Barbourville	4	6/4/2026	6/5/2026	Y	Using wrong rent limits-corrected	6/5/2026	Closed All Clear	
RN13-0782-01	Rental	Woodland Sr. Apts	Dawson Springs	5	6/4/2026	6/8/2026	N	No Findings	6/8/2026	Closed All Clear	
RN10-0627-01	Rental	Fulton Manor I	Fulton	4	6/8/2026	7/12/2026	Y	Income issues on TIC, corrected	7/12/2026	Closed All Clear	
RN10-0628-01	Rental	Fulton Manor II	Fulton	2	6/8/2026	7/12/2026	N	No Findings	7/12/2026	Closed All Clear	
RN19-0993-01	Rental	Mayfield Manor Apts II	Mayfield	3	6/10/2026	6/15/2026	Y	Using wrong rent limits-corrected	6/10/2026	Closed All Clear	
RN17-0883-02	Rental	Mayfield Manor Apts	Mayfield	5	6/10/2026	6/15/2026	Y	Using wrong rent limits-corrected	6/10/2026	Closed All Clear	
RN18-0925-01	Rental	Holly Point Apartments	Harlan	7	6/16/2026	6/24/2026	Y	Findings cured after findings letter sent	7/29/2026	Closed All Clear	
KY-99-046	Rental	Kirkland Fields - FKA East Main Project	Frankfort	5	6/16/2026	7/13/2026	N	No Findings	7/13/2026	Closed All Clear	
RN19-0983-01	Rental	Pembroke Sr Apts	Pembroke	3	6/16/2026	6/16/2026	Y	Using wrong rent limits-corrected	6/16/2026	Closed All Clear	
RN14-0027-01	Rental	Central Crossings	Lewis	2	6/17/2026	6/17/2026	N	No Findings	6/17/2026	Closed All Clear	
RN16-0027-01	Rental	Central Crossings III	Lewis	2	6/17/2026	6/17/2026	N	No Findings	6/17/2026	Closed All Clear	
KY-99-034	Rental	Mt. Washington Place	Mt. Washington	3	6/17/2026	6/22/2026	N	No Findings	6/22/2026	Closed All Clear	
RN10-0637-01	Rental	Sahale Heights Apts	Elizabethtown	4	6/18/2026	6/23/2026	Y	Using wrong rent limits-corrected	6/23/2026	Closed All Clear	
RN06-0027-01	Rental	Katelyn and Fuller	Lewis	6	6/19/2026	6/23/2026	N	No Findings	6/23/2026	Closed All Clear	
RN06-0027-01	Rental	Katelyn and Fuller Phase II	Lewis	6	6/19/2026	6/23/2026	N	No Findings	6/23/2026	Closed All Clear	
RN14-0817-01	Rental	Willow Woods Apts	Lawrenceburg	2	6/23/2026	6/23/2026	Y	Wrong rent limits,corrected	6/23/2026	Closed All Clear	
RN18-0924-01	Rental	Cedar Crag Terrace	Manchester	2	6/23/2026	6/26/2026	N	No Findings	6/26/2026	Closed All Clear	
RN11-0697-01	Rental	Laurel Place	London	4	6/23/2026	6/26/2026	Y	Physical findings corrected after findings letter sent	7/28/2026	Closed All Clear	
RN12-0723-01	Rental	Sunset Villages	Bullitt	2	6/24/2026	6/26/2026	N	No Findings	6/26/2026	Closed All Clear	
RN01-0063-01	Rental	Willow Spring Sr. Apts.	Scottsville	5	6/25/2026	6/25/2026	N	No Findings	6/29/2026	Closed All Clear	

HOME Inspection 4

Project Number	Type	Project Name	Location	Total Units	Review Date	Date of Monitoring Review Results Letter	Monitoring Resulted in Non-Compliance (Y/N)	Description of Non-compliance	Date of Monitoring Close-Out Letter	Review Final Results: Non-compliance Corrected	Review Final Results: Closed with Open Non-compliance
RN10-0633-01	Rental	Maplewood Apts	Barbourville	4	6/4/2026	6/5/2026	Y	Using wrong rent limits-corrected	6/5/2026	Closed All Clear	
RN13-0782-01	Rental	Woodland Sr. Apts	Dawson Springs	5	6/4/2026	6/8/2026	N	No Findings	6/8/2026	Closed All Clear	
RN10-0627-01	Rental	Fulton Manor I	Fulton	4	6/8/2026	7/12/2026	Y	Income issues on TIC, corrected	7/12/2026	Closed All Clear	
RN10-0628-01	Rental	Fulton Manor II	Fulton	2	6/8/2026	7/12/2026	N	No Findings	7/12/2026	Closed All Clear	
RN19-0993-01	Rental	Mayfield Manor Apts II	Mayfield	3	6/10/2026	6/15/2026	Y	Using wrong rent limits-corrected	6/10/2026	Closed All Clear	
RN17-0883-02	Rental	Mayfield Manor Apts	Mayfield	5	6/10/2026	6/15/2026	Y	Using wrong rent limits-corrected	6/10/2026	Closed All Clear	
RN18-0925-01	Rental	Holly Point Apartments	Harlan	7	6/16/2026	6/24/2026	Y	Findings cured after findings letter sent	7/29/2026	Closed All Clear	
KY-99-046	Rental	Kirkland Fields - FKA East Main Project	Frankfort	5	6/16/2026	7/13/2026	N	No Findings	7/13/2026	Closed All Clear	
RN19-0983-01	Rental	Pembroke Sr Apts	Pembroke	3	6/16/2026	6/16/2026	Y	Using wrong rent limits-corrected	6/16/2026	Closed All Clear	
RN14-0027-01	Rental	Central Crossings	Lewis	2	6/17/2026	6/17/2026	N	No Findings	6/17/2026	Closed All Clear	
RN16-0027-01	Rental	Central Crossings III	Lewis	2	6/17/2026	6/17/2026	N	No Findings	6/17/2026	Closed All Clear	
KY-99-034	Rental	Mt. Washington Place	Mt. Washington	3	6/17/2026	6/22/2026	N	No Findings	6/22/2026	Closed All Clear	
RN10-0637-01	Rental	Sahale Heights Apts	Elizabethtown	4	6/18/2026	6/23/2026	Y	Using wrong rent limits-corrected	6/23/2026	Closed All Clear	
RN06-0027-01	Rental	Katelyn and Fuller	Lewis	6	6/19/2026	6/23/2026	N	No Findings	6/23/2026	Closed All Clear	
RN06-0027-01	Rental	Katelyn and Fuller Phase II	Lewis	6	6/19/2026	6/23/2026	N	No Findings	6/23/2026	Closed All Clear	
RN14-0817-01	Rental	Willow Woods Apts	Lawrenceburg	2	6/23/2026	6/23/2026	Y	Wrong rent limits,corrected	6/23/2026	Closed All Clear	
RN18-0924-01	Rental	Cedar Crag Terrace	Manchester	2	6/23/2026	6/26/2026	N	No Findings	6/26/2026	Closed All Clear	
RN11-0697-01	Rental	Laurel Place	London	4	6/23/2026	6/26/2026	Y	Physical findings corrected after findings letter sent	7/28/2026	Closed All Clear	
RN12-0723-01	Rental	Sunset Villages	Bullitt	2	6/24/2026	6/26/2026	N	No Findings	6/26/2026	Closed All Clear	
RN01-0063-01	Rental	Willow Spring Sr. Apts.	Scottsville	5	6/25/2026	6/25/2026	N	No Findings	6/29/2026	Closed All Clear	

HOME Inspection 5

Project Number	Type	Project Name	Location	Total Units	Review Date	Date of Monitoring Review Results Letter	Monitoring Resulted in Non-Compliance (Y/N)	Description of Non-compliance	Date of Monitoring Close-Out Letter	Review Final Results: Non-compliance Corrected	Review Final Results: Closed with Open Non-compliance
RN10-0633-01	Rental	Maplewood Apts	Barbourville	4	6/4/2026	6/5/2026	Y	Using wrong rent limits-corrected	6/5/2026	Closed All Clear	
RN13-0782-01	Rental	Woodland Sr. Apts	Dawson Springs	5	6/4/2026	6/8/2026	N	No Findings	6/8/2026	Closed All Clear	
RN10-0627-01	Rental	Fulton Manor I	Fulton	4	6/8/2026	7/12/2026	Y	Income issues on TIC, corrected	7/12/2026	Closed All Clear	
RN10-0628-01	Rental	Fulton Manor II	Fulton	2	6/8/2026	7/12/2026	N	No Findings	7/12/2026	Closed All Clear	
RN19-0993-01	Rental	Mayfield Manor Apts II	Mayfield	3	6/10/2026	6/15/2026	Y	Using wrong rent limits-corrected	6/10/2026	Closed All Clear	
RN17-0883-02	Rental	Mayfield Manor Apts	Mayfield	5	6/10/2026	6/15/2026	Y	Using wrong rent limits-corrected	6/10/2026	Closed All Clear	
RN18-0925-01	Rental	Holly Point Apartments	Harlan	7	6/16/2026	6/24/2026	Y	Findings cured after findings letter sent	7/29/2026	Closed All Clear	
KY-99-046	Rental	Kirkland Fields - FKA East Main Project	Frankfort	5	6/16/2026	7/13/2026	N	No Findings	7/13/2026	Closed All Clear	
RN19-0983-01	Rental	Pembroke Sr Apts	Pembroke	3	6/16/2026	6/16/2026	Y	Using wrong rent limits-corrected	6/16/2026	Closed All Clear	
RN14-0027-01	Rental	Central Crossings	Lewis	2	6/17/2026	6/17/2026	N	No Findings	6/17/2026	Closed All Clear	
RN16-0027-01	Rental	Central Crossings III	Lewis	2	6/17/2026	6/17/2026	N	No Findings	6/17/2026	Closed All Clear	
KY-99-034	Rental	Mt. Washington Place	Mt. Washington	3	6/17/2026	6/22/2026	N	No Findings	6/22/2026	Closed All Clear	
RN10-0637-01	Rental	Sahale Heights Apts	Elizabethtown	4	6/18/2026	6/23/2026	Y	Using wrong rent limits-corrected	6/23/2026	Closed All Clear	
RN06-0027-01	Rental	Katelyn and Fuller	Lewis	6	6/19/2026	6/23/2026	N	No Findings	6/23/2026	Closed All Clear	
RN06-0027-01	Rental	Katelyn and Fuller Phase II	Lewis	6	6/19/2026	6/23/2026	N	No Findings	6/23/2026	Closed All Clear	
RN14-0817-01	Rental	Willow Woods Apts	Lawrenceburg	2	6/23/2026	6/23/2026	Y	Wrong rent limits,corrected	6/23/2026	Closed All Clear	
RN18-0924-01	Rental	Cedar Crag Terrace	Manchester	2	6/23/2026	6/26/2026	N	No Findings	6/26/2026	Closed All Clear	
RN11-0697-01	Rental	Laurel Place	London	4	6/23/2026	6/26/2026	Y	Physical findings corrected after findings letter sent	7/28/2026	Closed All Clear	
RN12-0723-01	Rental	Sunset Villages	Bullitt	2	6/24/2026	6/26/2026	N	No Findings	6/26/2026	Closed All Clear	
RN01-0063-01	Rental	Willow Spring Sr. Apts.	Scottsville	5	6/25/2026	6/25/2026	N	No Findings	6/29/2026	Closed All Clear	

HOME Inspection 6

Project Number	Type	Project Name	Location	Total Units	Review Date	Date of Monitoring Review Results Letter	Monitoring Resulted in Non-Compliance (Y/N)	Description of Non-compliance	Date of Monitoring Close-Out Letter	Review Final Results: Non-compliance Corrected	Review Final Results: Closed with Open Non-compliance
RN10-0633-01	Rental	Maplewood Apts	Barbourville	4	6/4/2026	6/5/2026	Y	Using wrong rent limits-corrected	6/5/2026	Closed All Clear	
RN13-0782-01	Rental	Woodland Sr. Apts	Dawson Springs	5	6/4/2026	6/8/2026	N	No Findings	6/8/2026	Closed All Clear	
RN10-0627-01	Rental	Fulton Manor I	Fulton	4	6/8/2026	7/12/2026	Y	Income issues on TIC, corrected	7/12/2026	Closed All Clear	
RN10-0628-01	Rental	Fulton Manor II	Fulton	2	6/8/2026	7/12/2026	N	No Findings	7/12/2026	Closed All Clear	
RN19-0993-01	Rental	Mayfield Manor Apts II	Mayfield	3	6/10/2026	6/15/2026	Y	Using wrong rent limits-corrected	6/10/2026	Closed All Clear	
RN17-0883-02	Rental	Mayfield Manor Apts	Mayfield	5	6/10/2026	6/15/2026	Y	Using wrong rent limits-corrected	6/10/2026	Closed All Clear	
RN18-0925-01	Rental	Holly Point Apartments	Harlan	7	6/16/2026	6/24/2026	Y	Findings cured after findings letter sent	7/29/2026	Closed All Clear	
KY-99-046	Rental	Kirkland Fields - FKA East Main Project	Frankfort	5	6/16/2026	7/13/2026	N	No Findings	7/13/2026	Closed All Clear	
RN19-0983-01	Rental	Pembroke Sr Apts	Pembroke	3	6/16/2026	6/16/2026	Y	Using wrong rent limits-corrected	6/16/2026	Closed All Clear	
RN14-0027-01	Rental	Central Crossings	Lewis	2	6/17/2026	6/17/2026	N	No Findings	6/17/2026	Closed All Clear	
RN16-0027-01	Rental	Central Crossings III	Lewis	2	6/17/2026	6/17/2026	N	No Findings	6/17/2026	Closed All Clear	
KY-99-034	Rental	Mt. Washington Place	Mt. Washington	3	6/17/2026	6/22/2026	N	No Findings	6/22/2026	Closed All Clear	
RN10-0637-01	Rental	Sahale Heights Apts	Elizabethtown	4	6/18/2026	6/23/2026	Y	Using wrong rent limits-corrected	6/23/2026	Closed All Clear	
RN06-0027-01	Rental	Katelyn and Fuller	Lewis	6	6/19/2026	6/23/2026	N	No Findings	6/23/2026	Closed All Clear	
RN06-0027-01	Rental	Katelyn and Fuller Phase II	Lewis	6	6/19/2026	6/23/2026	N	No Findings	6/23/2026	Closed All Clear	
RN14-0817-01	Rental	Willow Woods Apts	Lawrenceburg	2	6/23/2026	6/23/2026	Y	Wrong rent limits,corrected	6/23/2026	Closed All Clear	
RN18-0924-01	Rental	Cedar Crag Terrace	Manchester	2	6/23/2026	6/26/2026	N	No Findings	6/26/2026	Closed All Clear	
RN11-0697-01	Rental	Laurel Place	London	4	6/23/2026	6/26/2026	Y	Physical findings corrected after findings letter sent	7/28/2026	Closed All Clear	
RN12-0723-01	Rental	Sunset Villages	Bullitt	2	6/24/2026	6/26/2026	N	No Findings	6/26/2026	Closed All Clear	
RN01-0063-01	Rental	Willow Spring Sr. Apts.	Scottsville	5	6/25/2026	6/25/2026	N	No Findings	6/29/2026	Closed All Clear	

HOME Inspection 7

Project Number	Type	Project Name	Location	Total Units	Review Date	Date of Monitoring Review Results Letter	Monitoring Resulted in Non-Compliance (Y/N)	Description of Non-compliance	Date of Monitoring Close-Out Letter	Review Final Results: Non-compliance Corrected	Review Final Results: Closed with Open Non-compliance
RN10-0633-01	Rental	Maplewood Apts	Barbourville	4	6/4/2026	6/5/2026	Y	Using wrong rent limits-corrected	6/5/2026	Closed All Clear	
RN13-0782-01	Rental	Woodland Sr. Apts	Dawson Springs	5	6/4/2026	6/8/2026	N	No Findings	6/8/2026	Closed All Clear	
RN10-0627-01	Rental	Fulton Manor I	Fulton	4	6/8/2026	7/12/2026	Y	Income issues on TIC, corrected	7/12/2026	Closed All Clear	
RN10-0628-01	Rental	Fulton Manor II	Fulton	2	6/8/2026	7/12/2026	N	No Findings	7/12/2026	Closed All Clear	
RN19-0993-01	Rental	Mayfield Manor Apts II	Mayfield	3	6/10/2026	6/15/2026	Y	Using wrong rent limits-corrected	6/10/2026	Closed All Clear	
RN17-0883-02	Rental	Mayfield Manor Apts	Mayfield	5	6/10/2026	6/15/2026	Y	Using wrong rent limits-corrected	6/10/2026	Closed All Clear	
RN18-0925-01	Rental	Holly Point Apartments	Harlan	7	6/16/2026	6/24/2026	Y	Findings cured after findings letter sent	7/29/2026	Closed All Clear	
KY-99-046	Rental	Kirkland Fields - FKA East Main Project	Frankfort	5	6/16/2026	7/13/2026	N	No Findings	7/13/2026	Closed All Clear	
RN19-0983-01	Rental	Pembroke Sr Apts	Pembroke	3	6/16/2026	6/16/2026	Y	Using wrong rent limits-corrected	6/16/2026	Closed All Clear	
RN14-0027-01	Rental	Central Crossings	Lewis	2	6/17/2026	6/17/2026	N	No Findings	6/17/2026	Closed All Clear	
RN16-0027-01	Rental	Central Crossings III	Lewis	2	6/17/2026	6/17/2026	N	No Findings	6/17/2026	Closed All Clear	
KY-99-034	Rental	Mt. Washington Place	Mt. Washington	3	6/17/2026	6/22/2026	N	No Findings	6/22/2026	Closed All Clear	
RN10-0637-01	Rental	Sahale Heights Apts	Elizabethtown	4	6/18/2026	6/23/2026	Y	Using wrong rent limits-corrected	6/23/2026	Closed All Clear	
RN06-0027-01	Rental	Katelyn and Fuller	Lewis	6	6/19/2026	6/23/2026	N	No Findings	6/23/2026	Closed All Clear	
RN06-0027-01	Rental	Katelyn and Fuller Phase II	Lewis	6	6/19/2026	6/23/2026	N	No Findings	6/23/2026	Closed All Clear	
RN14-0817-01	Rental	Willow Woods Apts	Lawrenceburg	2	6/23/2026	6/23/2026	Y	Wrong rent limits,corrected	6/23/2026	Closed All Clear	
RN18-0924-01	Rental	Cedar Crag Terrace	Manchester	2	6/23/2026	6/26/2026	N	No Findings	6/26/2026	Closed All Clear	
RN11-0697-01	Rental	Laurel Place	London	4	6/23/2026	6/26/2026	Y	Physical findings corrected after findings letter sent	7/28/2026	Closed All Clear	
RN12-0723-01	Rental	Sunset Villages	Bullitt	2	6/24/2026	6/26/2026	N	No Findings	6/26/2026	Closed All Clear	
RN01-0063-01	Rental	Willow Spring Sr. Apts.	Scottsville	5	6/25/2026	6/25/2026	N	No Findings	6/29/2026	Closed All Clear	

HOME Inspection 8

Project Number	Type	Project Name	Location	Total Units	Review Date	Date of Monitoring Review Results Letter	Monitoring Resulted in Non-Compliance (Y/N)	Description of Non-compliance	Date of Monitoring Close-Out Letter	Review Final Results: Non-compliance Corrected	Review Final Results: Closed with Open Non-compliance
RN10-0633-01	Rental	Maplewood Apts	Barbourville	4	6/4/2026	6/5/2026	Y	Using wrong rent limits-corrected	6/5/2026	Closed All Clear	
RN13-0782-01	Rental	Woodland Sr. Apts	Dawson Springs	5	6/4/2026	6/8/2026	N	No Findings	6/8/2026	Closed All Clear	
RN10-0627-01	Rental	Fulton Manor I	Fulton	4	6/8/2026	7/12/2026	Y	Income issues on TIC, corrected	7/12/2026	Closed All Clear	
RN10-0628-01	Rental	Fulton Manor II	Fulton	2	6/8/2026	7/12/2026	N	No Findings	7/12/2026	Closed All Clear	
RN19-0993-01	Rental	Mayfield Manor Apts II	Mayfield	3	6/10/2026	6/15/2026	Y	Using wrong rent limits-corrected	6/10/2026	Closed All Clear	
RN17-0883-02	Rental	Mayfield Manor Apts	Mayfield	5	6/10/2026	6/15/2026	Y	Using wrong rent limits-corrected	6/10/2026	Closed All Clear	
RN18-0925-01	Rental	Holly Point Apartments	Harlan	7	6/16/2026	6/24/2026	Y	Findings cured after findings letter sent	7/29/2026	Closed All Clear	
KY-99-046	Rental	Kirkland Fields - FKA East Main Project	Frankfort	5	6/16/2026	7/13/2026	N	No Findings	7/13/2026	Closed All Clear	
RN19-0983-01	Rental	Pembroke Sr Apts	Pembroke	3	6/16/2026	6/16/2026	Y	Using wrong rent limits-corrected	6/16/2026	Closed All Clear	
RN14-0027-01	Rental	Central Crossings	Lewis	2	6/17/2026	6/17/2026	N	No Findings	6/17/2026	Closed All Clear	
RN16-0027-01	Rental	Central Crossings III	Lewis	2	6/17/2026	6/17/2026	N	No Findings	6/17/2026	Closed All Clear	
KY-99-034	Rental	Mt. Washington Place	Mt. Washington	3	6/17/2026	6/22/2026	N	No Findings	6/22/2026	Closed All Clear	
RN10-0637-01	Rental	Sahale Heights Apts	Elizabethtown	4	6/18/2026	6/23/2026	Y	Using wrong rent limits-corrected	6/23/2026	Closed All Clear	
RN06-0027-01	Rental	Katelyn and Fuller	Lewis	6	6/19/2026	6/23/2026	N	No Findings	6/23/2026	Closed All Clear	
RN06-0027-01	Rental	Katelyn and Fuller Phase II	Lewis	6	6/19/2026	6/23/2026	N	No Findings	6/23/2026	Closed All Clear	
RN14-0817-01	Rental	Willow Woods Apts	Lawrenceburg	2	6/23/2026	6/23/2026	Y	Wrong rent limits,corrected	6/23/2026	Closed All Clear	
RN18-0924-01	Rental	Cedar Crag Terrace	Manchester	2	6/23/2026	6/26/2026	N	No Findings	6/26/2026	Closed All Clear	
RN11-0697-01	Rental	Laurel Place	London	4	6/23/2026	6/26/2026	Y	Physical findings corrected after findings letter sent	7/28/2026	Closed All Clear	
RN12-0723-01	Rental	Sunset Villages	Bullitt	2	6/24/2026	6/26/2026	N	No Findings	6/26/2026	Closed All Clear	
RN01-0063-01	Rental	Willow Spring Sr. Apts.	Scottsville	5	6/25/2026	6/25/2026	N	No Findings	6/29/2026	Closed All Clear	

HOME Inspection 9

Project Number	Project Name	Physical Inspection	Correction to Physical if applicable	Comments
KY-00-002	Walnut Valley Apartments	Y	Y	
RN19-0989-01	Wells Hill Apartments	N	N/A	
RN97-0321-01	Wellspring	Y	Y	
RN12-0321-01	Wellspring Briggs Apartments	N	N/A	
RN12-0746-01	Wellspring Tonini	N	N/A	
Ky-01-082	West Liberty Family Apartments	N	N/A	
RN01-0077-01	Whitesburg Transitional Housing	Y	Y	
RN18-0926-01	Whitney Woods	Y	Y	
KY-05-038-01	Williams Place Apartments	N	N/A	
RN01-0063-01	Willow Springs Senior Apartments	N	N/A	
RN14/020	Willow Woods Apartments	N	N/A	
RN96-0062-01	Wiltshire (formerly Thames Valley)	Y	Y	
RN17-0883-01	Windhaven	Y	Y	
RN05-02987-01	Windover Place Apartments	Y	Y	
RN19-0995-01	Wingo Apartments	N	N/A	
RN06-0319-01	Women's Addiction Recovery Manor	Y	Y	
RN13-0782-01	Woodland Senior Apts	N	N/A	
RN14-0748-01	Woodleigh Homes	N	N/A	
RN18-0927-01	York Tower	N	N/A	
RN05-0099-01	Youth Build Barrier Free Rental (a.k.a. Gyla's Grace)	N	N/A	

MF Inspection 1

Project Number	Project Name	Physical Inspection	Correction to Physical if applicable	Comments
KY-00-002	Walnut Valley Apartments	Y	Y	
RN19-0989-01	Wells Hill Apartments	N	N/A	
RN97-0321-01	Wellspring	Y	Y	
RN12-0321-01	Wellspring Briggs Apartments	N	N/A	
RN12-0746-01	Wellspring Tonini	N	N/A	
Ky-01-082	West Liberty Family Apartments	N	N/A	
RN01-0077-01	Whitesburg Transitional Housing	Y	Y	
RN18-0926-01	Whitney Woods	Y	Y	
KY-05-038-01	Williams Place Apartments	N	N/A	
RN01-0063-01	Willow Springs Senior Apartments	N	N/A	
RN14/020	Willow Woods Apartments	N	N/A	
RN96-0062-01	Wiltshire (formerly Thames Valley)	Y	Y	
RN17-0883-01	Windhaven	Y	Y	
RN05-02987-01	Windover Place Apartments	Y	Y	
RN19-0995-01	Wingo Apartments	N	N/A	
RN06-0319-01	Women's Addiction Recovery Manor	Y	Y	
RN13-0782-01	Woodland Senior Apts	N	N/A	
RN14-0748-01	Woodleigh Homes	N	N/A	
RN18-0927-01	York Tower	N	N/A	
RN05-0099-01	Youth Build Barrier Free Rental (a.k.a. Gyla's Grace)	N	N/A	

MF Inspection 2

Project Number	Project Name	Physical Inspection	Correction to Physical if applicable	Comments
KY-00-002	Walnut Valley Apartments	Y	Y	
RN19-0989-01	Wells Hill Apartments	N	N/A	
RN97-0321-01	Wellspring	Y	Y	
RN12-0321-01	Wellspring Briggs Apartments	N	N/A	
RN12-0746-01	Wellspring Tonini	N	N/A	
Ky-01-082	West Liberty Family Apartments	N	N/A	
RN01-0077-01	Whitesburg Transitional Housing	Y	Y	
RN18-0926-01	Whitney Woods	Y	Y	
KY-05-038-01	Williams Place Apartments	N	N/A	
RN01-0063-01	Willow Springs Senior Apartments	N	N/A	
RN14/020	Willow Woods Apartments	N	N/A	
RN96-0062-01	Wiltshire (formerly Thames Valley)	Y	Y	
RN17-0883-01	Windhaven	Y	Y	
RN05-02987-01	Windover Place Apartments	Y	Y	
RN19-0995-01	Wingo Apartments	N	N/A	
RN06-0319-01	Women's Addiction Recovery Manor	Y	Y	
RN13-0782-01	Woodland Senior Apts	N	N/A	
RN14-0748-01	Woodleigh Homes	N	N/A	
RN18-0927-01	York Tower	N	N/A	
RN05-0099-01	Youth Build Barrier Free Rental (a.k.a. Gyla's Grace)	N	N/A	

MF Inspection 3

Project Number	Project Name	Physical Inspection	Correction to Physical if applicable	Comments
KY-00-002	Walnut Valley Apartments	Y	Y	
RN19-0989-01	Wells Hill Apartments	N	N/A	
RN97-0321-01	Wellspring	Y	Y	
RN12-0321-01	Wellspring Briggs Apartments	N	N/A	
RN12-0746-01	Wellspring Tonini	N	N/A	
Ky-01-082	West Liberty Family Apartments	N	N/A	
RN01-0077-01	Whitesburg Transitional Housing	Y	Y	
RN18-0926-01	Whitney Woods	Y	Y	
KY-05-038-01	Williams Place Apartments	N	N/A	
RN01-0063-01	Willow Springs Senior Apartments	N	N/A	
RN14/020	Willow Woods Apartments	N	N/A	
RN96-0062-01	Wiltshire (formerly Thames Valley)	Y	Y	
RN17-0883-01	Windhaven	Y	Y	
RN05-02987-01	Windover Place Apartments	Y	Y	
RN19-0995-01	Wingo Apartments	N	N/A	
RN06-0319-01	Women's Addiction Recovery Manor	Y	Y	
RN13-0782-01	Woodland Senior Apts	N	N/A	
RN14-0748-01	Woodleigh Homes	N	N/A	
RN18-0927-01	York Tower	N	N/A	
RN05-0099-01	Youth Build Barrier Free Rental (a.k.a. Gyla's Grace)	N	N/A	

MF Inspection 4

Project Number	Project Name	Physical Inspection	Correction to Physical if applicable	Comments
KY-00-002	Walnut Valley Apartments	Y	Y	
RN19-0989-01	Wells Hill Apartments	N	N/A	
RN97-0321-01	Wellspring	Y	Y	
RN12-0321-01	Wellspring Briggs Apartments	N	N/A	
RN12-0746-01	Wellspring Tonini	N	N/A	
Ky-01-082	West Liberty Family Apartments	N	N/A	
RN01-0077-01	Whitesburg Transitional Housing	Y	Y	
RN18-0926-01	Whitney Woods	Y	Y	
KY-05-038-01	Williams Place Apartments	N	N/A	
RN01-0063-01	Willow Springs Senior Apartments	N	N/A	
RN14/020	Willow Woods Apartments	N	N/A	
RN96-0062-01	Wiltshire (formerly Thames Valley)	Y	Y	
RN17-0883-01	Windhaven	Y	Y	
RN05-02987-01	Windover Place Apartments	Y	Y	
RN19-0995-01	Wingo Apartments	N	N/A	
RN06-0319-01	Women's Addiction Recovery Manor	Y	Y	
RN13-0782-01	Woodland Senior Apts	N	N/A	
RN14-0748-01	Woodleigh Homes	N	N/A	
RN18-0927-01	York Tower	N	N/A	
RN05-0099-01	Youth Build Barrier Free Rental (a.k.a. Gyla's Grace)	N	N/A	

MF Inspection 5

Project Number	Project Name	Physical Inspection	Correction to Physical if applicable	Comments
KY-00-002	Walnut Valley Apartments	Y	Y	
RN19-0989-01	Wells Hill Apartments	N	N/A	
RN97-0321-01	Wellspring	Y	Y	
RN12-0321-01	Wellspring Briggs Apartments	N	N/A	
RN12-0746-01	Wellspring Tonini	N	N/A	
Ky-01-082	West Liberty Family Apartments	N	N/A	
RN01-0077-01	Whitesburg Transitional Housing	Y	Y	
RN18-0926-01	Whitney Woods	Y	Y	
KY-05-038-01	Williams Place Apartments	N	N/A	
RN01-0063-01	Willow Springs Senior Apartments	N	N/A	
RN14/020	Willow Woods Apartments	N	N/A	
RN96-0062-01	Wiltshire (formerly Thames Valley)	Y	Y	
RN17-0883-01	Windhaven	Y	Y	
RN05-02987-01	Windover Place Apartments	Y	Y	
RN19-0995-01	Wingo Apartments	N	N/A	
RN06-0319-01	Women's Addiction Recovery Manor	Y	Y	
RN13-0782-01	Woodland Senior Apts	N	N/A	
RN14-0748-01	Woodleigh Homes	N	N/A	
RN18-0927-01	York Tower	N	N/A	
RN05-0099-01	Youth Build Barrier Free Rental (a.k.a. Gyla's Grace)	N	N/A	

MF Inspection 6

Project Number	Project Name	Physical Inspection	Correction to Physical if applicable	Comments
KY-00-002	Walnut Valley Apartments	Y	Y	
RN19-0989-01	Wells Hill Apartments	N	N/A	
RN97-0321-01	Wellspring	Y	Y	
RN12-0321-01	Wellspring Briggs Apartments	N	N/A	
RN12-0746-01	Wellspring Tonini	N	N/A	
Ky-01-082	West Liberty Family Apartments	N	N/A	
RN01-0077-01	Whitesburg Transitional Housing	Y	Y	
RN18-0926-01	Whitney Woods	Y	Y	
KY-05-038-01	Williams Place Apartments	N	N/A	
RN01-0063-01	Willow Springs Senior Apartments	N	N/A	
RN14/020	Willow Woods Apartments	N	N/A	
RN96-0062-01	Wiltshire (formerly Thames Valley)	Y	Y	
RN17-0883-01	Windhaven	Y	Y	
RN05-02987-01	Windover Place Apartments	Y	Y	
RN19-0995-01	Wingo Apartments	N	N/A	
RN06-0319-01	Women's Addiction Recovery Manor	Y	Y	
RN13-0782-01	Woodland Senior Apts	N	N/A	
RN14-0748-01	Woodleigh Homes	N	N/A	
RN18-0927-01	York Tower	N	N/A	
RN05-0099-01	Youth Build Barrier Free Rental (a.k.a. Gyla's Grace)	N	N/A	

MF Inspection 7

CR-55 - HOPWA 91.520(e)

Identify the number of individuals assisted and the types of assistance provided

Table for report on the one-year goals for the number of households provided housing through the use of HOPWA activities for: short-term rent, mortgage, and utility assistance payments to prevent homelessness of the individual or family; tenant-based rental assistance; and units provided in housing facilities developed, leased, or operated with HOPWA funds.

Number of Households Served Through:	One-year Goal	Actual
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family	0	157
Tenant-based rental assistance	0	67
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	0	0
Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds	0	0

Table 14 – HOPWA Number of Households Served

Narrative

The data source is the HOPWA KY CAPER, which is attached to this CAPER in Section CR-00.

CR-56 - HTF 91.520(h)

Describe the extent to which the grantee complied with its approved HTF allocation plan and the requirements of 24 CFR part 93.

KHC has administered its HTF program in a manner that provides suitable housing from the standpoint of facilitating and furthering full compliance with the applicable provisions of Title VI of the Civil Rights Act of 1964, the Fair Housing Act, and HUD regulations; and promotes greater choice of housing opportunities. HTF funds have been used for the creation, preservation, and rehabilitation of affordable rental housing units that serve extremely low-income families. Projects awarded HTF funds have been reviewed and underwritten for compliance with 24 CFR 93.201 (Eligible Project Costs), 24 CFR Subpart G (Project Requirements) AND 24 CFR Subpart H (Other Federal Requirements). KHC has also adhered to 24 CFR 93.202 when utilizing HTF funds for administrative and planning costs.

KHC monitors to ensure that all of the properties we've funded have a VAWA (Violence Against Women Act) plan in place. The property plans are typically to move someone in a domestic violence situation to another unit or complex under the same ownership.

Tenure Type	0 – 30% AMI	0% of 30+ to poverty line (when poverty line is higher than 30% AMI)	% of the higher of 30+ AMI or poverty line to 50% AMI	Total Occupied Units	Units Completed, Not Occupied	Total Completed Units
Rental	109	1	0	110	23	133
Homebuyer	0	0	0	0	0	0

Table 15 - CR-56 HTF Units in HTF activities completed during the period

CR-58 – Section 3

Identify the number of individuals assisted and the types of assistance provided

Total Labor Hours	CDBG	HOME	ESG	HOPWA	HTF
Total Number of Activities	0	0	0	0	0
Total Labor Hours					
Total Section 3 Worker Hours					
Total Targeted Section 3 Worker Hours					

Table 15 – Total Labor Hours

Qualitative Efforts - Number of Activities by Program	CDBG	HOME	ESG	HOPWA	HTF
Outreach efforts to generate job applicants who are Public Housing Targeted Workers		3			1
Outreach efforts to generate job applicants who are Other Funding Targeted Workers.	13				
Direct, on-the job training (including apprenticeships).					
Indirect training such as arranging for, contracting for, or paying tuition for, off-site training.					
Technical assistance to help Section 3 workers compete for jobs (e.g., resume assistance, coaching).					
Outreach efforts to identify and secure bids from Section 3 business concerns.					
Technical assistance to help Section 3 business concerns understand and bid on contracts.					
Division of contracts into smaller jobs to facilitate participation by Section 3 business concerns.					
Provided or connected residents with assistance in seeking employment including: drafting resumes, preparing for interviews, finding job opportunities, connecting residents to job placement services.					
Held one or more job fairs.					
Provided or connected residents with supportive services that can provide direct services or referrals.					
Provided or connected residents with supportive services that provide one or more of the following: work readiness health screenings, interview clothing, uniforms, test fees, transportation.					
Assisted residents with finding child care.					
Assisted residents to apply for, or attend community college or a four year educational institution.					
Assisted residents to apply for, or attend vocational/technical training.					
Assisted residents to obtain financial literacy training and/or coaching.					
Bonding assistance, guaranties, or other efforts to support viable bids from Section 3 business concerns.					
Provided or connected residents with training on computer use or online technologies.					
Promoting the use of a business registry designed to create opportunities for disadvantaged and small businesses.					
Outreach, engagement, or referrals with the state one-stop system, as designed in Section 121(e)(2) of the Workforce Innovation and Opportunity Act.					

Other.					
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Table 16 – Qualitative Efforts - Number of Activities by Program

Narrative

0 organizations that received HOME or HTF funds made hires that are Section 3 residents. 3 organizations that receive HOME funds and 1 organization that received HTF funds attempted to recruit low-income residents through local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods. Missing information is due to the reporting process having not yet been updated to match the new reporting format due to the significant lag between funding and construction completion.

DLG funded 13 construction projects in 2025. All construction contracts include Section 3 language, for example, “If a Section 3 Plan was required, documentation that it was carried out must be recorded”. There were no section 3 workers reported to DLG in the 2025 program year.

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	KENTUCKY
Organizational DUNS Number	830925959
UEI	PQ4JMJDP4MQ9
EIN/TIN Number	610600439
Identify the Field Office	LOUISVILLE
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	Kentucky Balance of State CoC

ESG Contact Name

Prefix	Mr
---------------	----

CAPER

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First Name	Winston
Middle Name	
Last Name	Miller
Suffix	
Title	Executive Director

ESG Contact Address

Street Address 1	1231 Louisville Rd
Street Address 2	
City	Frankfort
State	KY
ZIP Code	40601-6156
Phone Number	5022429661
Extension	188
Fax Number	
Email Address	wmiller@kyhousing.org

ESG Secondary Contact

Prefix	Ms
First Name	Wendy
Last Name	Smith
Suffix	
Title	Deputy Ex Dir Housing Production and Programs
Phone Number	5025647630
Extension	126
Email Address	wsmith@kyhousing.org

2. Reporting Period—All Recipients Complete

Program Year Start Date	07/01/2025
Program Year End Date	06/30/2026

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: KENTUCKY

City: Frankfort

State: KY

Zip Code: 40601, 6161

DUNS Number: 830925959

UEI: PQ4JMJD4MQ9

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Unit of Government

ESG Subgrant or Contract Award Amount: 99997

Subrecipient or Contractor Name: BRIGHTON CENTER, INC.

City: Newport

State: KY

Zip Code: 41072, 2325

DUNS Number: 074681818

UEI:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 17000

Subrecipient or Contractor Name: KENTUCKY RIVER COMMUNITY CARE

City: Hazard

State: KY

Zip Code: 41701, 9415

DUNS Number: 009297453

UEI:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 138662

Subrecipient or Contractor Name: SANCTUARY, INC.

City: Hopkinsville

State: KY

Zip Code: 42241, 1265

DUNS Number: 199518259

UEI:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Faith-Based Organization

ESG Subgrant or Contract Award Amount: 10000

Subrecipient or Contractor Name: WELCOME HOUSE OF NORTHERN KENTUCKY

City: Covington

State: KY

Zip Code: 41011, 2321

DUNS Number: 154183859

UEI:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 182730

Subrecipient or Contractor Name: KENTUCKY COMMUNITIES ECONOMIC OPPORTUNITY COUNCIL, INC.

City: Barbourville

State: KY

Zip Code: 40906, 0490

DUNS Number: 012587655

UEI:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 154689

Subrecipient or Contractor Name: WOMEN AWARE, INC.

City: Paducah

State: KY

Zip Code: 42002, 0098

DUNS Number: 968932780

UEI:

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 112888

Subrecipient or Contractor Name: MOUNTAIN COMPREHENSIVE CARE CENTER

City: Paintsville

State: KY

Zip Code: 41240, 1273

DUNS Number: 068135607

UEI:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 19710

Subrecipient or Contractor Name: CLARK COUNTY COMMUNITY SERVICES

City: Winchester

State: KY

Zip Code: 40391, 1915

DUNS Number: 133040092

UEI:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 142500

Subrecipient or Contractor Name: DANIEL BOONE COMMUNITY ACTION AGENCY, INC.

City: Manchester

State: KY

Zip Code: 40962, 9201

DUNS Number: 062975404

UEI: JQXPR57WPPA3

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 124583

Subrecipient or Contractor Name: BARREN RIVER AREA SAFE SPACE

City: Bowling Green

State: KY

Zip Code: 42102, 1941

DUNS Number: 184702038

UEI:

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 102000

Subrecipient or Contractor Name: COMMUNITY OUTREACH

City: Tompkinsville

State: KY

Zip Code: 42167, 0548

DUNS Number: 831096391

UEI:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 26475

Subrecipient or Contractor Name: JESUS COMMUNITY CENTER

City: Russellville

State: KY

Zip Code: 42276, 1913

DUNS Number: 126123462

UEI:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Faith-Based Organization

ESG Subgrant or Contract Award Amount: 42020

Subrecipient or Contractor Name: GATEWAY HOMELESS COALITION

City: Morehead

State: KY

Zip Code: 40351, 0326

DUNS Number: 012674532

UEI:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 142500

Subrecipient or Contractor Name: DANIEL PITINO SHELTER

City: Owensboro

State: KY

Zip Code: 42301, 2170

DUNS Number: 927036467

UEI:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 52027

Subrecipient or Contractor Name: EMERGENCY CHRISTIAN MINISTRIES

City: Williamsburg

State: KY

Zip Code: 40769, 3107

DUNS Number: 611190975

UEI:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Faith-Based Organization

ESG Subgrant or Contract Award Amount: 28604

Subrecipient or Contractor Name: OASIS

City: Owensboro

State: KY

Zip Code: 42302, 0315

DUNS Number: 610995748

UEI:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 82729

Subrecipient or Contractor Name: The Salvation Army - Hopkinsville

City: Hopkinsville

State: KY

Zip Code: 42241, 0427

DUNS Number: 124636940

UEI:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 101382

Subrecipient or Contractor Name: SIMON HOUSE

City: Frankfort

State: KY

Zip Code: 40601, 6216

DUNS Number: 868790593

UEI:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 87000

Subrecipient or Contractor Name: SAFE HARBOR

City: Ashland

State: KY

Zip Code: 41105, 2163

DUNS Number: 026539929

UEI:

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 77050

Subrecipient or Contractor Name: DOVES of Gateway
City: Morehead
State: KY
Zip Code: 40351, 5012
DUNS Number: 160935156
UEI:
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 104480

Subrecipient or Contractor Name: SpringHaven, Inc.
City: Elizabethtown
State: KY
Zip Code: 42702, 2047
DUNS Number: 090940347
UEI:
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 57000

Subrecipient or Contractor Name: CUMBERLAND VALLEY DOMESTIC VIOLENCE SERVICES, INC
City: London
State: KY
Zip Code: 40743, 2162
DUNS Number: 079759920
UEI:
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 16429

Subrecipient or Contractor Name: KENTUCKY HOUSING CORP
City: Frankfort
State: KY
Zip Code: 40601, 6156
DUNS Number: 082316696
UEI:
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 0

Subrecipient or Contractor Name: CLARK CO HOMELESS COALITION

City: Winchester

State: KY

Zip Code: 40392, 4692

DUNS Number: 967684353

UEI:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 142500

Subrecipient or Contractor Name: The Salvation Army - Bowling Green

City: Bowling Green

State: KY

Zip Code: 42101, 4829

DUNS Number: 117680474

UEI:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 34745

Subrecipient or Contractor Name: The Salvation Army - Madisonville

City: Madisonville

State: KY

Zip Code: 42431, 4505

DUNS Number: 124638201

UEI:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 78000

Subrecipient or Contractor Name: BETHANY HAVEN

City: Bardstown

State: KY

Zip Code: 40004, 0601

DUNS Number: 054628669

UEI:

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 54250

Subrecipient or Contractor Name: The Ion Center for Violence Prevention, Inc

City: Covington

State: KY

Zip Code: 41011, 2413

DUNS Number: 163518467

UEI:

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 165000

Subrecipient or Contractor Name: HOTEL, Inc.

City: Bowling Green

State: KY

Zip Code: 42101, 9614

DUNS Number: 048561299

UEI: F17KFJQCPDM7

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 52903

Subrecipient or Contractor Name: City of Georgetown

City: Georgetown

State: KY

Zip Code: 40324, 1702

DUNS Number: 089703862

UEI:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Unit of Government

ESG Subgrant or Contract Award Amount: 99068

Attachment

AI Appendix

Analysis of Impediments Appendix

CR-35: Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)

The state's Analysis of Impediments to Fair Housing was completed in April 2025 and remains the guiding document for KHC in affirmatively furthering fair housing for this reporting period. The AI lists state- and local municipal-level impediments to fair housing in Kentucky. All KHC recipients are required to identify which of those impediments their projects would work to eliminate, and how they planned to do so. Also, KHC revised its process for issuing Certifications of Consistency with the state's Consolidated Plan for PHAs in response to HUD's requirement that the certification state how the PHA plans or actions are consistent with the Consolidated Plan and Analysis of Impediments to Fair Housing. Currently, PHAs specify how their plans are consistent in a certification to KHC.

The Department for Local Government (DLG) informs all cities and counties, via the DLG website, of all trainings, notices, and initiatives undertaken by KHC, The Kentucky Commission on Human Rights, and The Kentucky Fair Housing Council.

These steps are outlined more fully in the state's AI, but KHC has taken all due steps to implement them. In addition, KHC has taken the following actions to affirmatively further fair housing: KHC continues to review and revamp its services for the LEP population as needed, increase the number of documents translated into Spanish, and translate other documents or seek out interpreters as needed. KHC consistently reinforces its efforts to provide meaningful access to services, programs, and benefits to individuals with Limited English Proficiency. KHC displays Fair Housing posters in all KHC offices in English and Spanish. In addition, the posters are sent to funding recipients. Initial assistance to LEP clients is provided by KHC onsite staff as available to assess issues and as needed, by outside interpretation services. KHC's advertisements for public hearings includes provisions for LEP populations upon request and translated into Spanish to invite LEP populations to participate. KHC's website is available in Spanish and several other languages. At departmental levels, vital program documents are translated in Spanish, and other languages as needed, to increase access to services. (Tenant Assistance Program, Single Family Programs, Kentucky Point-In-Time Count survey for the homeless, CoC Program, Emergency Solutions Grants Program, etc.) KHC updated in its Language Access Plan (LAP) in January 2023.

As an administrator of housing programs, KHC provides educational and technical assistance regarding fair housing whenever possible. KHC continues to include fair housing compliance requirements in all contracts with subrecipients. At the program level, KHC continues to work with all its sub-recipients who receive pass-through federal funding to expand participation. To ensure compliance, KHC will annually implement the Title VI self-survey by sending it to recipients receiving funding. KHC's fair housing coordinator oversees the Title VI survey process, with program recipients' responses carefully evaluated for compliance. Any survey response which is not satisfactory is sent back for further review, clarification, or investigation as needed. As part of its ongoing Title VI review efforts, KHC requires that agencies who indicate that their boards fail to have any minority members must also provide KHC with an explanation as to why.

The KHC legal department continues to present fair housing training when needed, including training all new KHC employees and providing refresher trainings to current KHC employees. In 2024, KHC trained all staff on fair housing with a larger focus on issues they may face when directly interacting with subrecipients and the public in their job. The KHC legal department, on an ongoing basis, reviews documents submitted by KHC partners for fair housing compliance.

Fair Housing Action Plan

The Fair Housing Action Plan includes recommended actions for KHC and DLG. Many of these recommended actions have been actively addressed over the past five years since the last AI (see Tables 1a and 1b, Progress Since Last AI found in the 2025 – 2029 Consolidated Plan: <https://www.kyhousing.org/Planning-Documents/Pages/Consolidated-Plan.aspx>). The reason for this categorization is that KHC and DLG, within their own agencies' missions, have many worthwhile programs and initiatives that affirmatively further fair housing, and these must be continued. We recognize that many of these actions will be ongoing efforts, and that KHC and DLG are currently doing many of these action items. Fair housing is a complex issue, and it will require ongoing collaboration beyond the next five years to achieve comprehensive fair housing goals.

Impediment	Strategy	2025-2029 Action Steps
Fair Housing Education and Outreach	Continue to financially and administratively support bilingual housing counseling and education efforts.	- KHC remains committed to financially and administratively supporting bilingual housing counseling and education efforts. - KHC will continue to support the efforts of its mortgage production administrators to coordinate marketing, outreach, education, and engagement activities and review KHC's internal policies and practices to ensure multicultural populations are effectively served.
	Continue to collaborate with the Kentucky Commission on Human Rights and Kentucky Fair Housing Council, Inc., to expand education and outreach efforts and to identify gaps in need of additional efforts.	KHC will continue to provide monetary and administrative support to these fair housing organizations for their training and other activities.
	Continue outreach and education efforts on the housing supply gap, including dissemination of information found in the Housing Supply Gap Analysis, to relevant stakeholders and legislators.	KHC will continue to actively provide outreach and education efforts to highlight the housing gaps in Kentucky to the legislature and stakeholders.

Impediment	Strategy	2025-2029 Action Steps
Expansion and Preservation of Affordable Housing in a Variety of Locations	Continue to allocate LIHTC funding to projects that prioritize higher opportunity areas and avoid concentrations of affordable housing.	KHC will continue to actively prioritize higher opportunity areas in KHC's QAP and Multifamily Guidelines to discourage high concentrations of affordable housing.
	Continue to create opportunities for first-time homebuyers through the Down Payment Closing Cost Assistance Program and the Homebuyer Loan Program for income-eligible, first-time homebuyers (not using HOME funds).	KHC will continue to actively promote the DAP and Homebuyer Loan programs to assist first-time homebuyers to purchase an affordable home.
	Continue the AHTF Home Rehab Program and the Weatherization Assistance Program to preserve the existing affordable housing inventory and lower homeowner costs.	KHC will continue to promote the AHTF Home Rehab Program and the Weatherization Assistance Program to preserve affordable housing.
	Continue to advocate for affordable housing resources among local, state, and Congressional delegations.	KHC remains committed to its legislative outreach and education efforts at the federal and state levels and anticipates continuing this over the next five years.
	Continue the Tenant-Based and Project-Based Rental Assistance Programs to ensure affordable rental assistance for protected classes across the state, including assistance to individuals with disabilities and those recovering from substance abuse. Roll out expanded number of vouchers through HUD Section 811 award.	KHC will continue to promote the Tenant-based and Project-Based Rental Assistance Programs to ensure affordable rental assistance for protected classes across the Commonwealth. The HOME TBRA Program will continue to provide temporary assistance to individual households to help them afford the housing costs of market-rate units, while the PHA voucher program will continue to provide rental assistance to eligible households.

Impediment	Strategy	2025-2029 Action Steps
	Continue to seek CoC funding to provide affordable housing options and related services for protected classes.	KHC will continue to seek CoC funding for housing and services solutions for persons experiencing homelessness.
	Evaluate tools and methods to connect people to housing, such as housing service locator tools.	KHC will continue to support Padmission, LLC to provide a platform to connect people to housing via a housing service locator tool. In addition, KHC will work to ensure the participation of all LIHTC properties in the Padmission system.
Enforcement of Design and Construction Requirements	- Continue the allocation of funding to projects that include accessibility design features such as aging-in-place, universal design, ADA, and UFAS construction standards, including: - Expand the certification of compliance with design. - Require that general contractors involved in the design and construction of new multifamily residential properties sign the certification form, in addition to owners/developers and architects. - Continue allocation of funding to projects that include accessibility design features such as aging-in-place, universal design, ADA, and UFAS construction standards.	KHC will continue to require that all projects complete a Certificate of Compliance with Design Standards and ensure that the design complies with all applicable federal, state, and local accessibility requirements. In addition, KHC will require funding applicants to continue to identify which impediments in the AI their projects will work to eliminate, and how they plan to do so.

Impediment	Strategy	2025-2029 Action Steps
Ensure Access to Programs and Services for LEP Populations	Annually update the LAP to reflect a review of any demographic changes statewide and in each county, and the results of monitoring for the effectiveness of the plan's implementation.	KHC will annually update the LAP to reflect a review of any demographic changes statewide and for each county. Translating applications, informational materials, and important notices into multiple languages increases the number of non-English speaking residents able to benefit from programs and services. In addition, KHC will continue to work with interpreters to provide services to LEP populations as needed.
	Evaluate collecting preferred language data at the time of single-family loan origination to provide improved loan servicing to LEP populations.	KHC will continue to evaluate collecting preferred language data at the time of single-family loan origination to provide improved loan servicing to LEP populations.

2025 ESG CAPER

8/27/26, 1:51 PM

Sage: Reports: Submission Overview: ESG: CAPER



Submission Overview: ESG: CAPER

Report: CAPER

Period: 7/1/2025 - 6/30/2026

Your user level here: Data Entry and Account Admin

Step 1: Dates

7/1/2025 to 6/30/2026

Step 2: Contact Information

Grant Contact

The Contact Person should be the person that the HUD Field Office or HUD Headquarters should contact regarding your APR submission should there be any questions or issues. The contact person should be familiar with both the project and the APR submission. This is also the person who will receive the email from Sage acknowledging the submission of the APR to the HUD Field Office and of the action taken by the Field Office approval, request to resubmit, comments, etc.

First Name	Margaret Ann
Middle Name	
Last Name	Smith
Suffix	
Title	Data Analysis and Systems Supervisor
Street Address 1	1231 Louisville Rd
Street Address 2	
City	Frankfort
State	Kentucky
ZIP Code	40601
E-mail Address	masmith@kyhousing.org
Phone Number	(502)564-7630
Extension	274
Fax Number	(502)564-4598

Additional Contact(s)

Additional contact(s) will receive automatic email notifications from Sage regarding report status changes.

First Name	Sara
Last Name	Morris
E-mail Address	smorris@kyhousing.org
Confirm E-mail Address	smorris@kyhousing.org

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Step 4: Grant Information**Emergency Shelter Rehab/Conversion**

Did you create additional shelter beds/units through an ESG-funded rehab project	No
Did you create additional shelter beds/units through an ESG-funded conversion project	No

Data Participation Information

Are there any funded projects, except HMIS or Admin, which are <u>not listed on the Project Links and Uploads form</u> ? This includes projects in the HMIS and from VSP	No
--	----

Step 5: Project Outcomes

Project outcomes are required for all CAPERS where the program year start date is 1-1-2021 or later. This form replaces the narrative in CR-70 of the eCon Planning Suite.

From the Action Plan that covered ESG for this reporting period copy and paste or retype the information in Question 5 on screen AP-90: "Describe performance standards for evaluating ESG."

KHC has implemented ESG Performance Standards for all subrecipient agencies. The primary outcomes KHC will monitor will be those established by HUD as part of the CoC System Performance Measures that are relevant to ESG programs, which includes:

- Reduction in the average and median length of time persons remain homeless;
- Reduction in the percent of persons who return to homelessness;
- Reduction in the number of persons who are homeless;
- Number of persons who become homeless for the first time;
- Successful placement from Street Outreach; and
- Successful placement in or retention of Permanent Housing

KHC will also focus on outcomes established in All in: The Federal Strategic Plan to Prevent and End Homelessness, which calls for coordinated efforts to end homelessness for veterans, youth, families, and chronically homeless in addition to persons experiencing homelessness overall.

Based on the information from the Action Plan response previously provided to HUD:

1. Briefly describe how you met the performance standards identified in A-90 this program year. If they are not measurable as written type in N/A as the answer.

This program year, the KY Balance of State successfully met several ESG performance standards, most notably a continued decrease in returns to homelessness across projects. This downward trend reflects our expanded efforts to provide ongoing case management after housing placement, the addition of improved case management tools, planning resources, and statewide training across all 118 Kentucky counties, and targeted technical assistance provided by our designated Supportive Services Technical Administrator to strengthen case management, housing placement and long-term stability.

We also saw improvements in successful exits from Street Outreach, Emergency Shelter, Transitional Housing, and Rapid Rehousing, with Street Outreach exits increasing by 12.8%. These gains were further supported by an expanded Coordinated Entry team that has strengthened collaboration with the HMIS team and VSPs, along with intensive Street Outreach-specific training designed to bolster outreach case managers across the state.

2. Briefly describe what you did not meet and why. If they are not measurable as written type in N/A as the answer.

During this program year, a few ESG performance standards were not met due to statewide housing challenges and economic pressures. The average and median length of time persons remained homeless increased across all projects, driven by both affordability and a significant lack of available housing units; in fact, KHC partnered with a research group to complete a Housing Gaps Analysis that identified a substantial shortage of affordable units in Kentucky.

Additionally, the total number of individuals entering HMIS rose, including a matching increase in first-time homelessness, indicating higher inflow despite improvements in positive exits. This increase appears tied to broader economic strains as well as ongoing affordability and availability barriers. While ESG Prevention activities remain a priority to help stabilize households, the end of ERA2 funding during this reporting period reduced resources available for prevention, further affecting performance outcomes.

OR

3. If your standards were not written as measurable, provide a sample of what you will change them to in the future? If they were measurable and you answered above type in N/A as the answer.

N/A

Step 6: Financial Information

ESG Information from IDIS

As of 8/14/2026

FY	Grant Number	Current Authorized Amount	Funds Committed By Recipient	Funds Drawn	Balance Remaining	Obligation Date	Expenditure
2025	E25DC210001	\$2,666,606.00	\$2,548,921.00	\$1,522,737.48	\$1,143,868.52	9/11/2025	9/11/2027
2024	E24DC210001	\$2,669,926.00	\$2,669,926.00	\$2,669,926.00	\$0	9/18/2024	9/18/2026
2023	E23DC210001	\$2,656,768.00	\$2,656,768.00	\$2,656,768.00	\$0	8/15/2023	8/15/2025
2022	E22DC210001	\$2,646,300.00	\$2,646,300.00	\$2,646,300.00	\$0	11/10/2022	11/10/202
2021	E21DC210001	\$2,595,355.00	\$2,595,353.48	\$2,595,353.48	\$1.52	1/3/2022	1/3/2024
2020	E20DC210001	\$2,552,621.79	\$2,552,621.79	\$2,552,621.79	\$0	1/15/2021	1/15/2023
2019	E19DC210001	\$2,454,966.00	\$2,454,966.00	\$2,454,966.00	\$0	8/27/2019	8/27/2021
2018	E18DC210001	\$2,365,442.00	\$2,365,442.00	\$2,365,442.00	\$0	9/12/2018	9/12/2020
2017	E17DC210001	\$3,119,879.00	\$3,119,879.00	\$3,119,879.00	\$0	10/19/2017	10/19/201
2016	E16DC210001	\$2,397,901.00	\$2,397,901.00	\$2,397,901.00	\$0	8/22/2016	8/22/2018
2015	E15DC210001	\$2,417,144.70	\$2,417,144.70	\$2,417,144.70	\$0	8/6/2015	8/6/2017
Total		\$32,715,093.40	\$32,597,406.88	\$31,571,223.36	\$1,143,870.04		

Expenditures	2025 Yes	2024 Yes	2023 No	2022 No	2021 No	2020 No	2019 No	2018 No
	FY2025 Annual ESG Funds for	FY2024 Annual ESG Funds for						
Homelessness Prevention	Non-COVID	Non-COVID						
Rental Assistance	10,729.98	35,024.10						
Relocation and Stabilization Services - Financial Assistance	5,141.79	14,978.33						
Relocation and Stabilization Services - Services	4,423.48	16,396.49						
Hazard Pay (unique activity)								
Landlord Incentives (unique activity)								
Volunteer Incentives (unique activity)								
Training (unique activity)								
Homeless Prevention Expenses	20,295.25	66,398.92						
	FY2025 Annual ESG Funds for	FY2024 Annual ESG Funds for						
Rapid Re-Housing	Non-COVID	Non-COVID						
Rental Assistance	182,965.52	241,393.16						
Relocation and Stabilization Services - Financial Assistance	52,552.78	122,706.31						
Relocation and Stabilization Services - Services	170,664.09	91,666.96						
Hazard Pay (unique activity)								
Landlord Incentives (unique activity)								

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Volunteer Incentives (unique activity)		
Training (unique activity)		
RRH Expenses	406,182.39	455,766.43
	FY2025 Annual ESG Funds for	FY2024 Annual ESG Funds for
Emergency Shelter	Non-COVID	Non-COVID
Essential Services	124,076.77	47,817.05
Operations	731,363.05	310,007.20
Renovation		12,845.00
Major Rehab		
Conversion		
Hazard Pay (unique activity)		
Volunteer Incentives (unique activity)		
Training (unique activity)		
Emergency Shelter Expenses	855,439.82	370,669.25
	FY2025 Annual ESG Funds for	FY2024 Annual ESG Funds for
Temporary Emergency Shelter	Non-COVID	Non-COVID
Essential Services		
Operations		
Leasing existing real property or temporary structures		
Acquisition		
Renovation		
Hazard Pay (unique activity)		
Volunteer Incentives (unique activity)		
Training (unique activity)		
Other Shelter Costs		
Temporary Emergency Shelter Expenses		
	FY2025 Annual ESG Funds for	FY2024 Annual ESG Funds for
Street Outreach	Non-COVID	Non-COVID
Essential Services	130.00	32,638.60
Hazard Pay (unique activity)		
Volunteer Incentives (unique activity)		
Training (unique activity)		
Handwashing Stations/Portable Bathrooms (unique activity)		
Street Outreach Expenses	130.00	32,638.60
	FY2025 Annual ESG Funds for	FY2024 Annual ESG Funds for

Other ESG Expenditures	Non-COVID	Non-COVID
Cell Phones - for persons in CoC/YHDP funded projects (unique activity)		
Coordinated Entry COVID Enhancements (unique activity)		
Training (unique activity)		
Vaccine Incentives (unique activity)		
HMIS	38,967.70	44,217.02
Administration	142,273.08	32,169.97
Other Expenses	181,240.78	76,386.99
	FY2025 Annual ESG Funds for	FY2024 Annual ESG Funds for
	Non-COVID	Non-COVID
Total Expenditures	1,463,288.24	1,001,860.19
Match	1,894,572.98	2,929,540.47
Total ESG expenditures plus match	3,357,861.22	3,931,400.66

Total expenditures plus match for all years

Step 7: Sources of Match

	FY2025	FY2024	FY2023	FY2022	FY2021	FY2020	FY2019	FY2018	FY2017	FY2016	FY2015
Total regular ESG plus COVID expenditures brought forward	\$1,463,288.24	\$1,001,860.19	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total ESG used for COVID brought forward	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total ESG used for regular expenses which requires a match	\$1,463,288.24	\$1,001,860.19	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Match numbers from financial form	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Match Percentage	0.00%	0.00%	0%	0%	0%	0%	0%	0%	0%	0%	0%

Match Source

	FY2025	FY2024	FY2023	FY2022	FY2021	FY2020	FY2019	FY2018	FY2017	FY2016	FY2015
Other Non-ESG HUD Funds	372,795.00	671,704.23									
Other Federal Funds	328,561.05	245,007.60									
State Government	169,502.22	137,562.61									
Local Government	3,662.00	105,298.27									
Private Funds	157,352.39	294,702.28									
Other	807,027.37	1,400,143.32									
Fees											
Program Income	55,672.95	75,122.16									
Total Cash Match	1,894,572.98	2,929,540.47	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Non Cash Match											
Total Match	1,894,572.98	2,929,540.47	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Step 8: Program Income

Program income is the income received by the recipient or subrecipient directly generated by a grant supported activity. Program income is defined in 2 CFR §200.307. More information is also available in the [ESG CAPER Guidebook](#).

Did the recipient earn program income from any ESG project during the program year?

2025 HOPWA CAPER

Housing Opportunities for Persons With AIDS (HOPWA) Program	
Revised: 05/11/2025	
Consolidated AFR/CAPER – HOPWA Grantee	
OMB Approval No. 2506-0117 (Expiration Date: 12/31/2025)	
Burden Statement	
The purpose of this information collection is to meet the Housing Opportunities for Persons With AIDS (HOPWA) annual reporting requirements. Reporting is required for all HOPWA grantees pursuant to 42 U.S.C. § 12911; 24 CFR § 574.520(a) and (b); and 24 CFR § 574.520(f). The information collected on this form is required to obtain a benefit. It will not be confidential. The public reporting burden for this collection of information is estimated to average 40 hours, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the information collection. This includes the time for collecting, reviewing, and reporting the data. HUD may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a currently valid OMB control number. OMB Approval No. 2506-0117 (Expiration Date: 12/31/2025).	
Term	Definition
Viral Suppression	When the load or volume of HIV virus present in a person's blood is measured at less than 200 copies per milliliter of blood.
Adjustment for Duplication	Removal of duplicate entries when a household/unit received more than one type of HOPWA assistance, which enables the calculation of unduplicated output totals. For example, if a household received both HOPWA TBRA and HOPWA PHP from the same project sponsor, adjusting for duplication would ensure that household was only counted once when calculating the HOPWA housing subsidy assistance household total.
Administrative Agent	An entity the grantee has selected to carry out administrative activities on behalf of the grantee. When a grantee utilizes another organization to carry out some or all of the grantee's administrative functions, the administrative activities, costs, and terms of payment should be clearly delineated in a contract or other written agreement between the parties. All costs associated with administering the grant, whether incurred by the grantee or the other organization, are subject to the 3% administrative cost limit. For the purposes of HOPWA annual performance reporting, the administrative agent would not complete a separate "Provider Workbook."
Administrative Costs	Costs for general management, oversight, coordination, evaluation, and reporting (24 CFR § 574.3). By statute, grantee administrative costs are limited to 3% of the total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive (42 U.S.C. § 12911).
Anti-Retroviral Therapy	A use of a combination of medications to treat HIV.
Area Median Income (AMI)	The Department of Housing and Urban Development (HUD) sets income limits that determine eligibility for assisted housing programs including the HOPWA program. HUD develops income limits based on Median Family Income estimates and Fair Market Rent area definitions for each metropolitan area, parts of some metropolitan areas, and each non-metropolitan county. AMI values vary by location and are published at https://www.huduser.gov/portal/datasets/wi.html .
Beneficiary(ies)	All members of a household (with or without HIV) who benefited from HOPWA assistance during the operating year, not including the HOPWA-eligible individual (see definition).
Chronically Homeless Person	A person defined as chronically homeless under 24 CFR 578.3. (3) A homeless individual with a disability as defined in section 401(b) of the McKinney-Vento Assistance Act (42 U.S.C. 11360(b)) who: a) lives in a place not meant for human habitation, a safe haven, or in an emergency shelter, and b) has been homeless and living as described for at least 12 months or on at least 4 separate occasions in the last 3 years, as long as the combined occasions equal at least 12 months and each break in homelessness separating the occasions included at least 7 consecutive night of not living as described; (2) An individual who has been residing in an institutional care facility, including jail, substance abuse or mental health treatment facility, hospital, or other similar facility, for fewer than 90 days and met all of the criteria of this definition before entering that facility; or (3) A family with an adult head of household (or, if there is no adult in the family, a minor head of household) who meets all of the criteria of this definition, including a family whose composition has fluctuated while the head of household has been homeless.)
Contractor	A contractor is an entity that receives a legal instrument (contract) by which a grantee or project sponsor purchases property or services needed to carry out the project or program under a federal award. The purpose of the contract is to obtain goods and services for the grantee/project sponsor's own use and creates a procurement relationship with the contractor. Characteristics indicative of a procurement relationship between the grantee and a contractor are when the contractor: provides the goods and services within normal business operations; provides similar goods or services to many different purchasers; normally operates in a competitive environment; provides goods or services that are ancillary to the operation of the HOPWA program; and is not subject to compliance requirements of the HOPWA program as a result of the agreement, though similar requirements may apply for other reasons (2 CFR 200.333)(b). For purposes of HOPWA annual performance reporting, contractors do not submit a separate "Provider Workbook."
Facility-Based Housing Assistance	Leasing, operating, and hotel/motel expenditures to support units or facilities including community residences, SRD dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.
Faith-Based Organization	Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.
Grassroots Organization	An organization that is headquartered in the local community where it provides services, has a social services budget of \$300,000 or less annually, and has six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered "grassroots."
HOPWA-Eligible Individual	The one low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered "head of household." A child may also qualify the household for HOPWA assistance. When the annual performance report asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH(s), would be considered a beneficiary(s).
Housing Information Services	Costs to provide counseling, information, or referral services to assist an eligible person to locate, acquire, finance, and maintain housing (24 CFR 574.3300)(1). Some eligible costs under Housing Information Services include staff time to assist eligible clients in searching for or locating appropriate housing whether HOPWA-subsidized or not, staff time to provide fair housing guidance for eligible households who may encounter discrimination on the basis of race, color, religion, sex, age, national origin, familial status, or disability; staff time to provide housing counseling to acquire and finance housing; and development and use of Homeless Management Information System (HMIS) elements to coordinate housing assistance for eligible households. HMIS costs billed to housing information services must be pre-estimated and not include HMIS reporting. Use of HMIS for reporting purposes is considered an administrative activity and must be billed to administrative costs.
HOPWA Housing Subsidy Assistance Total	The unduplicated number of households receiving housing subsidies (TBRA, STRMU, Permanent Housing Placement services and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the program year for formula grantees and the operating year for competitive grantees.
Household	A single individual or a family, as defined in 24 CFR 574.3. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-in Aides (see definition for Live-in Aide) and non-household members (e.g., a shared housing arrangement with a roommate) who resided in the unit are not reported in the annual performance report.
Improved HIV Viral Load	A reduction in the load or volume of HIV present in the HOPWA-eligible individual's blood at the end of the operating year compared to the beginning of the operating year. Most people with HIV/AIDS (PWH) who are engaged in medical care have routine laboratory tests. The HOPWA-eligible individual's two most recent laboratory reports can be used to determine viral load improvement, even if the first laboratory test was performed in a prior operating year.
In-kind Leveraged Resources	These are additional types of support provided to assist HOPWA-eligible individuals such as volunteer services, materials, use of equipment, and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the criteria described in 2 CFR part 200. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sale, advertised prices, appraisals, or other information for comparable property similarly situated.
Leasing Costs	Costs used to lease all or a portion of a building as needed to provide housing to eligible households. For eligible individuals or families unable to hold leases in their names, funding may be used to "master lease" units, where the eligible households choose the units, the grantee or project sponsor leases the units and pays the full rent to the landlord, and the eligible households pay the grantee or project sponsor the amount required by 24 CFR 574.3300(d). This type of master leasing can be administered as "turn-key" housing assistance, to be replaced with Tenant-Based Rental Assistance (TBRA) if the landlord agrees to transfer the lease to the eligible person. Funding may also be used to master lease units to be operated as transitional housing for eligible households. For example, post-incarceration programs often lease a unit to temporarily house an eligible household returning to the community until other more permanent housing arrangements can be made. The lease is always in the organization's name. The furniture, housing equipment, and supplies, eligible under the operating budget line item, belong to the organization and remain in the unit for the next household's use. Eligible leasing costs include the cost to lease a housing facility or scattered-site units, staff time to negotiate lease terms with lessor or landlord, and annual housing inspections to ensure HOPWA habitability standards are met for scattered-site units assisted with Leasing.
Leveraged Funds	The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources. Leveraged funds are used to further support HOPWA clients receiving assistance during the operating year for formula grantees, or under the applicable competitive grant.
Live-in Aide	A person who resides with the HOPWA-eligible individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. See 24 CFR 5.403 and the HOPWA Grantee Oversight Resource Guide for additional reference.

Master Leasing	Applies to the leasing of units of housing (scattered sites or entire buildings) from a landlord by a nonprofit or public agency that subleases the units to HOPWA-eligible tenants. By assuming the tenancy burden, the agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.
Minimum Use Periods	Grantees that used HOPWA funding for new construction, acquisition, conversion, lease, or substantial rehabilitation of a building or structure are required to operate the building or structure for HOPWA-eligible individuals for a 30-year period. In the case of non-substantial rehabilitation or repair of a building or structure, the minimum use period is not less than 5 years. If no further HOPWA funds are used to support the facility, in place of completing the "CAP DEY" tab in the Performance Report Worksheet, the grantee must complete an "Annual Report of Continued Project Operation" throughout the required use periods. This report is found on the "STRIPAPP" tab of the Grantee Workbook.
Medically Assisted Living Facilities	HOPWA facility-based housing that assists residents with most or all activities of daily living, such as meals, bathing, dressing, and toileting. Regular medical care, supervision, and rehabilitation are also often available.
Operating Costs	Costs of operating a housing facility owned or leased by the grantee or project sponsor, to the extent the costs are necessary to house eligible households. Eligible operating costs include utilities, property insurance, minor repairs, and upkeep of the facility, maintenance both inside and outside the facility, procurement and contracting of services for facility operation or maintenance, furniture and appliances that will remain with the facility, food purchases and kitchen operation for HOPWA household at the facility, and staff time for directing any of the eligible operating costs mentioned above at the facility for eligible households (24 CFR §574.300(b)(8)).
Operating Year – Competitive grantees	HOPWA competitive grants are awarded for a 3-year period of performance with annual performance reports submitted for each of the 3 operating years. The information contained in this performance report should reflect the grantee's operating year with the beginning date determined at the time the grant agreement is signed. Project sponsor accomplishment information must coincide with the operating year this Performance Report covers. Any change to the period of performance requires the approval of HUD by amendment, such as an extension for one additional operating year. A FSI renewal/replacement grant start date would be coordinated with the close out of the existing grant. Grantees with an approved extension period of less than 6 months must submit the Performance Report for the third year of the grant term at the end of the approved extension period and incorporate data from the additional months. Grantees with an approved extension period of 6 months or more must turn in a Performance Report at the end of the operating year and submit a separate extension Performance Report at the end of the extension period.
Operating Year – Formula grantees	HOPWA Formula Grantees follow the Grantee Program Year as established by the Consolidated Planning Processes. All CDF Programs (HOMI/ESG/CDBG/HOPWA) use the same 12-month period as their Operating Year for performance reporting. The information contained in each annual performance report must represent a one-year time period of HOPWA program operation that coincides with the grantee's program year. HOPWA Formula Grantees are annually awarded grants with a 3-year period of performance, as established by the Grantee signature date on the Grant Agreement. Since Grant period of performances vary from Fiscal Year to Fiscal Year, and do not necessarily coincide with a Grantee's Operating Year, funds from more than one HOPWA formula grant awarded to the same grantee may be used during an operating year and the annual performance report must capture all formula grant funding used during the operating year. Project sponsor accomplishment information must also align with the operating year the annual performance report covers.
Outcome	The degree to which the HOPWA assisted household has been enabled to establish or maintain a stable living environment in housing that is safe, decent, and sanitary (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness and improve access to HIV treatment and other health care and support.
Output	The number of units of housing or households that receive HOPWA assistance during the operating year.
Permanent Housing Placement (PHP)	Eligible costs under PHP include security deposits not to exceed two months of rent, rental application fees, credit checks, one-time utility hook-up fees paid directly to the utility company, utility arrears only if the cost is creating a barrier to establishing permanent housing in a new unit, rent arrears only if paid due rent debt at a prior unit is a barrier to accessing a new unit, initial housing inspections, reasonable travel costs to units for initial housing inspections, staff time to review and identify causes for eviction and responsibilities of the tenant within the last, staff time for assisting clients with executing the lease, and staff time for resolving landlord issues directly related to the PHP assistance being provided. PHP can be used in conjunction with TBRA where PHP pays the security deposit and TBRA covers ongoing monthly rent payments starting with the first month. PHP must only be used to assist the client in entering permanent housing. PHP must never be used for monthly rent or ongoing utility costs where a client is already in permanent housing. Although PHP is included as a supportive service under 24 CFR 574.300(b)(7), HUD has established a dedicated BU for PHP to distinguish it from other supportive services.
Program Income	As defined in 2 CFR 200.3, program income is income earned by the grantee or project sponsor that is directly generated by a supported activity or earned as a result of the grant during the period of performance (except as provided by 2 CFR 200.307). See grant administration requirements on program income at 2 CFR 200.307 and the Federal award.
Project-Based Rental Assistance (PBRA)	A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor. Assistance is tied directly to the properties and is not portable or transferable.
Project Sponsor Organizations	Per HOPWA regulations at 24 CFR 574.3, any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended.
Resource Identification	Resource identification funds may be used to establish, coordinate, and develop permanent housing assistance resources for eligible persons (including conducting preliminary research and making expenditures necessary to determine the feasibility of specific housing-related initiatives) (24 CFR § 574.300(b)(2)). Activities can include increasing coordination with local initiatives, systems, or strategies (such as the local Continuum of Care or Ryan White Planning Council) to house HOPWA-eligible individuals, development of new housing resources, and conducting community needs assessments to inform system design.
Rural	For purposes of HOPWA reporting, a rural county is a county in which: (1) Has no part of it within an area designated as a standard metropolitan statistical area by the Office of Management and Budget; or (2) is within an area designated as a metropolitan statistical area or considered as part of a metropolitan statistical area and at least 75% of its population is local on U.S. Census blocks classified as non-urban; or (3) is located in a state that has a population density of less than 30 persons per square mile (as reported in the most recent decennial census), and of which at least 1.25% of the total acreage of such State is under Federal jurisdiction.
Short-Term Rent, Mortgage, and Utility (STRMU) Assistance	Short-term rent, mortgage, and utility payments to prevent the homelessness of the tenant or mortgagee of a dwelling (24 CFR 574.300(b)(6)). Eligible STRMU costs include up to 23 weeks of the HOPWA-eligible individual's rent, mortgage, and/or utility costs, and the costs of staff time to review and determine household's need for STRMU assistance and make the STRMU payments. For the purposes of STRMU assistance, to the extent that taxes, insurance, condominium fees, or other building operation costs are included in the monthly mortgage payment either by federal regulation or the terms of the mortgage, these expenses are eligible to be included in the STRMU mortgage assistance payments. STRMU mortgage assistance for taxes, insurance, or condo fees that are not included on the monthly mortgage statement are not eligible.
Stewardship Units	Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction, and/or rehabilitation that no longer receive operating subsidies from HOPWA. Report information for the units is subject to the 3-year use agreement if rehabilitation is non-substantial and to the 30-year use agreement if rehabilitation is substantial.
Sub-Recipient	For the purposes of the HOPWA program, a sub-recipient is an organization that receives funds under a contract with the project sponsor to carry out eligible HOPWA activities. For the purposes of HOPWA annual performance reporting, a sub-recipient organization does not need to submit a separate "Provider Workbook." The sub-recipient's HOPWA activities should be included in the "Provider Workbook" of the project sponsor with whom they have a contract.
Supportive Services	Costs include providing housing stability services, assistance in gaining access to mainstream resources, public benefits, healthcare and support positive health outcomes. However, health services may only be provided to individuals with acquired immunodeficiency syndrome or related diseases and not to family members of these individuals. Eligible supportive services costs include staff time to develop, update and review individualized housing and service plans for clients; staff time to connect households to appropriate services and treatment in accordance with their housing and service plans, management-level consultation (case staffing); health and mental health assessment services; direct outpatient treatment by licensed professionals of mental health services; substance use disorder services provided by licensed or certified professionals; individual, family, or group therapy to address co-occurring disorders; nutritional services including food banks, nutritional supplements, and counseling by certified nutrition specialists; life skills trainings such as budgeting resources, resolving conflict, using public transportation, unit maintenance, credit counseling; education services including instruction or training in consumer education, health education, substance use prevention, literacy, English as a Second Language, and General Educational Development (GED); job training or job coaching including resume development; client transportation to and from medical care, employment, child care, or other eligible essential services facilities; and HOPWA provider transportation to meet with clients for supportive service needs (24 CFR §574.300(b)(7)).
Tenant-Based Rental Assistance (TBRA)	TBRA is a rental subsidy program that grantees can provide to help low-income households access affordable housing. HOPWA does not place restrictions on the length of time eligible persons may receive TBRA. Grantees should provide the opportunity for eligible households to transition in place to self-sufficiency or another subsidy. Eligible TBRA costs include rental payments, staff time to verify household income for TBRA assistance, calculation of resident rent payment, monthly rental payments, processing a TBRA rental payment on behalf of the HOPWA-eligible individual, annual housing inspections to ensure HOPWA habitability standards are met for units being assisted with TBRA, reasonable travel costs to units for housing inspections, review of a client's selected unit for rent reasonableness and rent standard, annual recertification for households receiving ongoing TBRA, and staff time for resolving landlord issues directly related to providing the TBRA assistance.

VAWA Internal Emergency Transfers	Per 24 CFR 5.2005e, an internal emergency transfer under the Violence Against Women Act (VAWA) protections refers to an emergency relocation of a tenant to another unit where the tenant would not be categorized as a new applicant; that is, the tenant may reside in the new unit without having to undergo an application process.
VAWA External Emergency Transfers	Per 24 CFR 5.2005e, an external emergency transfer under the VAWA protections refers to an emergency relocation of a tenant to another unit where the tenant would be categorized as a new applicant; that is, the tenant must undergo an application process in order to reside in the new unit.
Veteran	A veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.

Instructions for Completing the HOPWA Provider Performance Report Workbook

What is the HOPWA Provider Performance Report Workbook?

This workbook provides annual performance data for HOPWA activities. This includes outputs (e.g., households served and demographic information), outcomes (e.g., access to care and support outcomes) and expenditures (for HOPWA-eligible costs).

This data will be compiled by the HOPWA Formula or Competitive Grantees, as part of providing annual performance reporting to HUD.

Who completes this form?

This workbook will be completed by **any organization** that conducts any HOPWA activities other than administrative activities. This includes HOPWA Formula or Competitive Grantees that conduct other HOPWA activities besides administrative activities, and the **Project Sponsor** organizations that Grantees contract to provide HOPWA services (as defined in 24 CFR 574.3).

There should be one organization's HOPWA activities reported in each workbook. Each organization should complete a separate performance report workbook that only includes the HOPWA activities conducted by that organization.

What tabs should be completed for this report?

The Performance Report Workbook requires the completion of the following tabs:

- *DEM (Demographics) & Prior Living (see Note)*
- *Leveraging*
- *ATC (Access to Care) & Totals*

ONLY PROJECT SPONSORS* should complete these tabs:

- *HOPWA Provider*
- *CONTACT*

* For Grantees that are approved to conduct Resource Identification or Technical Assistance activities, please report your expenditure amounts for those budget line items in the **HOPWA Provider** tab. These are the only cells that you will need to complete in the **HOPWA Provider** tab.

Note: Complete Prior Living information only for individuals served by TBRA, P-FBH, ST-TFBH or PHP.

The remaining tabs should **ONLY** be completed based on HOPWA services provided by the organization completing this workbook.

Leave tabs untouched if the activity is not provided by the organization.

- *TBRA (Tenant-Based Rental Assistance)*
- *P-FBH (Permanent Facility-Based Housing)*
- *ST-TFBH (Short-Term or Transitional Facility-Based Housing)*
- *STRMU (Short-Term Rent, Mortgage and Utilities Assistance)*
- *PHP (Permanent Housing Placement Assistance)*
- *Housing Info (Housing Information Services)*
- *Supp Svcs (HOPWA Supportive Services)*
- *Other Competitive Activity*
- *CAP DEV (Capital Development)*
- *VAWA (Housing Transfers for Households Covered by the Violence Against Women Act)*

Important Information

To ensure the integrity of this reporting form, please do not DELETE or ALTER any rows, columns, tabs, or the NAME of the report.

This form requires the entry of data only where applicable, with no other actions required.

- 1 Enter text in empty cells next to questions.
- 2 Enter numbers where the entry reads "0" and the answer is an amount greater than zero.

SUBMISSION INSTRUCTIONS

- Once complete, the Project Sponsor should return the entire workbook to the Grantee in the manner and timeline prescribed by the Grantee.
 - The report **MUST** be submitted in this Excel format.
 - DO NOT alter the name of this file; return it to the Grantee with the file name as provided.
 - The Grantee is responsible for reviewing this report and submitting it to HUD. Project Sponsors **should not** submit this report to HUD; only to the Grantee.
 - The Grantee may be contacted by HUD or a HUD contractor regarding the accuracy of this report.
 - Please contact the Grantee if you require support submitting this form.
 - Submission of the collection of separate workbook files satisfies the HOPWA annual performance reporting submission requirements to HUD.
- No additional uploads or submission methods (i.e., eCon Planning Suite, SharePoint, etc.) are required.

Grant ID	Grantee	Sponsor ID	Sponsor	File ID
FKY39122	Kentucky	S22177A	S22177A_Matthew 25 AIDS Services	26098_244461

Optional Data Quality Notes

Use the space below to add notes about the data provided in the workbook that you would like communicated to your HOPWA grantee or HUD's Office of HIV/AIDS Housing staff. Use the ALT+enter keys to create a return in this section.

GENERAL PROVIDER DATA COMMENTS:
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HOPWA PROVIDER TAB DATA COMMENTS:
--

--

CONTACT TAB DATA COMMENTS:

--

DEMOGRAPHICS & PRIOR LIVING TAB (DEM & Prior Living) DATA COMMENTS:
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LEVERAGING AND PROGRAM INCOME TAB DATA COMMENTS:

There is no Other HOPWA Program Income to report in Row 30 of the leveraging tab based on the definition of HOPWA Program Income
--

TENANT-BASED RENTAL ASSISTANCE TAB (TBRA) DATA COMMENTS:

--

PERMANENT FACILITY-BASED HOUSING TAB (P-FBH) ASSISTANCE DATA COMMENTS:

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SHORT-TERM/TRANSITIONAL FACILITY-BASED HOUSING TAB (ST-TFBH) ASSISTANCE DATA COMMENTS:
SHORT-TERM RENT, MORTGAGE, AND UTILITY TAB (STRMU) ASSISTANCE DATA COMMENTS:
PERMANENT HOUSING PLACEMENT TAB (PHP) DATA COMMENTS:
HOUSING INFORMATION TAB DATA COMMENTS:
SUPPORTIVE SERVICES TAB DATA COMMENTS:
OTHER COMPETITIVE ACTIVITY TAB DATA COMMENTS:
ACCESS TO CARE TAB (ATC & Totals) DATA COMMENTS:

CAPITAL DEVELOPMENT TAB (CAP DEV) DATA COMMENTS:

VIOLENCE AGAINST WOMENT ACT TAB (VAWA) DATA COMMENTS:

Please complete for organizations designated to serve as project sponsor, i.e., organizations involved in the direct delivery of services for client households, as defined by 24 CFR 574.3.

Project Sponsor Questions	Responses
What is the organization's name?	Matthew 25 AIDS Services Inc
What is the organization's Unique Entity Identifier (UEI)?	GAGM0G0489
What is the organization's Employer ID Number (EIN) or Tax ID Number (TIN)?	61551872
What is the HOPWA contract amount for this organization?	353000
What is the organization's business street address?	652 Old Corydon Rd
In what city is the organization's business address?	Henderson
In what county is the organization's business address?	Henderson
In what state is the organization's business address?	NY
What is the organization's business address zip code?	42422
What is the organization's parent company, if applicable?	
What department administers the organization's grant?	Support Services
What is the organization's phone number (including extension)?	7708180208
What is the organization's fax number?	7708180212
What is the organization's website?	matthew25aids.org
What is the organization's Facebook page?	facebook.com/matthew25aids/
What is the organization's Twitter handle?	@matthew25aids
Is this a faith-based organization? Yes or No.	No
Is this a for-profit organization? Yes or No.	Yes
Is this a nonprofit organization? Yes or No.	Yes
What are the cities of the organization's primary service area?	Henderson, Cheviot, Bowling Green, Ellettsville
What are the counties of the organization's primary service area?	Allen, Barren, Breckinridge, Butler, Adams, Booneville, Grayson, Hancock, Hardin, Hart, Henderson, Letcher, Logan, Martin, Meigs, Menard, Metcalfe, Mercer, Nelson, Ohio, Simpson, Union, Washington, Warren and Webster
In what congressional district is the organization located?	3
In what congressional district is the primary service area?	3
Is there a waiting list for HOPWA housing subsidy assistance services in the organization's service area? Yes or No.	Yes
Provider Non-Direct Service Expenditures	
What were the total HOPWA funds expended for Administration costs?	2796
How much was expended on Technical Assistance?	0
How much was expended on Resource Identification?	0

General data note: Do not enter "N/A" or "Not Applicable" - if a row does not apply, please skip and leave blank.

NOTE: The amount in Row 6 should be the amount of HOPWA funds the project sponsor receives from this grantee. It should not include any HOPWA funds received from other grantees.

NOTE: For HOPWA GRANTEE ONLY who also provide direct HOPWA services to HOPWA-eligible individuals:
You do not need to complete Rows 3-28; skip and complete Rows 29 & 30 only as applicable, and enter all HOPWA Grantee Admin expenditures in the Grantee workbook only; do not report any Admin expenditures in Row 28 of this tab.

Data Check for Project Sponsors: Rows 22, 23, 27, 28, 29, 30, & 33 need only be filled in if applicable. All other questions are mandatory.

NOTE: for HOPWA Project Sponsors: If Row 25 is "0", please provide a comment to confirm no Admin expenditures in the "HOPWA Provider" section of "Data Quality Notes" Tab.

Percentage of Total Grant amount expended on Admin:	2%
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NOTE: Project sponsor administrative costs are limited to 7% of the total HOPWA grant award amount they receive. If your administrative costs for the reporting period as calculated in Cell G30 are greater than 7% of the award amount reported for the period, please provide an explanation in "HOPWA Provider" section of the "Data Notes" Tab.

Contact Information for your Organization	
Only organizations designated as project sponsors (see definition of "Project Sponsor Organization" in Performance Report Cover tab) should complete this tab.	
Question	Responses
Contact Information for Primary Program Contact	
What is the Primary Program contact name?	Payton Hunt
What is the Primary Program contact title?	KY Part B & Housing Program
In what department does the Primary Program contact work?	Support Services
What is the Primary Program contact email?	phunt@matthew25clinic.org
What is the Primary Program contact phone number (including extension)?	270-904-7029 ext. 1354
What is the Primary Program contact fax number?	270-826-0212
Contact Information for Secondary Program Contact	
What is the Secondary Program contact name?	Jemie Fawley
What is the Secondary Program contact title?	Lead Housing & Case
In what department does the Secondary Program contact work?	Support Services
What is the Secondary Program contact email?	jfawley@matthew25clinic.org
What is the Secondary Program contact phone number (including extension)?	270-904-7029 ext. 4305
What is the Secondary Program contact fax number?	270-826-0212
Contact Information for Individuals Seeking Services	
What is the Services contact name?	Samantha Spady
What is the Services contact title?	Lead Housing & Case
In what department does the Services contact work?	Support Services
What is the Services contact email?	sspady@matthew25clinic.org
What is the Services contact phone number (including extension)?	270-826-0200 ext. 1367
What is the Services contact fax number?	270-826-0212

General data note: Do not enter "N/A" or "Not Applicable" - if a row does not apply, please skip and leave blank.

For HOPWA GRANTEES ONLY who ALSO provided direct HOPWA services: You do not need to complete this tab.

Data Check for Project Sponsors : Rows 9, 16, & 23 need only be completed if applicable. All other contact information is mandatory. Complete even if a contact is duplicated across multiple roles.

This information may be published on HUD website as a resource for clients seeking services.

Complete the age, sex, race, and ethnicity information for all individuals served with all types of HOPWA assistance.
 One table in rows 27 and 28. Each number cell must contain a number. If nothing to report, leave the cell.

A. For each racial category, how many HOPWA-eligible individuals were:

	Male				Female				Not Reported	If the total number of individuals reported for sex is not changing, how many did identify as Hispanic?
	Younger Than 18	18-24	25-54	55 or Older	Younger Than 18	18-24	25-54	55 or Older		
White	24	25	26	27	28	29	30	31	32	33
Black & African American	34	35	36	37	38	39	40	41	42	43
Hispanic or Latin American	44	45	46	47	48	49	50	51	52	53
Asian or Asian American & Pacific Islander	54	55	56	57	58	59	60	61	62	63
American Indian/Alaska Native	64	65	66	67	68	69	70	71	72	73
American Indian/Alaska Native & Black/African American	74	75	76	77	78	79	80	81	82	83
American Indian/Alaska Native & Asian	84	85	86	87	88	89	90	91	92	93
American Indian/Alaska Native & Pacific Islander	94	95	96	97	98	99	100	101	102	103
Other (Specify Race)	104	105	106	107	108	109	110	111	112	113
Other	114	115	116	117	118	119	120	121	122	123

B. For each total category, how many of that household members (beneficiaries) were:

	Male				Female				Not Reported	If the total number of individuals reported for sex is not changing, how many did identify as Hispanic?
	Younger Than 18	18-24	25-54	55 or Older	Younger Than 18	18-24	25-54	55 or Older		
0. Adult	24	25	26	27	28	29	30	31	32	33
1. Adult & Minor	34	35	36	37	38	39	40	41	42	43
2. Adult & Minor & Adult	44	45	46	47	48	49	50	51	52	53
3. Adult & Minor & Adult & Minor	54	55	56	57	58	59	60	61	62	63
4. American Indian/Alaska Native	64	65	66	67	68	69	70	71	72	73
5. American Indian/Alaska Native & Black/African American	74	75	76	77	78	79	80	81	82	83
6. American Indian/Alaska Native & Asian	84	85	86	87	88	89	90	91	92	93
7. American Indian/Alaska Native & Pacific Islander	94	95	96	97	98	99	100	101	102	103
8. Other (Specify Race)	104	105	106	107	108	109	110	111	112	113
9. Other	114	115	116	117	118	119	120	121	122	123

Total number of HOPWA-eligible individuals served with HOPWA assistance (rows A & B):

Total number of other household members (beneficiaries) served with HOPWA assistance (rows B & C):

How many other household members (beneficiaries) are there?

How many other household members (beneficiaries) are not eligible or have no information status?

Complete Prior Living Situations for HOPWA-eligible individuals served by TBHA, P-OBH, ST, TBHA, or P-OBH

How many HOPWA-eligible individuals continued receiving HOPWA assistance if it was the previous year?

How many individuals newly receiving HOPWA assistance were from:

A. Adult and spouse for former individual?

A. Emergency shelter?

A. Transitional housing facility for formerly homeless person?

A. Permanent housing situation for formerly homeless person?

A. Group home for adult population?

A. Substance abuse facility?

A. Other group home?

A. Other (Specify location)?

A. Other (Specify location)?

A. Other (Specify location)?

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A. Other (Specify location)?

A. Other (Specify location)?

A. Other (Specify location)?

A. Other (Specify location)?

A. Other (Specify location)?

A. Other (Specify location)?

A. Other (Specify location)?

A. Other (Specify location)?

A. Other (Specify location)?

A. Other (Specify location)?

Data Check: The total in Row 27 must = unpublished household count across all categories.

Data Check: Sum of B1 & B2 must be < Row 5B

Data Check: SUM of rows B1 + B2 through B8 must equal your unpublished household count for TBHA, P-OBH, ST, TBHA & P-OBH categories (Do not include Supplemental Services or TBHA/ST households in this section).

Data Check: Row 1B cannot be > Row 8B

Row 1C cannot be > Row 8B

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in either the Consolidated or Annual Plan (for formula grantees) or the grant proposal/application (for competitive grantees) and used in the delivery of the HOPEWA program and the amount of leveraged dollars.		
What is the amount and type of leveraged funding that was provided by any of these sources?	Funding for this report	Was this a Housing Subsidy Assistance? Yes or No
USDA	0	
HHS	0	
State of Ohio	0	
Continuum of Care Fund	0	
State Income Tax Credit	0	
Housing Choice Voucher Program	0	
Private grants	0	
In-kind resources	0	
Other cash	0	
Other types of private or public funding:		
Other FUNDING_1	0	
Other FUNDING_2	0	
Other FUNDING_3	0	
Other FUNDING_4	0	
Other FUNDING_5	0	
Other FUNDING_6	0	
Other FUNDING_7	0	
Other FUNDING_8	0	
Other FUNDING_9	0	
Other FUNDING_10	0	
Other FUNDING_11	0	
Other FUNDING_12	0	
Other FUNDING_13	0	
Other FUNDING_14	0	
Other FUNDING_15	0	
Program Income		
What was the amount of program income collected from resident rent payments in the program year?	0	
What was the amount of program income collected from other sources (non-resident payments) in the program year?	0	
Uses of Program Income	0	
What was the amount of total program income that was spent on housing assistance in the program year?	0	
What was the amount of total program income that was spent on supportive services or other non-housing costs in the program year?	0	
Rent Payments Made by HOPEWA Housing Subsidy Assistance Recipients (Only for Private Landlords)		
What was the amount of resident rent payment that residents paid directly to private landlords?	0	

Scroll to the bottom of this tab for required Program Income reporting questions.

Complete Rows 3-11 for specific leveraging sources listed in Column A.
 Column B should be a single dollar amount.
 Column C should be a "Yes" or "No" response only to indicate whether any of the reported funds were for Housing Subsidy Assistance. Do not add explanations on this tab.

NOTE: Enter any leveraged project sponsor cash in row 11, "Other Cash."

In rows 13-17, enter other leveraged funds not included in the sources listed Rows 3-11.

Column A: Do not enter anything; you do not need to report the specific source of the leveraged funds.

Column B: Should be a single dollar amount.

Column C: Should have "Yes" or "No" response only as to whether any of the reported funds were for Housing Subsidy Assistance. Do not add explanations or other text.

If P-FBI or SF-330N activity reported and Row 28 is "0", provide an explanation in "Leveraging" section of the "Data Quality Notes" tab.

If Subsidy Assistance activity reported and Row 33 is "0", provide an explanation in "Leveraging" section of the "Data Quality Notes" tab.

If PMA activity reported and Row 33 is "0", provide an explanation in "Leveraging" section of the "Data Quality Notes" tab.

Complete this section for all Households served with HOPWA Tenant-Based Rental Assistance (TBRA) by your organization in the reporting year.

Question	This Report
TBRA Households Served and Expenditures	
How many households were served with HOPWA TBRA assistance?	0
What were the total HOPWA funds expended for TBRA rental assistance?	0
Other (Non-TBRA) Rental Assistance	
Households Served and Expenditures (Other Non-TBRA Rental Assistance activities must be approved in the grant agreement).	
How many total households were served with Other (non-TBRA) Rental Assistance?	0
What were the total HOPWA funds expended for Other (non-TBRA) Rental Assistance, as approved in the grant agreement?	0
Describe the Other (non-TBRA) Rental Assistance provided. (150 characters).	
TBRA Household Total (TBRA + Other)	0
Income Levels for Households Served by this Activity	0
What is the number of households with income below 30% of Area Median Income?	0
What is the number of households with income between 31% and 50% of Area Median Income?	0
What is the number of households with income between 51% and 80% of Area Median Income?	0
Sources of Income for Households Served by this Activity	
How many households accessed or maintained access to the following sources of income in the past year?	0
Earned Income from Employment	0
Retirement	0
SSI	0
SSDI	0
Other Welfare Assistance (Supplemental Nutrition Assistance Program, WIC, TANF, etc.)	0
Private Disability Insurance	0
Veteran's Disability Payment (service or non-service connected payment)	0
Regular contributions or gifts from organizations or persons not residing in the residence	0
Worker's Compensation	0
General Assistance (GA), or local program	0
Unemployment Insurance	0
Other Sources of Income	0
How many households maintained no sources of income?	0
Medical Insurance/Assistance for Households Served by this Activity	
How many households accessed or maintained access to the following sources of medical insurance in the past year?	
MEDICAID Health Program or local program equivalent	0
MEDICARE Health Insurance or local program equivalent	0
Veterans Affairs Medical Services	0
AIDS Drug Assistance Program	0
State Children's Health Insurance Program (SCHIP) or	0
Ryan White-funded Medical or Dental Assistance	0
Health Outcomes for HOPWA-Eligible Individuals Served by this Activity	
How many HOPWA-eligible individuals served with TBRA this year have ever been prescribed Anti-Retroviral Therapy?	0
How many HOPWA-eligible persons served with TBRA have shown an improved viral load or achieved viral suppression?	0

General Data Check: If you report expenditures you must report corresponding households. If you report households, you must report expenditures.

Note: This total should include overhead (staff costs, fringe, etc.).

NOTE: Rows 7-9 should not be completed unless you have been approved by HUD in your grant agreement to carry out these activities. Facilities-based Housing, STRMU & PHP activities should not be reported here.

Income Levels in Rows 12-14:
Data Check: Sum of 12-14 as shown in Row 11 must be = to Row 10.

Sources of Income in Rows 17-29: Report ALL sources of income to HOPWA-eligible households (including those for other household members).

Data Check: Sum of 17-29 as shown in Row 16 must be = or > than

Medical Insurance in Rows 32-37: Report ALL of the specific insurance types listed that were accessed by HOPWA-eligible households (including those for other household members). The sum of this section does NOT have to match your household total.

Data Check: If 32-37 are all "0", provide explanation in TBRA section of Data Quality Notes Tab.

NOTE: Health outcomes do NOT have to be supported by labs or other medical documentation. It can be self-report from clients.
Data Check: If 39 and/or 40 are "0", provide explanation in TBRA section of Data Quality Notes Tab.

Longevity for Households Served by this Activity	0
How many households have been served with TBRA for less than one year?	0
How many households have been served with TBRA for more than one year, but less than five years?	0
How many households have been served with TBRA for more than five years, but less than 10 years?	0
How many households have been served with TBRA for more than 10 years, but less than 15 years?	0
How many households have been served with TBRA for more than 15 years?	0
Housing Outcomes for Households Served by this Activity	0
How many households continued receiving HOPWA TBRA assistance into the next year?	0
How many households exited to other HOPWA housing programs?	0
How many households exited to other housing subsidy programs?	0
How many households exited to an emergency shelter?	0
How many households exited to private housing?	0
How many households exited to transitional housing (time limited - up to 24 months)?	0
How many households exited to an institutional arrangement expected to last less than six months?	0
How many households exited to institutional arrangement expected to last more than six months?	0
How many households exited to a jail/prison term expected to last less than six months?	0
How many households exited to a jail/prison term expected to last more than six months?	0
How many households exited to a situation that isn't transitional, but is not expected to last more than 90 days and their housing situation after those 90 days is uncertain?	0
How many households exited to a place not meant for human habitation?	0
How many households were disconnected from care?	0
How many of the HOPWA eligible individuals died?	0

Longevity in Rows 42-46:

Data Check: Sum of 42-46 as shown in Row 41 Must be = to Row 10.

Housing Outcomes in Rows 48-61:

Data Check: Sum of 48-61 as shown in Row 47 Must be = to Row 10.

Category	Sub-category	Item	Value	Unit	Notes
Category 1	Sub-category 1.1	Item 1.1.1	100	Unit	Notes 1.1.1
		Item 1.1.2	200	Unit	Notes 1.1.2
		Item 1.1.3	300	Unit	Notes 1.1.3
		Item 1.1.4	400	Unit	Notes 1.1.4
Category 1	Sub-category 1.2	Item 1.2.1	500	Unit	Notes 1.2.1
		Item 1.2.2	600	Unit	Notes 1.2.2
		Item 1.2.3	700	Unit	Notes 1.2.3
		Item 1.2.4	800	Unit	Notes 1.2.4
Category 2	Sub-category 2.1	Item 2.1.1	900	Unit	Notes 2.1.1
		Item 2.1.2	1000	Unit	Notes 2.1.2
		Item 2.1.3	1100	Unit	Notes 2.1.3
		Item 2.1.4	1200	Unit	Notes 2.1.4
Category 2	Sub-category 2.2	Item 2.2.1	1300	Unit	Notes 2.2.1
		Item 2.2.2	1400	Unit	Notes 2.2.2
		Item 2.2.3	1500	Unit	Notes 2.2.3
		Item 2.2.4	1600	Unit	Notes 2.2.4
Category 3	Sub-category 3.1	Item 3.1.1	1700	Unit	Notes 3.1.1
		Item 3.1.2	1800	Unit	Notes 3.1.2
		Item 3.1.3	1900	Unit	Notes 3.1.3
		Item 3.1.4	2000	Unit	Notes 3.1.4
Category 3	Sub-category 3.2	Item 3.2.1	2100	Unit	Notes 3.2.1
		Item 3.2.2	2200	Unit	Notes 3.2.2
		Item 3.2.3	2300	Unit	Notes 3.2.3
		Item 3.2.4	2400	Unit	Notes 3.2.4
Category 4	Sub-category 4.1	Item 4.1.1	2500	Unit	Notes 4.1.1
		Item 4.1.2	2600	Unit	Notes 4.1.2
		Item 4.1.3	2700	Unit	Notes 4.1.3
		Item 4.1.4	2800	Unit	Notes 4.1.4
Category 4	Sub-category 4.2	Item 4.2.1	2900	Unit	Notes 4.2.1
		Item 4.2.2	3000	Unit	Notes 4.2.2
		Item 4.2.3	3100	Unit	Notes 4.2.3
		Item 4.2.4	3200	Unit	Notes 4.2.4
Category 5	Sub-category 5.1	Item 5.1.1	3300	Unit	Notes 5.1.1
		Item 5.1.2	3400	Unit	Notes 5.1.2
		Item 5.1.3	3500	Unit	Notes 5.1.3
		Item 5.1.4	3600	Unit	Notes 5.1.4
Category 5	Sub-category 5.2	Item 5.2.1	3700	Unit	Notes 5.2.1
		Item 5.2.2	3800	Unit	Notes 5.2.2
		Item 5.2.3	3900	Unit	Notes 5.2.3
		Item 5.2.4	4000	Unit	Notes 5.2.4
Category 6	Sub-category 6.1	Item 6.1.1	4100	Unit	Notes 6.1.1
		Item 6.1.2	4200	Unit	Notes 6.1.2
		Item 6.1.3	4300	Unit	Notes 6.1.3
		Item 6.1.4	4400	Unit	Notes 6.1.4
Category 6	Sub-category 6.2	Item 6.2.1	4500	Unit	Notes 6.2.1
		Item 6.2.2	4600	Unit	Notes 6.2.2
		Item 6.2.3	4700	Unit	Notes 6.2.3
		Item 6.2.4	4800	Unit	Notes 6.2.4
Category 7	Sub-category 7.1	Item 7.1.1	4900	Unit	Notes 7.1.1
		Item 7.1.2	5000	Unit	Notes 7.1.2
		Item 7.1.3	5100	Unit	Notes 7.1.3
		Item 7.1.4	5200	Unit	Notes 7.1.4
Category 7	Sub-category 7.2	Item 7.2.1	5300	Unit	Notes 7.2.1
		Item 7.2.2	5400	Unit	Notes 7.2.2
		Item 7.2.3	5500	Unit	Notes 7.2.3
		Item 7.2.4	5600	Unit	Notes 7.2.4
Category 8	Sub-category 8.1	Item 8.1.1	5700	Unit	Notes 8.1.1
		Item 8.1.2	5800	Unit	Notes 8.1.2
		Item 8.1.3	5900	Unit	Notes 8.1.3
		Item 8.1.4	6000	Unit	Notes 8.1.4
Category 8	Sub-category 8.2	Item 8.2.1	6100	Unit	Notes 8.2.1
		Item 8.2.2	6200	Unit	Notes 8.2.2
		Item 8.2.3	6300	Unit	Notes 8.2.3
		Item 8.2.4	6400	Unit	Notes 8.2.4
Category 9	Sub-category 9.1	Item 9.1.1	6500	Unit	Notes 9.1.1
		Item 9.1.2	6600	Unit	Notes 9.1.2
		Item 9.1.3	6700	Unit	Notes 9.1.3
		Item 9.1.4	6800	Unit	Notes 9.1.4
Category 9	Sub-category 9.2	Item 9.2.1	6900	Unit	Notes 9.2.1
		Item 9.2.2	7000	Unit	Notes 9.2.2
		Item 9.2.3	7100	Unit	Notes 9.2.3
		Item 9.2.4	7200	Unit	Notes 9.2.4
Category 10	Sub-category 10.1	Item 10.1.1	7300	Unit	Notes 10.1.1
		Item 10.1.2	7400	Unit	Notes 10.1.2
		Item 10.1.3	7500	Unit	Notes 10.1.3
		Item 10.1.4	7600	Unit	Notes 10.1.4
Category 10	Sub-category 10.2	Item 10.2.1	7700	Unit	Notes 10.2.1
		Item 10.2.2	7800	Unit	Notes 10.2.2
		Item 10.2.3	7900	Unit	Notes 10.2.3
		Item 10.2.4	8000	Unit	Notes 10.2.4

[illegible]

[illegible]

Complete this section for all Households served with HOPWA Short-Term Rent, Mortgage, and Utilities Assistance (STRMU) by your organization in the reporting year.

Question	This Report
Households Served by this Activity - STRMU Breakdown	
a. How many households were served with STRMU mortgage assistance only ?	3
b. How many households were served with STRMU rental assistance only ?	7
c. How many households were served with STRMU utilities assistance only ?	2
d. How many households received more than one type of STRMU assistance?	17
STRMU Households Total	29
STRMU Expenditures	
What were the HOPWA funds expended for the following budget line items?	
STRMU mortgage assistance	19636.63
STRMU rental assistance	55755.39
STRMU utility assistance	7915.78
Total STRMU Expenditures	83307.8
Income Levels for Households Served by this Activity	29
What is the number of households with income below 30% of Area Median Income?	18
What is the number of households with income between 31% and 50% of Area Median Income?	4
What is the number of households with income between 51% and 80% of Area Median Income?	7
Sources of Income for Households Served by this Activity	
How many households accessed or maintained access to the following sources of income in the past year?	37
Earned Income from Employment	10
Retirement	1
SSI	6
SSDI	9
Other Welfare Assistance (Supplemental Nutrition	1
Private Disability Insurance	0
Veteran's Disability Payment (service or non-service	0
Regular contributions or gifts from organizations or	0
Worker's Compensation	0
General Assistance (GA), or local program	0
Unemployment Insurance	0
Other Sources of Income	0
How many households maintained no sources of income?	10
Medical Insurance/Assistance for Households Served by this Activity	
How many households accessed or maintained access to the following sources of medical insurance in the past year?	
MEDICAID Health Program or local program equivalent	19
MEDICARE Health Insurance or local program equivalent	6
Veterans Affairs Medical Services	0
AIDS Drug Assistance Program	28
State Children's Health Insurance Program (SCHIP) or local program equivalent	0
Ryan White-funded Medical or Dental Assistance	19
Longevity for Households Served by this Activity	26

General Data Check: If you report expenditures you must report corresponding households. If you report households, you must report expenditures.

This total should include overhead (staff costs, fringe, etc.). **NOTE:** The grantee determines how to report/distribute overhead costs (i.e., all overhead included in one row, or divided across categories with expenditures). All sponsors should report in the same manner.

Income Levels in Rows 16-18:
Data Check: Sum of 16-18 as shown in Row 15 must be = to Row 8

Income Sources in Rows 21-33:
Report ALL sources of income for HOPWA-eligible households (including those for other household members).
Data Check: Sum of 21-33 as shown in Row 20 must be = to or > than Row 8

Medical Insurance in Rows 36-41: Report ALL of the specific insurance types listed that were accessed by HOPWA-eligible households (including those for other household members). The sum of this section does NOT have to match your household total.
Data Check: If 36-41 are all "0", provide explanation in TBRA section of Data Quality Notes Tab.

How many households have been served by STRMU for the first time this year?	17
How many households also received STRMU assistance during the previous STRMU eligibility period?	6
How many households received STRMU assistance more than twice during the previous five eligibility periods?	3
How many households received STRMU assistance during the last five consecutive eligibility periods?	0
Housing Outcomes for Households Served by this Activity	29
How many households continued receiving this type of HOPWA assistance into the next year?	9
How many households exited to other HOPWA housing programs?	0
How many households exited to other housing subsidy programs?	9
How many households exited to an emergency shelter?	0
How many households served with STRMU were able to maintain a private housing situation without subsidy?	10
How many households exited to transitional housing (time limited - up to 24 months)?	1
How many households exited to institutional arrangement expected to last less than six months?	0
How many households exited to institutional arrangement expected to last more than six months?	0
How many households exited to a jail/prison term expected to last less than six months?	0
How many households exited to a jail/prison term expected to last more than six months?	0
How many households exited to a situation that isn't transitional, but is not expected to last more than 90 days and their housing situation after those 90 days is uncertain?	0
How many households exited to a place not meant for human habitation?	0
How many households were disconnected from care?	0
How many of the HOPWA eligible individuals died?	0

Longevity in Rows 43-46:

The total of this section does NOT have to match your household total for this activity.

Data Check: Individually, Rows 44-46 cannot be > than Row 8

Housing Outcomes in Rows 48-61:

Data Check: Sum of 48-61 as shown in Row 47 must be = to Row 8

Complete this section for all Households served with HOPWA Permanent Housing Placement (PHP) assistance by your organization in the reporting year.	
Question	This Report
Households Served by this Activity	
How many households were served with PHP assistance?	27
PHP Expenditures for Households Served by this Activity	
What were the HOPWA funds expended for PHP?	36720.05
Sources of Income for Households Served by this Activity	
How many households accessed or maintained access to the following sources of income in the past year?	35
Earned income from Employment	9
Retirement	2
SSI	7
SSDI	8
Other Welfare Assistance (Supplemental Nutrition Assistance Program, WIC, TANF, etc.)	1
Private Disability Insurance	0
Veteran's Disability Payment (service or non-service connected payment)	0
Regular contributions or gifts from organizations or persons not residing in the residence	0
Worker's Compensation	0
General Assistance (GA), or local program	1
Unemployment Insurance	0
Other Sources of Income	0
How many households maintained no sources of income?	7
Medical Insurance/Assistance for Households Served by this Activity	
How many households accessed or maintained access to the following sources of medical insurance in the past year?	
MEDICAID Health Program or local program equivalent	22
MEDICARE Health Insurance or local program equivalent	5
Veterans Affairs Medical Services	0
AIDS Drug Assistance Program	27
State Children's Health Insurance Program (SCHIP) or local program equivalent	0
Ryan White-funded Medical or Dental Assistance	19
Housing Outcomes for Households Served by this Activity	27
<i>in the context of PHP, "exited" means the housing situation into which the household was placed using the PHP assistance.</i>	
How many households exited to other HOPWA housing programs?	8
How many households exited to other housing subsidy programs?	5
How many households exited to private housing?	14

General Data Check: If you report expenditures you must report corresponding households. If you report households, you must report expenditures.

The total in Row 6 should include overhead (staff costs, fringe, etc.).

Income Sources in Rows 9-21:
Report ALL sources of income for HOPWA-eligible households (including those for other household members).
Data Check: Sum of 9-21 as shown in Row 8 must be = to or > than Row 4

Medical Insurance in Rows 24-29: Report ALL of the specific insurance types listed that were accessed by HOPWA-eligible households (including those for other household members). The sum of this section does NOT have to match your household total.
Data Check: If 24-29 are all "0", provide explanation in "PHP" section of "Data Quality Notes" Tab.

Housing Outcomes in Rows 32-34:
Data Check: Sum of 32-34 as shown in Row 30 must be = to Row 4; if not, provide explanation in "PHP" section of "Data Quality Notes" Tab.

Complete for all households served with HOPWA-funded Housing Information Services by your organization in the reporting year.
 See definition of "Housing Information Services" on "Performance Report Cover" tab.

Question	This Report
<u>Households Served by this Activity</u>	
How many households were served with housing information services?	0
<u>Housing Information Services Expenditures</u>	
What were the HOPWA funds expended for Housing Information Services?	0

General Data Check: If you report expenditures you must report corresponding households. If you report households, you must report expenditures.

NOTE: The total in Row 6 should include overhead (staff costs, fringe, etc.).

Complete for all households served with HOPWA funded Supportive Services by your organization in the reporting year.
Note that this table also collects HOPWA Supportive Service expenditures.

Questions	This Report	
	Number of Households	Expenditures
Households and Expenditures for Supportive Service Types		
What were the expenditures and number of households for each of the following types of supportive services in the program year?		
Adult Day Care and Personal Assistance	0	0
Alcohol/Drug Abuse	0	0
Child Care	0	0
Case Management	1	11.5
Education	0	0
Employment Assistance and Training	0	0
Health/Medical Services	0	0
Legal Services	0	0
Life Skills Management	0	0
Meals/Nutritional Services	0	0
Mental Health Services	0	0
Outreach	0	0
Transportation	2	564.52
Any other type of HOPWA-funded, HUD approved supportive service?		
What were the other type(s) of supportive services provided? (150 characters)		
Deduplication of Supportive Services		
How many households received more than one of any type of Supportive Services?	0	
Deduplicated Supportive Services Household Total (based on amounts reported in Rows 5-21 above):	3	

General Data Check: If you report expenditures you must report corresponding households. If you report households, you must report expenditures.

Column B: enter the unduplicated number of households served by each type of HOPWA-FUNDED Supportive Services

Column C: Report all HOPWA expenditures associated with delivering each type of HOPWA-FUNDED Supportive Services. This total should include overhead (staff costs, fringe, etc.).

NOTE: The grantee can determine how to report/distribute overhead costs (i.e., all overhead included in one row, or divided across categories with expenditures. All sponsors should report in the same manner.

Data Check: If your unduplicated household total calculated in Cell B23 appears incorrect, adjust Row 21.

Only Competitive Grantees with an "Other Housing Activity" approved in their grant agreement should complete this tab.	
"Other" Housing Activities -- Households and Expenditures Served by this Activity	This Report
How many households were served with "Other Housing Activity" assistance?	0
What were the HOPWA funds expended for "Other Housing Activity" assistance?	0
What is the "Other" HOPWA budget line item approved in the grant agreement? (150 characters)	

General Data Check: If you report expenditures you must report corresponding households. If you report households, you must report expenditures.

Complete this tab ONLY if you have been approved by HUD in your grant agreement to carry out "Other Housing Activities."

The total in Row 4 should include overhead (staff costs, fringe, etc.).

Activity Review	TBNA	P-FBI	ST-TBNA	STBNU	PHF	Housing Info	SUPP SVC	Other Competitive Activity
Total Households Served in ALL Activities from this report for each Activity:	0	0	0	29	27	0	3	0
Housing Subsidy Assistance Household Count Deduplication								
Total Housing Subsidy Assistance (from the TBNA, P-FBI, ST-TBNA, STBNU, PHF, Other Competitive Activity counts above)	56							
How many households received more than one type of HOPWA Housing Subsidy Assistance (TBNA, P-FBI, ST-TBNA, STBNU, PHF, Other Competitive Activity)?	14							
Total Unduplicated Housing Subsidy Assistance Household Count	43							
Access to Care (ATC)								
Complete HOPWA Outcomes for Access to Care and Support for all households served with HOPWA housing assistance and "other competitive activities" in the reporting year.								
Questions	This Report							
How many households had contact with a case manager?	42							
How many households developed a housing plan for maintaining or establishing stable housing?	42							
How many households accessed and maintained medical insurance and/or assistance?	42							
How many households had contact with a primary health care provider?	42							
How many households accessed or maintained qualification for sources of income?	29							
How many households obtained/maintained an income-producing job during the program year (with or without any HOPWA-related assistance)?	13							
Subsidy Assistance with Supportive Service, Funded Case Management								
Questions	This Report							
How many households received any type of HOPWA Housing Subsidy Assistance and HOPWA Funded Case Management?	0							
How many households received any type of HOPWA Housing Subsidy Assistance and HOPWA Supportive Services?	2							

Data Check: The highest unduplicated activity total shown in row 2 on this ATC tab must be equal to or less than the HOPWA-eligible individual total reported in row 27 on the DEM & Prior Living Tab. The HOPWA-eligible individual total from row 27 is also shown directly to the right for your reference.

DEM tab, row 27: 43

Rows 10-15: Data Checks:
 The values entered in each of these rows individually cannot be greater than the value calculated in Row 6.
 If insurance or income were reported on any of the activity tabs, Rows 12 & 14 should be completed accordingly.
 If all Rows are "0", provide explanation in Access to Care section of Data Quality Notes Tab.

Reminders: 1. Contact with a case manager does not have to be a HOPWA-funded case manager.
 2. Access to medical insurance can include those who accessed other types of insurance not included in the activity tabs.

Data Check: Individually, Rows 18 & 19 cannot be > than the lesser of Cells H2 or H6.
 In Rows 18 & 19, report on Housing Subsidy Assistance households as calculated in Row 6 ONLY.
 Case management is a supportive service; therefore, all individuals reported in Row 18 should be included in total reported in Row 19.

Section 1: General Information		Section 2: Financial Data		Section 3: Operational Data	
Item	Description	Amount	Unit	Quantity	Value
1	Item 1	100	USD	10	1000
2	Item 2	200	USD	20	2000
3	Item 3	300	USD	30	3000
4	Item 4	400	USD	40	4000
5	Item 5	500	USD	50	5000
6	Item 6	600	USD	60	6000
7	Item 7	700	USD	70	7000
8	Item 8	800	USD	80	8000
9	Item 9	900	USD	90	9000
10	Item 10	1000	USD	100	10000
11	Item 11	1100	USD	110	11000
12	Item 12	1200	USD	120	12000
13	Item 13	1300	USD	130	13000
14	Item 14	1400	USD	140	14000
15	Item 15	1500	USD	150	15000
16	Item 16	1600	USD	160	16000
17	Item 17	1700	USD	170	17000
18	Item 18	1800	USD	180	18000
19	Item 19	1900	USD	190	19000
20	Item 20	2000	USD	200	20000
21	Item 21	2100	USD	210	21000
22	Item 22	2200	USD	220	22000
23	Item 23	2300	USD	230	23000
24	Item 24	2400	USD	240	24000
25	Item 25	2500	USD	250	25000
26	Item 26	2600	USD	260	26000
27	Item 27	2700	USD	270	27000
28	Item 28	2800	USD	280	28000
29	Item 29	2900	USD	290	29000
30	Item 30	3000	USD	300	30000
31	Item 31	3100	USD	310	31000
32	Item 32	3200	USD	320	32000
33	Item 33	3300	USD	330	33000
34	Item 34	3400	USD	340	34000
35	Item 35	3500	USD	350	35000
36	Item 36	3600	USD	360	36000
37	Item 37	3700	USD	370	37000
38	Item 38	3800	USD	380	38000
39	Item 39	3900	USD	390	39000
40	Item 40	4000	USD	400	40000
41	Item 41	4100	USD	410	41000
42	Item 42	4200	USD	420	42000
43	Item 43	4300	USD	430	43000
44	Item 44	4400	USD	440	44000
45	Item 45	4500	USD	450	45000
46	Item 46	4600	USD	460	46000
47	Item 47	4700	USD	470	47000
48	Item 48	4800	USD	480	48000
49	Item 49	4900	USD	490	49000
50	Item 50	5000	USD	500	50000
51	Item 51	5100	USD	510	51000
52	Item 52	5200	USD	520	52000
53	Item 53	5300	USD	530	53000
54	Item 54	5400	USD	540	54000
55	Item 55	5500	USD	550	55000
56	Item 56	5600	USD	560	56000
57	Item 57	5700	USD	570	57000
58	Item 58	5800	USD	580	58000
59	Item 59	5900	USD	590	59000
60	Item 60	6000	USD	600	60000
61	Item 61	6100	USD	610	61000
62	Item 62	6200	USD	620	62000
63	Item 63	6300	USD	630	63000
64	Item 64	6400	USD	640	64000
65	Item 65	6500	USD	650	65000
66	Item 66	6600	USD	660	66000
67	Item 67	6700	USD	670	67000
68	Item 68	6800	USD	680	68000
69	Item 69	6900	USD	690	69000
70	Item 70	7000	USD	700	70000
71	Item 71	7100	USD	710	71000
72	Item 72	7200	USD	720	72000
73	Item 73	7300	USD	730	73000
74	Item 74	7400	USD	740	74000
75	Item 75	7500	USD	750	75000
76	Item 76	7600	USD	760	76000
77	Item 77	7700	USD	770	77000
78	Item 78	7800	USD	780	78000
79	Item 79	7900	USD	790	79000
80	Item 80	8000	USD	800	80000
81	Item 81	8100	USD	810	81000
82	Item 82	8200	USD	820	82000
83	Item 83	8300	USD	830	83000
84	Item 84	8400	USD	840	84000
85	Item 85	8500	USD	850	85000
86	Item 86	8600	USD	860	86000
87	Item 87	8700	USD	870	87000
88	Item 88	8800	USD	880	88000
89	Item 89	8900	USD	890	89000
90	Item 90	9000	USD	900	90000
91	Item 91	9100	USD	910	91000
92	Item 92	9200	USD	920	92000
93	Item 93	9300	USD	930	93000
94	Item 94	9400	USD	940	94000
95	Item 95	9500	USD	950	95000
96	Item 96	9600	USD	960	96000
97	Item 97	9700	USD	970	97000
98	Item 98	9800	USD	980	98000
99	Item 99	9900	USD	990	99000
100	Item 100	10000	USD	1000	100000

[illegible]

Complete for all households who requested Violence Against Women Act (VAWA) protections per 24 CFR 5.2005 with your organization in the reporting year.

Question	This Report
How many internal emergency transfers were requested?	0
How many internal emergency transfers were granted?	0
How many external emergency transfers were requested?	0
How many external emergency transfers were granted?	0
How many emergency transfers were denied?	0

If you have this data, please complete Rows 3-7. If you do not have this data, it is currently NOT an error to leave this chart blank.

Instructions for Completing the HOPWA Provider Performance Report Workbook

What is the HOPWA Provider Performance Report Workbook?

This workbook provides annual performance data for HOPWA activities. This includes outputs (e.g., households served and demographic information), outcomes (e.g., access to care and support outcomes) and expenditures (for HOPWA-eligible costs).

This data will be compiled by the HOPWA Formula or Competitive Grantees, as part of providing annual performance reporting to HUD.

Who completes this form?

This workbook will be completed by **any organization** that conducts any HOPWA activities other than administrative activities. This includes HOPWA Formula or Competitive Grantees that conduct other HOPWA activities besides administrative activities, and the **Project Sponsor** organizations that Grantees contract to provide HOPWA services (as defined in 24 CFR 574.3).

There should be one organization's HOPWA activities reported in each workbook. Each organization should complete a separate performance report workbook that only includes the HOPWA activities conducted by that organization.

What tabs should be completed for this report?

The Performance Report Workbook requires the completion of the following tabs:

- *DEM (Demographics) & Prior Living (see Note)*
- *Leveraging*
- *ATC (Access to Care) & Totals*

ONLY PROJECT SPONSORS* should complete these tabs:

- *HOPWA Provider*
- *CONTACT*

* For Grantees that are approved to conduct Resource Identification or Technical Assistance activities, please report your expenditure amounts for those budget line items in the **HOPWA Provider** tab. These are the only cells that you will need to complete in the **HOPWA Provider** tab.

Note: Complete Prior Living information only for individuals served by TBRA, P-FBH, ST-TFBH or PHP.

The remaining tabs should **ONLY** be completed based on HOPWA services provided by the organization completing this workbook.

Leave tabs untouched if the activity is not provided by the organization.

- *TBRA (Tenant-Based Rental Assistance)*
- *P-FBH (Permanent Facility-Based Housing)*
- *ST-TFBH (Short-Term or Transitional Facility-Based Housing)*
- *STRMU (Short-Term Rent, Mortgage and Utilities Assistance)*
- *PHP (Permanent Housing Placement Assistance)*
- *Housing Info (Housing Information Services)*
- *Supp Svcs (HOPWA Supportive Services)*
- *Other Competitive Activity*
- *CAP DEV (Capital Development)*
- *VAWA (Housing Transfers for Households Covered by the Violence Against Women Act)*

Important Information

To ensure the integrity of this reporting form, please do not DELETE or ALTER any rows, columns, tabs, or the NAME of the report.

This form requires the entry of data only where applicable, with no other actions required.

- 1 Enter text in empty cells next to questions.
- 2 Enter numbers where the entry reads "0" and the answer is an amount greater than zero.

SUBMISSION INSTRUCTIONS

- Once complete, the Project Sponsor should return the entire workbook to the Grantee in the manner and timeline prescribed by the Grantee.
 - The report **MUST** be submitted in this Excel format.
 - DO NOT alter the name of this file; return it to the Grantee with the file name as provided.
 - The Grantee is responsible for reviewing this report and submitting it to HUD. Project Sponsors **should not** submit this report to HUD; only to the Grantee.
 - The Grantee may be contacted by HUD or a HUD contractor regarding the accuracy of this report.
 - Please contact the Grantee if you require support submitting this form.
 - Submission of the collection of separate workbook files satisfies the HOPWA annual performance reporting submission requirements to HUD.
- No additional uploads or submission methods (i.e., eCon Planning Suite, SharePoint, etc.) are required.

Grant ID	Grantee	Sponsor ID	Sponsor	File ID
FKY39122	Kentucky	S221274A	S221274A_Livwell Community Health Services	26098_244460

[illegible]

Please complete for organizations designated to serve as project sponsor, i.e., organizations involved in the direct delivery of services for client households, as defined by 24 CFR 574.3.

Project Sponsor Questions	Responses
What is the organization's name?	Luttrell Community Health Services, Inc.
What is the organization's Unique Entity Identifier (UEI)?	YD6FWHJFL11M
What is the organization's Employer ID Number (EIN) or Tax ID Number (TIN)?	61-1525402
What is the HOPWA contract amount for this organization?	\$5,386,317
What is the organization's business street address?	1801 Broadway Street
In what city is the organization's business address?	Paducah
In what county is the organization's business address?	McCracken
In what state is the organization's business address?	Kentucky
What is the organization's business address zip code?	42001
What is the organization's parent company, if applicable?	
What department administers the organization's grant?	Support Services
What is the organization's phone number (including extension)?	(270)444-8183 ext. 2157
What is the organization's fax number?	(270)444-8147
What is the organization's website?	www.luttrellhs.org
What is the organization's Facebook page?	Luttrell Community Health Services
What is the organization's Twitter handle?	@luttrellhs
Is this a faith-based organization? Yes or No.	No
Is this a for-profit organization? Yes or No.	Yes
Is this a nonprofit organization? Yes or No.	No
What are the cities of the organization's primary service area?	Paducah, Benton, Mayfield, Hopkinsville, Murray, Bowling Green, Covert City, etc.
What are the counties of the organization's primary service area?	Allen, Ballard, Barren, Butler, Caldwell, Callaway, Carlisle, Christian, Crittenden, Edmonson/Fulton, Graves, Hart, Hickman, Hopkins, Livingston, Logan, Lyon, Marshall, McCracken, Meigs, Monroe, Muhlenberg, Simpson, Todd, Trigg, Warrick
In what congressional district is the organization located?	First
In what congressional district is the primary service area?	Barren River, Pennyville, Purchase Areas
Is there a waiting list for HOPWA housing subsidy assistance services in the organization's service area? Yes or No.	Yes
Provider Non-Direct Service Expenditures	
What were the total HOPWA funds expended for Administration costs?	\$12,059.24
How much was expended on Technical Assistance?	0
How much was expended on Resource Identification?	\$9,521.48

General data note: Do not enter "N/A" or "Not Applicable" - if a row does not apply, please skip and leave blank.

NOTE: The amount in Row 6 should be the amount of HOPWA funds the project sponsor receives from this grantee. It should not include any HOPWA funds received from other grantees.

NOTE: For HOPWA GRANTEES ONLY who also provide direct HOPWA services to HOPWA-eligible individuals:
You do not need to complete Rows 3 - 28; skip and complete Rows 29 & 30 only as applicable, and enter all HOPWA Grantee Admin expenditures in the Grantee workbook only; do not report any Admin expenditures in Row 28 of this tab.

Data Check for Project Sponsors: Rows 12, 13, 17, 18, 28, 29, & 30 need only be filled in if applicable. All other questions are mandatory.

NOTE: For HOPWA Project Sponsors: If Row 28 is "N", please provide a comment to confirm no Admin expenditures in the "HOPWA Provider" section of the "Data Quality Notes" Tab.

Percentage of Total Grant amount expended on Admin:	0%
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NOTE: Project sponsor administrative costs are limited to 7% of the total HOPWA grant award amount they receive. If your administrative costs for the reporting period as calculated in Cell G30 are greater than 7% of the award amount reported for the period, please provide an explanation in "HOPWA Provider" section of the "Data Notes" Tab.

Contact Information for your Organization	
Only organizations designated as project sponsors (see definition of "Project Sponsor Organization" in Performance Report Cover tab) should complete this tab.	
Question	Responses
Contact Information for Primary Program Contact	
What is the Primary Program contact name?	Christy Arton
What is the Primary Program contact title?	Housing Manager
In what department does the Primary Program contact work?	Support Services
What is the Primary Program contact email?	carton@lhwelch.org
What is the Primary Program contact phone number (including extension)?	270-444-8183 ext 2134
What is the Primary Program contact fax number?	270-444-8147
Contact Information for Secondary Program Contact	
What is the Secondary Program contact name?	Amanda Watkins
What is the Secondary Program contact title?	Support Services Director
In what department does the Secondary Program contact work?	Support Services
What is the Secondary Program contact email?	awatkins@lhwelch.org
What is the Secondary Program contact phone number (including extension)?	270-444-8183 ext 2178
What is the Secondary Program contact fax number?	270-444-8147
Contact Information for Individuals Seeking Services	
What is the Services contact name?	Rebekah Collins
What is the Services contact title?	Housing Liaison
In what department does the Services contact work?	Support Services
What is the Services contact email?	rcollins@lhwelch.org
What is the Services contact phone number (including extension)?	270-444-8183 ext 2139
What is the Services contact fax number?	270-444-8147

General data note: Do not enter "N/A" or "Not Applicable" - if a row does not apply, please skip and leave blank.

For HOPWA GRANTEES ONLY who ALSO provided direct HOPWA services: You do not need to complete this tab.

Data Check for Project Sponsors : Rows 9, 16, & 23 need only be completed if applicable. All other contact information is mandatory. Complete even if a contact is duplicated across multiple roles.

This information may be published on HUD website as a resource for clients seeking services.

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in either the Consolidated or Annual Plan (for formula grantees) or the grant proposal/application (for competitive grantees) and used in the delivery of the HOPWA program and the amount of leveraged dollars.		
What is the amount and type of leveraged funding that was provided by any of these sources?	Funding for this report	Was this a Housing Subsidy Assistance? Yes or No
USG	0	
HHS	300,000/Yes	
State of Ohio	100,000/No	
Continuum of Care Fund	0	
State Income Tax Credit	0	
Housing Choice Voucher Program	0	
Private grants	0	
In-kind resources	0	
Other cash	0	
Other types of private or public funding:		
Other FUNDING_1	10,000/No	
Other FUNDING_2	0	
Other FUNDING_3	0	
Other FUNDING_4	0	
Other FUNDING_5	0	
Other FUNDING_6	0	
Other FUNDING_7	0	
Other FUNDING_8	0	
Other FUNDING_9	0	
Other FUNDING_10	0	
Other FUNDING_11	0	
Other FUNDING_12	0	
Other FUNDING_13	0	
Other FUNDING_14	0	
Other FUNDING_15	0	
Program income:		
What was the amount of program income collected from resident rent payments in the program year?	0	
What was the amount of program income collected from other sources (non-resident supported) in the program year?	0	
Uses of Program Income:		
What was the amount of total program income that was spent on housing assistance in the program year?	0	
What was the amount of total program income that was spent on supportive services or other non-housing costs in the program year?	0	
Rent Payments Made by HOPWA Housing Subsidy Assistance Recipients (Only for Private Landlords)		
What was the amount of resident rent payment that residents paid directly to private landlords?	100.00	

Scroll to the bottom of this tab for required Program Income reporting questions.

Complete Rows 3-11 for specific leveraging sources listed in Column A.
 Column B should be a single dollar amount.
 Column C should be a "Yes" or "No" response only to indicate whether any of the reported funds were for Housing Subsidy Assistance. Do not add explanations on this tab.

NOTE: Enter any leveraged project sponsor cash in row 11, "Other cash."

In rows 13-17, enter other leveraged funds not included in the sources listed Rows 3-11.

Column A: Do not enter anything; you do not need to report the specific source of the leveraged funds.

Column B: Should be a single dollar amount.

Column C: Should have "Yes" or "No" responses only as to whether any of the reported funds were for Housing Subsidy Assistance. Do not add explanations or other text.

If P-FIRM or ST-FIRM activity reported and Row 26 is "0", provide an explanation in "Leveraging" section of the "Data Quality Notes" tab.

If facility assistance activity reported and Row 30 is "0", provide an explanation in "Leveraging" section of the "Data Quality Notes" tab.

If TBWA activity reported and Row 31 is "0", provide an explanation in "Leveraging" section of the "Data Quality Notes" tab.

Complete this section for all Households served with HOPWA Tenant-Based Rental Assistance (TBRA) by your organization in the reporting year.

Question	This Report
TBRA Households Served and Expenditures	
How many households were served with HOPWA TBRA assistance?	44
What were the total HOPWA funds expended for TBRA rental assistance?	283,465.92
Other (Non-TBRA) Rental Assistance <i>Households Served and Expenditures (Other Non-TBRA Rental Assistance activities must be approved in the grant agreement).</i>	
How many total households were served with Other (non-TBRA) Rental Assistance?	0
What were the total HOPWA funds expended for Other (non-TBRA) Rental Assistance, as approved in the grant agreement?	0.00
Describe the Other (non-TBRA) Rental Assistance provided. (150 characters).	0
TBRA Household Total (TBRA + Other)	44
Income Levels for Households Served by this Activity	44
What is the number of households with income below 30% of Area Median Income?	41
What is the number of households with income between 31% and 50% of Area Median Income?	2
What is the number of households with income between 51% and 80% of Area Median Income?	1
Sources of Income for Households Served by this Activity	
How many households accessed or maintained access to the following sources of income in the past year?	47
Earned Income from Employment	8
Retirement	0
SSI	6
SSDI	6
Other Welfare Assistance (Supplemental Nutrition Assistance Program, WIC, TANF, etc.)	0
Private Disability Insurance	0
Veteran's Disability Payment (service or non-service connected payment)	0
Regular contributions or gifts from organizations or persons not residing in the residence	0
Worker's Compensation	0
General Assistance (GA), or local program	0
Unemployment Insurance	0
Other Sources of Income	1
How many households maintained no sources of income?	26
Medical Insurance/Assistance for Households Served by this Activity	
How many households accessed or maintained access to the following sources of medical insurance in the past year?	
MEDICAID Health Program or local program equivalent	42
MEDICARE Health Insurance or local program equivalent	7
Veterans Affairs Medical Services	0
AIDS Drug Assistance Program	44
State Children's Health Insurance Program (SCHIP) or	0
Ryan White-funded Medical or Dental Assistance	44
Health Outcomes for HOPWA-Eligible Individuals Served by this Activity	
How many HOPWA-eligible individuals served with TBRA this year have ever been prescribed Anti-Retroviral Therapy?	44
How many HOPWA-eligible persons served with TBRA have shown an improved viral load or achieved viral suppression?	44

General Data Check: If you report expenditures you must report corresponding households. If you report households, you must report expenditures.

Note: This total should include overhead (staff costs, fringe, etc.).

NOTE: Rows 7-9 should not be completed unless you have been approved by HUD in your grant agreement to carry out these activities. Facilities-based Housing, STRMU & PHP activities should not be reported here.

Income Levels in Rows 12-14:
Data Check: Sum of 12-14 as shown in Row 11 must be = to Row 10.

Sources of Income in Rows 17-29: Report ALL sources of income to HOPWA-eligible households (including those for other household members).

Data Check: Sum of 17-29 as shown in Row 16 must be = or > than

Medical Insurance in Rows 32-37: Report ALL of the specific insurance types listed that were accessed by HOPWA-eligible households (including those for other household members). The sum of this section does NOT have to match your household total.

Data Check: If 32-37 are all "0", provide explanation in TBRA section of Data Quality Notes Tab.

NOTE: Health outcomes do NOT have to be supported by labs or other medical documentation. It can be self-report from clients.
Data Check: If 39 and/or 40 are "0", provide explanation in TBRA section of Data Quality Notes Tab.

Longevity for Households Served by this Activity	44
How many households have been served with TBRA for less than one year?	24
How many households have been served with TBRA for more than one year, but less than five years?	20
How many households have been served with TBRA for more than five years, but less than 10 years?	0
How many households have been served with TBRA for more than 10 years, but less than 15 years?	0
How many households have been served with TBRA for more than 15 years?	0
Housing Outcomes for Households Served by this Activity	44
How many households continued receiving HOPWA TBRA assistance into the next year?	37
How many households exited to other HOPWA housing programs?	0
How many households exited to other housing subsidy programs?	3
How many households exited to an emergency shelter?	0
How many households exited to private housing?	0
How many households exited to transitional housing (time limited - up to 24 months)?	0
How many households exited to an institutional arrangement expected to last less than six months?	0
How many households exited to institutional arrangement expected to last more than six months?	1
How many households exited to a jail/prison term expected to last less than six months?	0
How many households exited to a jail/prison term expected to last more than six months?	1
How many households exited to a situation that isn't transitional, but is not expected to last more than 90 days and their housing situation after those 90 days is uncertain?	0
How many households exited to a place not meant for human habitation?	0
How many households were disconnected from care?	0
How many of the HOPWA eligible individuals died?	2

Longevity in Rows 42-46:

Data Check: Sum of 42-46 as shown in Row 43 Must be = to Row 10.

Housing Outcomes in Rows 48-61:

Data Check: Sum of 48-61 as shown in Row 47 Must be = to Row 10.

Project Information		Project Details		Project Status		Project Location		Project Dates		Project Budget		Project Team		Project Notes	
Project Name	Project ID	Project Description	Project Manager	Project Status	Project Location	Project Dates	Project Budget	Project Team	Project Notes	Project Name	Project ID	Project Description	Project Manager	Project Status	Project Location
Project A	101	Project A Description	Project A Manager	Project A Status	Project A Location	Project A Dates	Project A Budget	Project A Team	Project A Notes	Project B	102	Project B Description	Project B Manager	Project B Status	Project B Location
Project C	103	Project C Description	Project C Manager	Project C Status	Project C Location	Project C Dates	Project C Budget	Project C Team	Project C Notes	Project D	104	Project D Description	Project D Manager	Project D Status	Project D Location
Project E	105	Project E Description	Project E Manager	Project E Status	Project E Location	Project E Dates	Project E Budget	Project E Team	Project E Notes	Project F	106	Project F Description	Project F Manager	Project F Status	Project F Location
Project G	107	Project G Description	Project G Manager	Project G Status	Project G Location	Project G Dates	Project G Budget	Project G Team	Project G Notes	Project H	108	Project H Description	Project H Manager	Project H Status	Project H Location
Project I	109	Project I Description	Project I Manager	Project I Status	Project I Location	Project I Dates	Project I Budget	Project I Team	Project I Notes	Project J	110	Project J Description	Project J Manager	Project J Status	Project J Location
Project K	111	Project K Description	Project K Manager	Project K Status	Project K Location	Project K Dates	Project K Budget	Project K Team	Project K Notes	Project L	112	Project L Description	Project L Manager	Project L Status	Project L Location
Project M	113	Project M Description	Project M Manager	Project M Status	Project M Location	Project M Dates	Project M Budget	Project M Team	Project M Notes	Project N	114	Project N Description	Project N Manager	Project N Status	Project N Location
Project O	115	Project O Description	Project O Manager	Project O Status	Project O Location	Project O Dates	Project O Budget	Project O Team	Project O Notes	Project P	116	Project P Description	Project P Manager	Project P Status	Project P Location
Project Q	117	Project Q Description	Project Q Manager	Project Q Status	Project Q Location	Project Q Dates	Project Q Budget	Project Q Team	Project Q Notes	Project R	118	Project R Description	Project R Manager	Project R Status	Project R Location
Project S	119	Project S Description	Project S Manager	Project S Status	Project S Location	Project S Dates	Project S Budget	Project S Team	Project S Notes	Project T	120	Project T Description	Project T Manager	Project T Status	Project T Location
Project U	121	Project U Description	Project U Manager	Project U Status	Project U Location	Project U Dates	Project U Budget	Project U Team	Project U Notes	Project V	122	Project V Description	Project V Manager	Project V Status	Project V Location
Project W	123	Project W Description	Project W Manager	Project W Status	Project W Location	Project W Dates	Project W Budget	Project W Team	Project W Notes	Project X	124	Project X Description	Project X Manager	Project X Status	Project X Location
Project Y	125	Project Y Description	Project Y Manager	Project Y Status	Project Y Location	Project Y Dates	Project Y Budget	Project Y Team	Project Y Notes	Project Z	126	Project Z Description	Project Z Manager	Project Z Status	Project Z Location
Project AA	127	Project AA Description	Project AA Manager	Project AA Status	Project AA Location	Project AA Dates	Project AA Budget	Project AA Team	Project AA Notes	Project AB	128	Project AB Description	Project AB Manager	Project AB Status	Project AB Location
Project AC	129	Project AC Description	Project AC Manager	Project AC Status	Project AC Location	Project AC Dates	Project AC Budget	Project AC Team	Project AC Notes	Project AD	130	Project AD Description	Project AD Manager	Project AD Status	Project AD Location
Project AE	131	Project AE Description	Project AE Manager	Project AE Status	Project AE Location	Project AE Dates	Project AE Budget	Project AE Team	Project AE Notes	Project AF	132	Project AF Description	Project AF Manager	Project AF Status	Project AF Location
Project AG	133	Project AG Description	Project AG Manager	Project AG Status	Project AG Location	Project AG Dates	Project AG Budget	Project AG Team	Project AG Notes	Project AH	134	Project AH Description	Project AH Manager	Project AH Status	Project AH Location
Project AI	135	Project AI Description	Project AI Manager	Project AI Status	Project AI Location	Project AI Dates	Project AI Budget	Project AI Team	Project AI Notes	Project AJ	136	Project AJ Description	Project AJ Manager	Project AJ Status	Project AJ Location
Project AK	137	Project AK Description	Project AK Manager	Project AK Status	Project AK Location	Project AK Dates	Project AK Budget	Project AK Team	Project AK Notes	Project AL	138	Project AL Description	Project AL Manager	Project AL Status	Project AL Location
Project AM	139	Project AM Description	Project AM Manager	Project AM Status	Project AM Location	Project AM Dates	Project AM Budget	Project AM Team	Project AM Notes	Project AN	140	Project AN Description	Project AN Manager	Project AN Status	Project AN Location
Project AO	141	Project AO Description	Project AO Manager	Project AO Status	Project AO Location	Project AO Dates	Project AO Budget	Project AO Team	Project AO Notes	Project AP	142	Project AP Description	Project AP Manager	Project AP Status	Project AP Location
Project AQ	143	Project AQ Description	Project AQ Manager	Project AQ Status	Project AQ Location	Project AQ Dates	Project AQ Budget	Project AQ Team	Project AQ Notes	Project AR	144	Project AR Description	Project AR Manager	Project AR Status	Project AR Location
Project AS	145	Project AS Description	Project AS Manager	Project AS Status	Project AS Location	Project AS Dates	Project AS Budget	Project AS Team	Project AS Notes	Project AT	146	Project AT Description	Project AT Manager	Project AT Status	Project AT Location
Project AU	147	Project AU Description	Project AU Manager	Project AU Status	Project AU Location	Project AU Dates	Project AU Budget	Project AU Team	Project AU Notes	Project AV	148	Project AV Description	Project AV Manager	Project AV Status	Project AV Location
Project AW	149	Project AW Description	Project AW Manager	Project AW Status	Project AW Location	Project AW Dates	Project AW Budget	Project AW Team	Project AW Notes	Project AX	150	Project AX Description	Project AX Manager	Project AX Status	Project AX Location
Project AY	151	Project AY Description	Project AY Manager	Project AY Status	Project AY Location	Project AY Dates	Project AY Budget	Project AY Team	Project AY Notes	Project AZ	152	Project AZ Description	Project AZ Manager	Project AZ Status	Project AZ Location

[illegible]

[illegible]

Complete this section for all Households served with HOPWA Short-Term Rent, Mortgage, and Utilities Assistance (STRMU) by your organization in the reporting year.

Question	This Report
Households Served by this Activity - STRMU Breakdown	
a. How many households were served with STRMU mortgage assistance only ?	1
b. How many households were served with STRMU rental assistance only ?	5
c. How many households were served with STRMU utilities assistance only ?	10
d. How many households received more than one type of STRMU assistance?	6
STRMU Households Total	22
STRMU Expenditures	
What were the HOPWA funds expended for the following budget line items?	
STRMU mortgage assistance	11,035.42
STRMU rental assistance	18,426.66
STRMU utility assistance	13,840.31
Total STRMU Expenditures	43,302.39
Income Levels for Households Served by this Activity	22
What is the number of households with income below 30% of Area Median Income?	19
What is the number of households with income between 31% and 50% of Area Median Income?	1
What is the number of households with income between 51% and 80% of Area Median Income?	2
Sources of Income for Households Served by this Activity	
How many households accessed or maintained access to the following sources of income in the past year?	22
Earned Income from Employment	3
Retirement	0
SSI	5
SSDI	6
Other Welfare Assistance (Supplemental Nutrition	0
Private Disability Insurance	0
Veteran's Disability Payment (service or non-service	0
Regular contributions or gifts from organizations or	0
Worker's Compensation	0
General Assistance (GA), or local program	0
Unemployment Insurance	0
Other Sources of Income	1
How many households maintained no sources of income?	7
Medical Insurance/Assistance for Households Served by this Activity	
How many households accessed or maintained access to the following sources of medical insurance in the past year?	
MEDICAID Health Program or local program equivalent	17
MEDICARE Health Insurance or local program equivalent	3
Veterans Affairs Medical Services	0
AIDS Drug Assistance Program	22
State Children's Health Insurance Program (SCHIP) or local program equivalent	0
Ryan White-funded Medical or Dental Assistance	22
Longevity for Households Served by this Activity	22

General Data Check: If you report expenditures you must report corresponding households. If you report households, you must report expenditures.

This total should include overhead (staff costs, fringe, etc.). **NOTE:** The grantee determines how to report/distribute overhead costs (i.e., all overhead included in one row, or divided across categories with expenditures). All sponsors should report in the same manner.

Income Levels in Rows 16-18:
Data Check: Sum of 16-18 as shown in Row 15 must be = to Row 8

Income Sources in Rows 21-33:
Report ALL sources of income for HOPWA-eligible households (including those for other household members).
Data Check: Sum of 21-33 as shown in Row 20 must be = to or > than Row 8

Medical Insurance in Rows 36-41: Report ALL of the specific insurance types listed that were accessed by HOPWA-eligible households (including those for other household members). The sum of this section does NOT have to match your household total.
Data Check: If 36-41 are all "0", provide explanation in TBRA section of Data Quality Notes Tab.

How many households have been served by STRMU for the first time this year?	9
How many households also received STRMU assistance during the previous STRMU eligibility period?	12
How many households received STRMU assistance more than twice during the previous five eligibility periods?	1
How many households received STRMU assistance during the last five consecutive eligibility periods?	0
Housing Outcomes for Households Served by this Activity	22
How many households continued receiving this type of HOPWA assistance into the next year?	17
How many households exited to other HOPWA housing programs?	1
How many households exited to other housing subsidy programs?	1
How many households exited to an emergency shelter?	0
How many households served with STRMU were able to maintain a private housing situation without subsidy?	3
How many households exited to transitional housing (time limited - up to 24 months)?	0
How many households exited to institutional arrangement expected to last less than six months?	0
How many households exited to institutional arrangement expected to last more than six months?	0
How many households exited to a jail/prison term expected to last less than six months?	0
How many households exited to a jail/prison term expected to last more than six months?	0
How many households exited to a situation that isn't transitional, but is not expected to last more than 90 days and their housing situation after those 90 days is uncertain?	0
How many households exited to a place not meant for human habitation?	0
How many households were disconnected from care?	0
How many of the HOPWA eligible individuals died?	0

Longevity in Rows 43-46:

The total of this section does NOT have to match your household total for this activity.

Data Check: Individually, Rows 44-46 cannot be > than Row 8

Housing Outcomes in Rows 48-61:

Data Check: Sum of 48-61 as shown in Row 47 must be = to Row 8

Complete this section for all Households served with HOPWA Permanent Housing Placement (PHP) assistance by your organization in the reporting year.	
Question	This Report
Households Served by this Activity	
How many households were served with PHP assistance?	19
PHP Expenditures for Households Served by this Activity	
What were the HOPWA funds expended for PHP?	39713.38
Sources of Income for Households Served by this Activity	
How many households accessed or maintained access to the following sources of income in the past year?	19
Earned income from Employment	4
Retirement	0
SSI	3
SSDI	6
Other Welfare Assistance (Supplemental Nutrition Assistance Program, WIC, TANF, etc.)	0
Private Disability Insurance	0
Veteran's Disability Payment (service or non-service connected payment)	0
Regular contributions or gifts from organizations or persons not residing in the residence	0
Worker's Compensation	0
General Assistance (GA), or local program	0
Unemployment Insurance	0
Other Sources of Income	0
How many households maintained no sources of income?	6
Medical Insurance/Assistance for Households Served by this Activity	
How many households accessed or maintained access to the following sources of medical insurance in the past year?	
MEDICAID Health Program or local program equivalent	13
MEDICARE Health Insurance or local program equivalent	6
Veterans Affairs Medical Services	0
AIDS Drug Assistance Program	19
State Children's Health Insurance Program (SCHIP) or local program equivalent	0
Ryan White-funded Medical or Dental Assistance	19
Housing Outcomes for Households Served by this Activity	
<i>In the context of PHP, "exited" means the housing situation into which the household was placed using the PHP assistance.</i>	19
How many households exited to other HOPWA housing programs?	0
How many households exited to other housing subsidy programs?	12
How many households exited to private housing?	7

General Data Check: If you report expenditures you must report corresponding households. If you report households, you must report expenditures.

The total in Row 6 should include overhead (staff costs, fringe, etc.).

Income Sources in Rows 9-21:
Report ALL sources of income for HOPWA-eligible households (including those for other household members).
Data Check: Sum of 9-21 as shown in Row 8 must be = to or > than Row 4

Medical Insurance in Rows 24-29: Report ALL of the specific insurance types listed that were accessed by HOPWA-eligible households (including those for other household members). The sum of this section does NOT have to match your household total.
Data Check: If 24-29 are all "0", provide explanation in "PHP" section of "Data Quality Notes" Tab.

Housing Outcomes in Rows 32-34:
Data Check: Sum of 32-34 as shown in Row 30 must be = to Row 4; if not, provide explanation in "PHP" section of "Data Quality Notes" Tab.

Complete for all households served with HOPWA-funded Housing Information Services by your organization in the reporting year.
 See definition of "Housing Information Services" on "Performance Report Cover" tab.

Question	This Report
Households Served by this Activity	
How many households were served with housing information services?	0
Housing Information Services Expenditures	
What were the HOPWA funds expended for Housing Information Services?	7,444.29

General Data Check: If you report expenditures you must report corresponding households. If you report households, you must report expenditures.

NOTE: The total in Row 6 should include overhead (staff costs, fringe, etc.).

Complete for all households served with HOPWA funded Supportive Services by your organization in the reporting year.

Note that this table also collects *HOPWA Supportive Service expenditures*.

Questions	This Report	
	Number of Households	Expenditures
Households and Expenditures for Supportive Service Types		
What were the expenditures and number of households for each of the following types of supportive services in the program year?		
Adult Day Care and Personal Assistance	0	0
Alcohol/Drug Abuse	0	0
Child Care	0	0
Case Management	44	\$24,101.15
Education	0	0
Employment Assistance and Training	0	0
Health/Medical Services	0	0
Legal Services	0	0
Life Skills Management	0	0
Meals/Nutritional Services	0	0
Mental Health Services	0	0
Outreach	0	0
Transportation	0	0
Any other type of HOPWA-funded, HUD approved supportive service?	0	0
What were the other type(s) of supportive services provided? (150 characters)		
Deduplication of Supportive Services		
How many households received more than one of any type of Supportive Services?	0	
Deduplicated Supportive Services Household Total (based on amounts reported in Rows 5-21 above):	44	

General Data Check: If you report expenditures you must report corresponding households. If you report households, you must report expenditures.

Column B: enter the unduplicated number of households served by each type of **HOPWA-FUNDED** Supportive Services

Column C: Report all HOPWA expenditures associated with delivering each type of **HOPWA-FUNDED** Supportive Services. This total should include overhead (staff costs, fringe, etc.).

NOTE: The grantee can determine how to report/distribute overhead costs (i.e., all overhead included in one row, or divided across categories with expenditures. All sponsors should report in the same manner.

Data Check: If your unduplicated household total calculated in Cell B23 appears incorrect, adjust Row 21.

Only Competitive Grantees with an "Other Housing Activity" approved in their grant agreement should complete this tab.	
"Other" Housing Activities -- Households and Expenditures Served by this Activity	This Report
How many households were served with "Other Housing Activity" assistance?	0
What were the HOPWA funds expended for "Other Housing Activity" assistance?	0
What is the "Other" HOPWA budget line item approved in the grant agreement? (150 characters)	

General Data Check: If you report expenditures you must report corresponding households. If you report households, you must report expenditures.
Complete this tab ONLY if you have been approved by HUD in your grant agreement to carry out "Other Housing Activities."
The total in Row 4 should include overhead (staff costs, fringe, etc.).

Activity Review	TBNA	P-FBI	ST-TBNA	STBNU	PHF	Housing Info	SUPP SVC	Other Competitive Activity
Total Households Served in ALL Activities from this report for each Activity:	44	0	0	22	19	0	44	0
Housing Subsidy Assistance Household Count Deduplication								
Total Housing Subsidy Assistance (from the TBNA, P-FBI, ST-TBNA, STBNU, PHF, Other Competitive Activity counts above)	85	Data Check: The highest unduplicated activity total shown in row 2 on this ATC tab must be equal to or less than the HOPWA-eligible individual total reported in row 27 on the DEM & Prior Living Tab. The HOPWA-eligible individual total from row 27 is also shown directly to the right for your reference.						DEM tab, row 27:
How many households received more than one type of HOPWA Housing Subsidy Assistance for TBNA, P-FBI, ST-TBNA, STBNU, PHF, Other Competitive Activity?	13							74
Total Unduplicated Housing Subsidy Assistance Household Count	74							
Access to Care (ATC)								
Complete HOPWA Outcomes for Access to Care and Support for all households served with HOPWA housing assistance and "other competitive activities" in the reporting year.								
Questions	This Report	Rows 10-15: Data Checks: The values entered in each of these rows individually cannot be greater than the value calculated in Row 6. If insurance or income were reported on any of the activity tabs, Rows 12 & 14 should be completed accordingly. If all Rows are "0", provide explanation in Access to Care section of Data Quality Notes Tab. Reminders: 1. Contact with a case manager does not have to be a HOPWA-funded case manager. 2. Access to medical insurance can include those who accessed other types of insurance not included in the activity tabs.						
How many households had contact with a case manager?	72							
How many households developed a housing plan for maintaining or establishing stable housing?	70							
How many households accessed and maintained medical insurance and/or assistance?	74							
How many households had contact with a primary health care provider?	50							
How many households accessed or maintained qualification for sources of income?	18							
How many households obtained/maintained an income-producing job during the program year (with or without any HOPWA-related assistance)?								
Subsidy Assistance with Supportive Service, Funded Case Management								
Questions	This Report	Data Check: Individually, Rows 18 & 19 cannot be > than the lesser of Cells #2 or #6.						
How many households received any type of HOPWA Housing Subsidy Assistance and HOPWA Funded Case Management?	44	In Rows 18 & 19, report on Housing Subsidy Assistance households as calculated in Row 6 ONLY.						
How many households received any type of HOPWA Housing Subsidy Assistance and HOPWA Supportive Services?	44	Case management is a supportive service; therefore, all individuals reported in Row 18 should be included in total reported in Row 19.						

Section 1: General Information		Section 2: Financial Data		Section 3: Operational Data	
Item	Description	Amount	Unit	Quantity	Value
1	Item 1	100	USD	10	1000
2	Item 2	200	USD	20	2000
3	Item 3	300	USD	30	3000
4	Item 4	400	USD	40	4000
5	Item 5	500	USD	50	5000
6	Item 6	600	USD	60	6000
7	Item 7	700	USD	70	7000
8	Item 8	800	USD	80	8000
9	Item 9	900	USD	90	9000
10	Item 10	1000	USD	100	10000
11	Item 11	1100	USD	110	11000
12	Item 12	1200	USD	120	12000
13	Item 13	1300	USD	130	13000
14	Item 14	1400	USD	140	14000
15	Item 15	1500	USD	150	15000
16	Item 16	1600	USD	160	16000
17	Item 17	1700	USD	170	17000
18	Item 18	1800	USD	180	18000
19	Item 19	1900	USD	190	19000
20	Item 20	2000	USD	200	20000
21	Item 21	2100	USD	210	21000
22	Item 22	2200	USD	220	22000
23	Item 23	2300	USD	230	23000
24	Item 24	2400	USD	240	24000
25	Item 25	2500	USD	250	25000
26	Item 26	2600	USD	260	26000
27	Item 27	2700	USD	270	27000
28	Item 28	2800	USD	280	28000
29	Item 29	2900	USD	290	29000
30	Item 30	3000	USD	300	30000
31	Item 31	3100	USD	310	31000
32	Item 32	3200	USD	320	32000
33	Item 33	3300	USD	330	33000
34	Item 34	3400	USD	340	34000
35	Item 35	3500	USD	350	35000
36	Item 36	3600	USD	360	36000
37	Item 37	3700	USD	370	37000
38	Item 38	3800	USD	380	38000
39	Item 39	3900	USD	390	39000
40	Item 40	4000	USD	400	40000
41	Item 41	4100	USD	410	41000
42	Item 42	4200	USD	420	42000
43	Item 43	4300	USD	430	43000
44	Item 44	4400	USD	440	44000
45	Item 45	4500	USD	450	45000
46	Item 46	4600	USD	460	46000
47	Item 47	4700	USD	470	47000
48	Item 48	4800	USD	480	48000
49	Item 49	4900	USD	490	49000
50	Item 50	5000	USD	500	50000
51	Item 51	5100	USD	510	51000
52	Item 52	5200	USD	520	52000
53	Item 53	5300	USD	530	53000
54	Item 54	5400	USD	540	54000
55	Item 55	5500	USD	550	55000
56	Item 56	5600	USD	560	56000
57	Item 57	5700	USD	570	57000
58	Item 58	5800	USD	580	58000
59	Item 59	5900	USD	590	59000
60	Item 60	6000	USD	600	60000
61	Item 61	6100	USD	610	61000
62	Item 62	6200	USD	620	62000
63	Item 63	6300	USD	630	63000
64	Item 64	6400	USD	640	64000
65	Item 65	6500	USD	650	65000
66	Item 66	6600	USD	660	66000
67	Item 67	6700	USD	670	67000
68	Item 68	6800	USD	680	68000
69	Item 69	6900	USD	690	69000
70	Item 70	7000	USD	700	70000
71	Item 71	7100	USD	710	71000
72	Item 72	7200	USD	720	72000
73	Item 73	7300	USD	730	73000
74	Item 74	7400	USD	740	74000
75	Item 75	7500	USD	750	75000
76	Item 76	7600	USD	760	76000
77	Item 77	7700	USD	770	77000
78	Item 78	7800	USD	780	78000
79	Item 79	7900	USD	790	79000
80	Item 80	8000	USD	800	80000
81	Item 81	8100	USD	810	81000
82	Item 82	8200	USD	820	82000
83	Item 83	8300	USD	830	83000
84	Item 84	8400	USD	840	84000
85	Item 85	8500	USD	850	85000
86	Item 86	8600	USD	860	86000
87	Item 87	8700	USD	870	87000
88	Item 88	8800	USD	880	88000
89	Item 89	8900	USD	890	89000
90	Item 90	9000	USD	900	90000
91	Item 91	9100	USD	910	91000
92	Item 92	9200	USD	920	92000
93	Item 93	9300	USD	930	93000
94	Item 94	9400	USD	940	94000
95	Item 95	9500	USD	950	95000
96	Item 96	9600	USD	960	96000
97	Item 97	9700	USD	970	97000
98	Item 98	9800	USD	980	98000
99	Item 99	9900	USD	990	99000
100	Item 100	10000	USD	1000	100000

[illegible]

Complete for all households who requested Violence Against Women Act (VAWA) protections per 24 CFR 5.2005 with your organization in the reporting year.

Question	This Report
How many internal emergency transfers were requested?	1
How many internal emergency transfers were granted?	1
How many external emergency transfers were requested?	0
How many external emergency transfers were granted?	0
How many emergency transfers were denied?	0

If you have this data, please complete Rows 3-7. If you do not have this data, it is currently NOT an error to leave this chart blank.

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in either the Consolidated or Annual Plan (for formula grantees) or the grant proposal/application (for competitive grantees) and used in the delivery of the HOPIWA program and the amount of leveraged dollars.		
What is the amount and type of leveraged funding that was provided by any of these sources?	Funding for this report	Was this a Housing Subsidy Assistance? Yes or No
USDA	0	
HHS	0	
State of Ohio	0	
Continuum of Care Fund	0	
Low Income Housing Tax Credit	0	
Housing Choice Voucher Program	0	
Private grants	0	
In-kind resources	0	
Other cash	0	
Other types of private or public funding:		
Other FUNDING_1	88225.58 yers	
Other FUNDING_2	0	
Other FUNDING_3	0	
Other FUNDING_4	0	
Other FUNDING_5	0	
Other FUNDING_6	0	
Other FUNDING_7	0	
Other FUNDING_8	0	
Other FUNDING_9	0	
Other FUNDING_10	0	
Other FUNDING_11	0	
Other FUNDING_12	0	
Other FUNDING_13	0	
Other FUNDING_14	0	
Other FUNDING_15	0	
Program income:		
What was the amount of program income collected from resident rent payments in the program year?	0	
What was the amount of program income collected from other sources (non-resident supported) in the program year?	0	
Uses of Program Income		
What was the amount of total program income that was spent on housing assistance in the program year?	0	
What was the amount of total program income that was spent on supportive services or other non-housing costs in the program year?	0	
Rent Payments Made by HOPIWA Housing Subsidy Assistance Recipients (Only for Private Landlords)		
What was the amount of resident rent payment that residents paid directly to private landlords?	5,084.41	

Scroll to the bottom of this tab for required Program Income reporting questions.

Complete Rows 3-11 for specific leveraging sources listed in Column A.
 Column B should be a single dollar amount.
 Column C should be a "Yes" or "No" response only to indicate whether any of the reported funds were for Housing Subsidy Assistance. Do not add explanations on this tab.

NOTE: Enter any leveraged project sponsor cash in row 11, "Other cash."

In rows 13-17, enter other leveraged funds not included in the sources listed Rows 3-11.

Column A: Do not enter anything; you do not need to report the specific source of the leveraged funds.

Column B: Should be a single dollar amount.

Column C: Should have "Yes" or "No" response only as to whether any of the reported funds were for housing subsidy assistance. Do not add explanations or other text.

If P-FIR or SF-316N activity reported and Row 28 is "0", provide an explanation in "Leveraging" section of the "Data Quality Notes" tab.

If Subsidy Assistance activity reported and Row 33 is "0", provide an explanation in "Leveraging" section of the "Data Quality Notes" tab.

If TRMA activity reported and Row 33 is "0", provide an explanation in "Leveraging" section of the "Data Quality Notes" tab.

Housing Opportunities for Persons With AIDS (HOPWA) Program	
Revised: 10/11/2020	
Consolidated AFR/CAPER – HOPWA Grantee	
OMB Approval No. 2506-0117 (Expiration Date: 12/31/2021)	
Burden Statement	
The purpose of this information collection is to meet the Housing Opportunities for Persons With AIDS (HOPWA) annual reporting requirements. Reporting is required for all HOPWA grantees pursuant to 42 U.S.C. § 12911; 24 CFR § 574.520(a) and (b); and 24 CFR § 91.520(f). The information collected on this form is required to obtain a benefit. It will not be confidential. The public reporting burden for this collection of information is estimated to average 40 hours, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the information collection. This includes the time for collecting, reviewing, and reporting the data. HUD may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a currently valid OMB control number. OMB Approval No. 2506-0117 (Expiration Date: 12/31/2021).	
Term	Definition
Viral Suppression	When the load or volume of HIV virus present in a person's blood is measured at less than 200 copies per milliliter of blood.
Adjustment for Duplication	Removal of duplicate entries when a household/unit received more than one type of HOPWA assistance, which enables the calculation of unduplicated output totals. For example, if a household received both HOPWA TBRA and HOPWA PwP from the same project sponsor, adjusting for duplication would ensure that household was only counted once when calculating the HOPWA housing subsidy assistance household total.
Administrative Agent	As entity the grantee has selected to carry out administrative activities on behalf of the grantee. When a grantee utilizes another organization to carry out some or all of the grantee's administrative functions, the administrative activities, costs, and terms of payment should be clearly delineated in a contract or other written agreement between the parties. All costs associated with administering the grant, whether incurred by the grantee or the other organization, are subject to the 3% administrative cost limit. For the purposes of HOPWA annual performance reporting, the administrative agent would not complete a separate "Provider Workbook."
Administrative Costs	Costs for general management, oversight, coordination, evaluation, and reporting (24 CFR § 574.3). By statute, grantee administrative costs are limited to 3% of the total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive (42 U.S.C. § 12911).
Anti-Retroviral Therapy	A use of a combination of medications to treat HIV.
Area Median Income (AMI)	The Department of Housing and Urban Development (HUD) sets income limits that determine eligibility for assisted housing programs including the HOPWA program. HUD develops income limits based on Median Family Income estimates and Fair Market Rent area definitions for each metropolitan area, parts of some metropolitan areas, and each non-metropolitan county. AMI values vary by location and are published at https://www.huduser.gov/portal/datasets/vi.html .
Beneficiary(ies)	All members of a household (with or without HIV) who benefited from HOPWA assistance during the operating year, not including the HOPWA-eligible individual (see definition).
Chronically Homeless Person	A person defined as chronically homeless under 24 CFR 578.3. (3) A homeless individual with a disability as defined in section 401(b) of the McKinney-Vento Assistance Act (42 U.S.C. 11360(b)) who: a) lives in a place not meant for human habitation, a safe haven, or in an emergency shelter, and b) has been homeless and living as described for at least 12 months or on at least 4 separate occasions in the last 3 years, as long as the combined occasions equal at least 12 months and each break in homelessness separating the occasions is no more than 7 consecutive nights of not living as described; (2) An individual who has been residing in an institutional care facility, including jail, substance abuse or mental health treatment facility, hospital, or other similar facility, for fewer than 90 days and met all of the criteria of this definition before entering that facility; or (3) A family with an adult head of household (or, if there is no adult in the family, a minor head of household) who meets all of the criteria of this definition, including a family whose composition has fluctuated while the head of household has been homeless.)
Contractor	A contractor is an entity that receives a legal instrument (contract) by which a grantee or project sponsor purchases property or services needed to carry out the project or program under a Federal award. The purpose of the contract is to obtain goods and services for the grantee/project sponsor's own use and creates a procurement relationship with the contractor. Characteristics indicative of a procurement relationship between the grantee and a contractor are when the contractor: provides the goods and services within normal business operations; provides similar goods or services to many different purchasers; normally operates in a competitive environment; provides goods or services that are ancillary to the operation of the HOPWA program; and is not subject to compliance requirements of the HOPWA program as a result of the agreement, though similar requirements may apply for other reasons (2 CFR 200.333(b)). For purposes of HOPWA annual performance reporting, contractors do not submit a separate "Provider Workbook."
Facility-Based Housing Assistance	Leasing, operating, and hotel/motel expenditures to support units or facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.
Faith-Based Organization	Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.
Grassroots Organization	An organization that is headquartered in the local community where it provides services, has a social services budget of \$300,000 or less annually, and has six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered "grassroots."
HOPWA-Eligible Individual	The one low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered "head of household." A child may also qualify the household for HOPWA assistance. When the annual performance report asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PwH(A)s, would be considered a beneficiary(s).
Housing Information Services	Costs to provide counseling, information, or referral services to assist an eligible person to locate, acquire, finance, and maintain housing (24 CFR § 574.330)(1)(i). Some eligible costs under Housing Information Services include staff time to assist eligible clients in searching for or locating appropriate housing whether HOPWA-subsidized or not; staff time to provide fair housing guidance for eligible households who may encounter discrimination on the basis of race, color, religion, sex, age, national origin, familial status, or disability; staff time to provide housing counseling to acquire and finance housing; and development and use of Homeless Management Information System (HMIS) elements to coordinate housing assistance for eligible households. HMIS costs billed to housing information services must be pre-rated and not include HMIS reporting. Use of HMIS for reporting purposes is considered an administrative activity and must be billed to administrative costs.
HOPWA Housing Subsidy Assistance Total	The unduplicated number of households receiving housing subsidies (TBRA, STRMIL, Permanent Housing Placement services and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the program year for formula grantees and the operating year for competitive grantees.
Household	A single individual or a family, as defined in 24 CFR 574.3. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-household members (e.g., a shared housing arrangement with a roommate who resided in the unit) are not reported in the annual performance report.
Improved HIV Viral Load	A reduction in the load or volume of HIV present in the HOPWA-eligible individual's blood at the end of the operating year compared to the beginning of the operating year. Most people with HIV/AIDS (PWA) who are engaged in medical care have routine laboratory tests. The HOPWA-eligible individual's two most recent laboratory reports can be used to determine viral load improvement, even if the first laboratory test was performed in a prior operating year.
In-kind Leveraged Resources	These are additional types of support provided to assist HOPWA-eligible individuals such as volunteer services, materials, use of equipment, and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the criteria described in 2 CFR part 200. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sale, advertised prices, appraisals, or other information for comparable property similarly situated.
Leasing Costs	Costs used to lease all or a portion of a building, as needed to provide housing to eligible households. For eligible individuals or families unable to hold leases in their names, funding may be used to "master lease" units, where the eligible household chooses the units, the grantee or project sponsor leases the units and pays the full rent to the landlord, and the eligible households pay the grantee or project sponsor the amount required by 24 CFR 574.330(d). This type of master leasing can be administered as "bare key" housing assistance, to be replaced with Tenant-Based Rental Assistance (TBRA) if the landlord agrees to transfer the lease to the eligible person. Funding may also be used to master lease units to be operated as transitional housing for eligible households. For example, post-incarceration programs often lease a unit to temporarily house an eligible household returning to the community until other more permanent housing arrangements can be made. The lease is always in the organization's name. The furniture, housing equipment, and supplies, eligible under the operating budget line item, belong to the organization and remain in the unit for the next household's use. Eligible leasing costs include the cost to lease a housing facility or scattered-site units, staff time to negotiate lease terms with lessor or landlord, and annual housing inspections to ensure HOPWA habitability standards are met for scattered-site units assisted with Leasing.
Leveraged Funds	The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources. Leveraged funds are used to further support HOPWA clients receiving assistance during the operating year for formula grantees, or under the applicable competitive grant.
Live-In Aide	A person who resides with the HOPWA-eligible individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. See 24 CFR § 4.403 and the HOPWA Grantee Overnight Resource Guide for additional reference.

Master Leasing	Applies to the leasing of units of housing (scattered sites or entire buildings) from a landlord by a nonprofit or public agency that subleases the units to HOPWA-eligible tenants. By assuming the tenancy burden, the agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.
Minimum Use Periods	Grantees that used HOPWA funding for new construction, acquisition, conversion, lease, or substantial rehabilitation of a building or structure are required to operate the building or structure for HOPWA-eligible individuals for a 30-year period. In the case of non-substantial rehabilitation or repair of a building or structure, the minimum use period is not less than 5 years. If no further HOPWA funds are used to support the facility, in place of completing the "CAP DEY" tab in the Performance Report Worksheet, the grantee must complete an "Annual Report of Continued Project Operation" throughout the required use periods. This report is found on the "STRATEGY" tab of the Grantee Workbook.
Medically Assisted Living Facilities	HOPWA facility-based housing that assists residents with most or all activities of daily living, such as meals, bathing, dressing, and toileting. Regular medical care, supervision, and rehabilitation are also often available.
Operating Costs	Costs of operating a housing facility owned or leased by the grantee or project sponsor, to the extent the costs are necessary to house eligible households. Eligible operating costs include utilities, property insurance, minor repairs, and upkeep of the facility, maintenance both inside and outside the facility, procurement and contracting of services for facility operation or maintenance, furniture and appliances that will remain with the facility, food purchases and kitchen operation for HOPWA household at the facility, and staff time for directing any of the eligible operating costs mentioned above at the facility for eligible households (24 CFR §574.300(b)(8)).
Operating Year – Competitive grantees	HOPWA competitive grants are awarded for a 3-year period of performance with annual performance reports submitted for each of the 3 operating years. The information contained in this performance report should reflect the grantee's operating year with the beginning date determined at the time the grant agreement is signed. Project sponsor accomplishment information must coincide with the operating year this Performance Report covers. Any change to the period of performance requires the approval of HUD by amendment, such as an extension for one additional operating year. A FSI renewal/replacement grant start date would be coordinated with the close out of the existing grant. Grantees with an approved extension period of less than 6 months must submit the Performance Report for the third year of the grant term at the end of the approved extension period and incorporate data from the additional months. Grantees with an approved extension period of 6 months or more must turn in a Performance Report at the end of the operating year and submit a separate extension Performance Report at the end of the extension period.
Operating Year – Formula grantees	HOPWA Formula Grantees follow the Grantee Program Year as established by the Consolidated Planning Processes. All CDF Programs (HOMI/ESG/CDBG/HOPWA) use the same 12-month period as their Operating Year for performance reporting. The information contained in each annual performance report must represent a one-year time period of HOPWA program operation that coincides with the grantee's program year. HOPWA Formula Grantees are annually awarded grants with a 3-year period of performance, as established by the Grantee signature date on the Grant Agreement. Since Grant period of performance varies from Fiscal Year to Fiscal Year, and do not necessarily coincide with a Grantee's Operating Year, funds from more than one HOPWA formula grant awarded to the same grantee may be used during an operating year and the annual performance report must capture all formula grant funding used during the operating year. Project sponsor accomplishment information must also align with the operating year the annual performance report covers.
Outcome	The degree to which the HOPWA assisted household has been enabled to establish or maintain a stable living environment in housing that is safe, decent, and sanitary (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness and improve access to HIV treatment and other health care and support.
Output	The number of units of housing or households that receive HOPWA assistance during the operating year.
Permanent Housing Placement (PHP)	Eligible costs under PHP include security deposits not to exceed two months of rent, rental application fees, credit checks, one-time utility hook-up fees paid directly to the utility company, utility arrears only if the cost is creating a barrier to establishing permanent housing in a new unit, rent arrears only if paid due rent debt at a prior unit is a barrier to accessing a new unit, initial housing inspections, reasonable travel costs to units for initial housing inspections, staff time to review and identify causes for eviction and responsibilities of the tenant within the last, staff time for assisting clients with executing the lease, and staff time for resolving landlord issues directly related to the PHP assistance being provided. PHP can be used in conjunction with TBRA where PHP pays the security deposit and TBRA covers ongoing monthly rent payments starting with the first month. PHP must only be used to assist the client in entering permanent housing. PHP must never be used for monthly rent or ongoing utility costs where a client is already in permanent housing. Although PHP is included as a supportive service under 24 CFR 574.300(b)(7), HUD has established a dedicated BU for PHP to distinguish it from other supportive services.
Program Income	As defined in 2 CFR 200.3, program income is income earned by the grantee or project sponsor that is directly generated by a supported activity or earned as a result of the grant during the period of performance (except as provided by 2 CFR 200.307). See grant administration requirements on program income at 2 CFR 200.307 and the Federal award.
Project-Based Rental Assistance (PBRA)	A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor. Assistance is tied directly to the properties and is not portable or transferable.
Project Sponsor Organizations	Per HOPWA regulations at 24 CFR 574.3, any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended.
Resource Identification	Resource identification funds may be used to establish, coordinate, and develop permanent housing assistance resources for eligible persons (including conducting preliminary research and making expenditures necessary to determine the feasibility of specific housing-related initiatives) (24 CFR § 574.300(b)(2)). Activities can include increasing coordination with local initiatives, systems, or strategies (such as the local Continuum of Care or Ryan White Planning Council) to house HOPWA-eligible individuals, development of new housing resources, and conducting community needs assessments to inform system design.
Rural	For purposes of HOPWA reporting, a rural county is a county in which: (1) Has no part of it within an area designated as a standard metropolitan statistical area by the Office of Management and Budget; or (2) is within an area designated as a metropolitan statistical area or considered as part of a metropolitan statistical area and at least 75% of its population is local on U.S. Census blocks classified as non-urban; or (3) is located in a state that has a population density of less than 30 persons per square mile (as reported in the most recent decennial census), and of which at least 1.25% of the total acreage of such State is under Federal jurisdiction.
Short-Term Rent, Mortgage, and Utility (STRMU) Assistance	Short-term rent, mortgage, and utility payments to prevent the homelessness of the tenant or mortgagee of a dwelling (24 CFR 574.300(b)(4)). Eligible STRMU costs include up to 23 weeks of the HOPWA-eligible individual's rent, mortgage, and/or utility costs, and the costs of staff time to review and determine household's need for STRMU assistance and make the STRMU payments. For the purposes of STRMU assistance, to the extent that taxes, insurance, condominium fees, or other building operation costs are included in the monthly mortgage payment either by federal regulation or the terms of the mortgage, these expenses are eligible to be included in the STRMU mortgage assistance payments. STRMU mortgage assistance for taxes, insurance, or condo fees that are not included on the monthly mortgage statement are not eligible.
Stewardship Units	Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction, and/or rehabilitation that no longer receive operating subsidies from HOPWA. Report information for the units is subject to the 3-year use agreement if rehabilitation is non-substantial and to the 30-year use agreement if rehabilitation is substantial.
Sub-Recipient	For the purposes of the HOPWA program, a sub-recipient is an organization that receives funds under a contract with the project sponsor to carry out eligible HOPWA activities. For the purposes of HOPWA annual performance reporting, a sub-recipient organization does not need to submit a separate "Provider Workbook." The sub-recipient's HOPWA activities should be included in the "Provider Workbook" of the project sponsor with whom they have a contract.
Supportive Services	Costs include providing housing stability services, assistance in gaining access to mainstream resources, public benefits, healthcare and support positive health outcomes. However, health services may only be provided to individuals with acquired immunodeficiency syndrome or related diseases and not to family members of these individuals. Eligible supportive services costs include staff time to develop, update and review individualized housing and service plans for clients; staff time to connect households to appropriate services and treatment in accordance with their housing and service plans, management-level consultation (case staffing); health and mental health assessment services; direct outpatient treatment by licensed professionals of mental health services; substance use disorder services provided by licensed or certified professionals; individual, family, or group therapy to address co-occurring disorders; nutritional services including food banks, nutritional supplements, and counseling by certified nutrition specialists; life skills trainings such as budgeting resources, resolving conflict, using public transportation, unit maintenance, credit counseling; education services including instruction or training in consumer education, health education, substance use prevention, literacy, English as a Second Language, and General Educational Development (GED); job training or job coaching including resume development; client transportation to and from medical care, employment, child care, or other eligible essential services facilities; and HOPWA provider transportation to meet with clients for supportive service needs (24 CFR §574.300(b)(7)).
Tenant-Based Rental Assistance (TBRA)	TBRA is a rental subsidy program that grantees can provide to help low-income households access affordable housing. HOPWA does not place restrictions on the length of time eligible persons may receive TBRA. Grantees should provide the opportunity for eligible households to transition in place to self-sufficiency or another subsidy. Eligible TBRA costs include rental payments, staff time to verify household income for TBRA assistance, calculation of resident rent payment, monthly rental payments, processing a TBRA rental payment on behalf of the HOPWA-eligible individual, annual housing inspections to ensure HOPWA habitability standards are met for units being assisted with TBRA, reasonable travel costs to units for housing inspections, review of a client's selected unit for rent reasonableness and rent standard, annual recertification for households receiving ongoing TBRA, and staff time for resolving landlord issues directly related to providing the TBRA assistance.

VAWA Internal Emergency Transfers	Per 24 CFR 5.2005e, an internal emergency transfer under the Violence Against Women Act (VAWA) protections refers to an emergency relocation of a tenant to another unit where the tenant would not be categorized as a new applicant; that is, the tenant may reside in the new unit without having to undergo an application process.
VAWA External Emergency Transfers	Per 24 CFR 5.2005e, an external emergency transfer under the VAWA protections refers to an emergency relocation of a tenant to another unit where the tenant would be categorized as a new applicant; that is, the tenant must undergo an application process in order to reside in the new unit.
Veteran	A veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.

Instructions for Completing the HOPWA Provider Performance Report Workbook

What is the HOPWA Provider Performance Report Workbook?

This workbook provides annual performance data for HOPWA activities. This includes outputs (e.g., households served and demographic information), outcomes (e.g., access to care and support outcomes) and expenditures (for HOPWA-eligible costs). This data will be compiled by the HOPWA Formula or Competitive Grantees, as part of providing annual performance reporting to HUD.

Who completes this form?

This workbook will be completed by **any organization** that conducts any HOPWA activities other than administrative activities. This includes HOPWA Formula or Competitive Grantees that conduct other HOPWA activities besides administrative activities, and the **Project Sponsor** organizations that Grantees contract to provide HOPWA services (as defined in 24 CFR 574.3). There should be one organization's HOPWA activities reported in each workbook. Each organization should complete a separate performance report workbook that only includes the HOPWA activities conducted by that organization.

What tabs should be completed for this report?

The Performance Report Workbook requires the completion of the following tabs:

- *DEM (Demographics) & Prior Living (see Note)*
- *Leveraging*
- *ATC (Access to Care) & Totals*

ONLY PROJECT SPONSORS* should complete these tabs:

- *HOPWA Provider*
- *CONTACT*

* For Grantees that are approved to conduct Resource Identification or Technical Assistance activities, please report your expenditure amounts for those budget line items in the **HOPWA Provider** tab. These are the only cells that you will need to complete in the **HOPWA Provider** tab.

Note: Complete Prior Living information only for individuals served by TBRA, P-FBH, ST-TFBH or PHP.

The remaining tabs should **ONLY** be completed based on HOPWA services provided by the organization completing this workbook.

Leave tabs untouched if the activity is not provided by the organization.

- *TBRA (Tenant-Based Rental Assistance)*
- *P-FBH (Permanent Facility-Based Housing)*
- *ST-TFBH (Short-Term or Transitional Facility-Based Housing)*
- *STRMU (Short-Term Rent, Mortgage and Utilities Assistance)*
- *PHP (Permanent Housing Placement Assistance)*
- *Housing Info (Housing Information Services)*
- *Supp Svcs (HOPWA Supportive Services)*
- *Other Competitive Activity*
- *CAP DEV (Capital Development)*
- *VAWA (Housing Transfers for Households Covered by the Violence Against Women Act)*

Important Information

To ensure the integrity of this reporting form, please do not DELETE or ALTER any rows, columns, tabs, or the NAME of the report.

This form requires the entry of data only where applicable, with no other actions required.

- 1 Enter text in empty cells next to questions.
- 2 Enter numbers where the entry reads "0" and the answer is an amount greater than zero.

SUBMISSION INSTRUCTIONS

- Once complete, the Project Sponsor should return the entire workbook to the Grantee in the manner and timeline prescribed by the Grantee.
- The report **MUST** be submitted in this Excel format.
- DO NOT alter the name of this file; return it to the Grantee with the file name as provided.
- The Grantee is responsible for reviewing this report and submitting it to HUD. Project Sponsors **should not** submit this report to HUD; only to the Grantee.
- The Grantee may be contacted by HUD or a HUD contractor regarding the accuracy of this report.
- Please contact the Grantee if you require support submitting this form.
- Submission of the collection of separate workbook files satisfies the HOPWA annual performance reporting submission requirements to HUD. No additional uploads or submission methods (i.e., eCon Planning Suite, SharePoint, etc.) are required.

Grant ID	Grantee	Sponsor ID	Sponsor	File ID
FKY39122	Kentucky	S22176A	S22176A_AVOL (AIDS Volunteers, Inc.) 2	26098_24445

Optional Data Quality Notes

Use the space below to add notes about the data provided in the workbook that you would like communicated to your HOPWA grantee or HUD's Office of HIV/AIDS Housing staff. Use the ALT+enter keys to create a return in this section.

GENERAL PROVIDER DATA COMMENTS:
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HOPWA PROVIDER TAB DATA COMMENTS:
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--

CONTACT TAB DATA COMMENTS:

--

DEMOGRAPHICS & PRIOR LIVING TAB (DEM & Prior Living) DATA COMMENTS:
--

--

LEVERAGING AND PROGRAM INCOME TAB DATA COMMENTS:

No program income was collected

TENANT-BASED RENTAL ASSISTANCE TAB (TBRA) DATA COMMENTS:

PERMANENT FACILITY-BASED HOUSING TAB (P-FBH) ASSISTANCE DATA COMMENTS:

SHORT-TERM/TRANSITIONAL FACILITY-BASED HOUSING TAB (ST-TFBH) ASSISTANCE DATA COMMENTS:	
SHORT-TERM RENT, MORTGAGE, AND UTILITY TAB (STRMU) ASSISTANCE DATA COMMENTS:	
PERMANENT HOUSING PLACEMENT TAB (PHP) DATA COMMENTS:	
HOUSING INFORMATION TAB DATA COMMENTS:	
SUPPORTIVE SERVICES TAB DATA COMMENTS:	
OTHER COMPETITIVE ACTIVITY TAB DATA COMMENTS:	
ACCESS TO CARE TAB (ATC & Totals) DATA COMMENTS:	

CAPITAL DEVELOPMENT TAB (CAP DEV) DATA COMMENTS:

VIOLENCE AGAINST WOMENT ACT TAB (VAWA) DATA COMMENTS:

Please complete for organizations designated to serve as project sponsor, i.e., organizations involved in the direct delivery of services for client households, as defined by 24 CFR 574.3.

Project Sponsor Questions	Responses
What is the organization's name?	WVQ: Kentucky Inc.
What is the organization's Unique Entity Identifier (UEI)?	Z9V6KJF92758
What is the organization's Employer ID Number (EIN) or Tax ID Number (TIN)?	63-1169657
What is the HOPWA contract amount for this organization?	705778
What is the organization's business street address?	1824 Hill Road Drive, Suite 300
In what city is the organization's business address?	Lexington
In what county is the organization's business address?	Fayette
In what state is the organization's business address?	Kentucky
What is the organization's business address zip code?	40502
What is the organization's parent company, if applicable?	
What department administers the organization's grant?	Client Services
What is the organization's phone number (including extension)?	505-225-3080
What is the organization's fax number?	505-275-9384
What is the organization's website?	www.wvqky.org
What is the organization's Facebook page?	https://www.facebook.com/WVQIncKY
What is the organization's Twitter handle?	WVQKEY
Is this a faith-based organization? Yes or No.	No
Is this a nonprofit organization? Yes or No.	Yes
Is this a grassroots organization? Yes or No.	No
What are the cities of the organization's primary service area?	All cities contained in the counties listed
What are the counties of the organization's primary service area?	Adair, Anderson, Bath, Bell, Boone, Bourbon, Boyd, Boyle, Bracken, Breathitt, Campbell, Carroll, Carter, Casey, Clark, Clay, Clinton, Cumberland, Elliott, Estill, Fayette, Fleming, Floyd, Franklin, Gallatin, Garrard, Grant, Green, Greenup, Harlan, Hartwood, Jackson, Jessamine, Johnson, Kenosha, Knott, Knox, Laurel, Lawrence, Lee, Leslie, Letcher, Lincoln, Lincoln, Madison, Magoffin, Martin, Mason, McCreary, Menifee, Mercer, Morganfield, Morgan, Nicholas, Owen, Owsley, Pendleton, Perry, Pike, Powell, Pulaski, Robertson, Rockcastle, Rowan, Russell, Scott, Taylor, Wayne, Whitley, Wolfe and Woodford
In what congressional district is the organization located?	6
In what congressional district is the primary service area?	A, S, G
Is there a waiting list for HOPWA housing subsidy assistance services in the organization's service area? Yes or No.	No
Provider Non-Direct Service Expenditures	
What were the total HOPWA funds expended for Administration costs?	42440.55
How much was expended on Technical Assistance?	0
How much was expended on Resource Identification?	2876.49

General data note: Do not enter "N/A" or "Not Applicable" - if a row does not apply, please skip and leave blank.

NOTE: The amount in Row 6 should be the amount of HOPWA funds the project sponsor receives from this grantee. It should not include any HOPWA funds received from other grantees.

NOTE: For HOPWA GRANTEES ONLY who also provide direct HOPWA services to HOPWA-eligible individuals:

You do not need to complete Rows 3-28; skip and complete Rows 29 & 30 only as applicable, and enter all HOPWA Grantee Admin expenditures in the Grantee workbook only; do not report any Admin expenditures in Row 28 of this tab.

Data Check for Project Sponsors: Rows 32, 33, 34, 35, 36, 37, 38, 39, 40, & 41 need only be filled in if applicable. All other questions are mandatory.

NOTE: For HOPWA Project Sponsors: If Row 28 is "0", please provide a comment to confirm no Admin expenditures in the "HOPWA Provider" section of the "Data Quality Notes" Tab.

Percentage of Total Grant amount expended on Admin:	6%
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NOTE: Project sponsor administrative costs are limited to 7% of the total HOPWA grant award amount they receive. If your administrative costs for the reporting period as calculated in Cell G40 are greater than 7% of the award amount reported for the period, please provide an explanation in "HOPWA Provider" section of the "Data Notes" Tab.

Contact Information for your Organization	
Only organizations designated as project sponsors (see definition of "Project Sponsor Organization" in Performance Report Cover tab) should complete this tab.	
Question	Responses
Contact Information for Primary Program Contact	
What is the Primary Program contact name?	Tami D. Darnon
What is the Primary Program contact title?	Chief Operations Officer
In what department does the Primary Program contact work?	All
What is the Primary Program contact email?	tami@avolky.org
What is the Primary Program contact phone number (including extension)?	859-225-3000 ext 3024
What is the Primary Program contact fax number?	859-225-9248
Contact Information for Secondary Program Contact	
What is the Secondary Program contact name?	Jon Parker
What is the Secondary Program contact title?	Chief Executive Officer
In what department does the Secondary Program contact work?	executive
What is the Secondary Program contact email?	jon@avolky.org
What is the Secondary Program contact phone number (including extension)?	859-225-3000 ext 3026
What is the Secondary Program contact fax number?	859-225-9248
Contact Information for Individuals Seeking Services	
What is the Services contact name?	Laine Winnichko
What is the Services contact title?	Client Services Coordinator
In what department does the Services contact work?	Client Services
What is the Services contact email?	laine@avolky.org
What is the Services contact phone number (including extension)?	859-225-3000 ext 3027
What is the Services contact fax number?	859-225-9248

General data note: Do not enter "N/A" or "Not Applicable" - if a row does not apply, please skip and leave blank.

For HOPWA GRANTEES ONLY who ALSO provided direct HOPWA services: You do not need to complete this tab.

Data Check for Project Sponsors : Rows 9, 16, & 23 need only be completed if applicable. All other contact information is mandatory. Complete even if a contact is duplicated across multiple roles.

This information may be published on HUD website as a resource for clients seeking services.

Complete this section for all Households served with HOPWA Tenant-Based Rental Assistance (TBRA) by your organization in the reporting year.

Question	This Report
TBRA Households Served and Expenditures	
How many households were served with HOPWA TBRA assistance?	23
What were the total HOPWA funds expended for TBRA rental assistance?	244103.43
Other (Non-TBRA) Rental Assistance	
Households Served and Expenditures (Other Non-TBRA Rental Assistance activities must be approved in the grant agreement).	
How many total households were served with Other (non-TBRA) Rental Assistance?	0
What were the total HOPWA funds expended for Other (non-TBRA) Rental Assistance, as approved in the grant agreement?	0
Describe the Other (non-TBRA) Rental Assistance provided. (150 characters).	
TBRA Household Total (TBRA + Other)	23
Income Levels for Households Served by this Activity	23
What is the number of households with income below 30% of Area Median Income?	22
What is the number of households with income between 31% and 50% of Area Median Income?	0
What is the number of households with income between 51% and 80% of Area Median Income?	1
Sources of Income for Households Served by this Activity	
How many households accessed or maintained access to the following sources of income in the past year?	36
Earned Income from Employment	2
Retirement	1
SSI	5
SSDI	5
Other Welfare Assistance (Supplemental Nutrition Assistance Program, WIC, TANF, etc.)	15
Private Disability Insurance	0
Veteran's Disability Payment (service or non-service connected payment)	0
Regular contributions or gifts from organizations or persons not residing in the residence	0
Worker's Compensation	0
General Assistance (GA), or local program	0
Unemployment Insurance	0
Other Sources of Income	0
How many households maintained no sources of income?	8
Medical Insurance/Assistance for Households Served by this Activity	
How many households accessed or maintained access to the following sources of medical insurance in the past year?	
MEDICAID Health Program or local program equivalent	19
MEDICARE Health Insurance or local program equivalent	5
Veterans Affairs Medical Services	0
AIDS Drug Assistance Program	23
State Children's Health Insurance Program (SCHIP) or	0
Ryan White-funded Medical or Dental Assistance	23
Health Outcomes for HOPWA-Eligible Individuals Served by this Activity	
How many HOPWA-eligible individuals served with TBRA this year have ever been prescribed Anti-Retroviral Therapy?	23
How many HOPWA-eligible persons served with TBRA have shown an improved viral load or achieved viral suppression?	23

General Data Check: If you report expenditures you must report corresponding households. If you report households, you must report expenditures.

Note: This total should include overhead (staff costs, fringe, etc.).

NOTE: Rows 7-9 should not be completed unless you have been approved by HUD in your grant agreement to carry out these activities. Facilities-based Housing, STRMU & PHP activities should not be reported here.

Income Levels in Rows 12-14:
Data Check: Sum of 12-14 as shown in Row 11 must be = to Row 10.

Sources of Income in Rows 17-29: Report ALL sources of income to HOPWA-eligible households (including those for other household members).

Data Check: Sum of 17-29 as shown in Row 16 must be = or > than

Medical Insurance in Rows 32-37: Report ALL of the specific insurance types listed that were accessed by HOPWA-eligible households (including those for other household members). The sum of this section does NOT have to match your household total.

Data Check: If 32-37 are all "0", provide explanation in TBRA section of Data Quality Notes Tab.

NOTE: Health outcomes do NOT have to be supported by labs or other medical documentation. It can be self-report from clients.
Data Check: If 39 and/or 40 are "0", provide explanation in TBRA section of Data Quality Notes Tab.

Longevity for Households Served by this Activity	23
How many households have been served with TBRA for less than one year?	2
How many households have been served with TBRA for more than one year, but less than five years?	2
How many households have been served with TBRA for more than five years, but less than 10 years?	12
How many households have been served with TBRA for more than 10 years, but less than 15 years?	6
How many households have been served with TBRA for more than 15 years?	1
Housing Outcomes for Households Served by this Activity	23
How many households continued receiving HOPWA TBRA assistance into the next year?	21
How many households exited to other HOPWA housing programs?	0
How many households exited to other housing subsidy programs?	0
How many households exited to an emergency shelter?	0
How many households exited to private housing?	0
How many households exited to transitional housing (time limited - up to 24 months)?	0
How many households exited to an institutional arrangement expected to last less than six months?	0
How many households exited to institutional arrangement expected to last more than six months?	1
How many households exited to a jail/prison term expected to last less than six months?	0
How many households exited to a jail/prison term expected to last more than six months?	0
How many households exited to a situation that isn't transitional, but is not expected to last more than 90 days and their housing situation after those 90 days is uncertain?	0
How many households exited to a place not meant for human habitation?	0
How many households were disconnected from care?	0
How many of the HOPWA eligible individuals died?	1

Longevity in Rows 42-46:

Data Check: Sum of 42-46 as shown in Row 41 Must be = to Row 10.

Housing Outcomes in Rows 48-61:

Data Check: Sum of 48-61 as shown in Row 47 Must be = to Row 10.

[illegible]

[illegible]

[illegible]

[illegible]

Complete this section for all Households served with HOPWA Short-Term Rent, Mortgage, and Utilities Assistance (STRMU) by your organization in the reporting year.

Question	This Report
Households Served by this Activity - STRMU Breakdown	
a. How many households were served with STRMU mortgage assistance only ?	3
b. How many households were served with STRMU rental assistance only ?	72
c. How many households were served with STRMU utilities assistance only ?	11
d. How many households received more than one type of STRMU assistance?	20
STRMU Households Total	106
STRMU Expenditures	
What were the HOPWA funds expended for the following budget line items?	
STRMU mortgage assistance	21147.33
STRMU rental assistance	261846.72
STRMU utility assistance	11566.07
Total STRMU Expenditures	294560.12
Income Levels for Households Served by this Activity	106
What is the number of households with income below 30% of Area Median Income?	80
What is the number of households with income between 31% and 50% of Area Median Income?	16
What is the number of households with income between 51% and 80% of Area Median Income?	10
Sources of Income for Households Served by this Activity	
How many households accessed or maintained access to the following sources of income in the past year?	170
Earned Income from Employment	38
Retirement	0
SSI	6
SSDI	15
Other Welfare Assistance (Supplemental Nutrition	64
Private Disability Insurance	1
Veteran's Disability Payment (service or non-service	0
Regular contributions or gifts from organizations or	0
Worker's Compensation	0
General Assistance (GA), or local program	0
Unemployment Insurance	0
Other Sources of Income	0
How many households maintained no sources of income?	46
Medical Insurance/Assistance for Households Served by this Activity	
How many households accessed or maintained access to the following sources of medical insurance in the past year?	
MEDICAID Health Program or local program equivalent	78
MEDICARE Health Insurance or local program equivalent	15
Veterans Affairs Medical Services	0
AIDS Drug Assistance Program	105
State Children's Health Insurance Program (SCHIP) or local program equivalent	0
Ryan White-funded Medical or Dental Assistance	105
Longevity for Households Served by this Activity	99

General Data Check: If you report expenditures you must report corresponding households. If you report households, you must report expenditures.

This total should include overhead (staff costs, fringe, etc.). **NOTE:** The grantee determines how to report/distribute overhead costs (i.e., all overhead included in one row, or divided across categories with expenditures). All sponsors should report in the same manner.

Income Levels in Rows 16-18:
Data Check: Sum of 16-18 as shown in Row 15 must be = to Row 8

Income Sources in Rows 21-33:
Report ALL sources of income for HOPWA-eligible households (including those for other household members).

Data Check: Sum of 21-33 as shown in Row 20 must be = to or > than Row 8

Medical Insurance in Rows 36-41: Report ALL of the specific insurance types listed that were accessed by HOPWA-eligible households (including those for other household members). The sum of this section does NOT have to match your household total.

Data Check: If 36-41 are all "0", provide explanation in TBRA section of Data Quality Notes Tab.

How many households have been served by STRMU for the first time this year?	18
How many households also received STRMU assistance during the previous STRMU eligibility period?	41
How many households received STRMU assistance more than twice during the previous five eligibility periods?	31
How many households received STRMU assistance during the last five consecutive eligibility periods?	9
Housing Outcomes for Households Served by this Activity	106
How many households continued receiving this type of HOPWA assistance into the next year?	22
How many households exited to other HOPWA housing programs?	0
How many households exited to other housing subsidy programs?	1
How many households exited to an emergency shelter?	1
How many households served with STRMU were able to maintain a private housing situation without subsidy?	82
How many households exited to transitional housing (time limited - up to 24 months)?	0
How many households exited to institutional arrangement expected to last less than six months?	0
How many households exited to institutional arrangement expected to last more than six months?	0
How many households exited to a jail/prison term expected to last less than six months?	0
How many households exited to a jail/prison term expected to last more than six months?	0
How many households exited to a situation that isn't transitional, but is not expected to last more than 90 days and their housing situation after those 90 days is uncertain?	0
How many households exited to a place not meant for human habitation?	0
How many households were disconnected from care?	0
How many of the HOPWA eligible individuals died?	0

Longevity in Rows 43-46:

The total of this section does NOT have to match your household total for this activity.

Data Check: Individually, Rows 44-46 cannot be > than Row 8

Housing Outcomes in Rows 48-61:

Data Check: Sum of 48-61 as shown in Row 47 must be = to Row 8

Complete this section for all Households served with HOPWA Permanent Housing Placement (PHP) assistance by your organization in the reporting year.

Question	This Report
Households Served by this Activity	
How many households were served with PHP assistance?	44
PHP Expenditures for Households Served by this Activity	
What were the HOPWA funds expended for PHP?	67787.59
Sources of Income for Households Served by this Activity	
How many households accessed or maintained access to the following sources of income in the past year?	45
Earned income from Employment	26
Retirement	0
SSI	3
SSDI	11
Other Welfare Assistance (Supplemental Nutrition Assistance Program, WIC, TANF, etc.)	0
Private Disability Insurance	0
Veteran's Disability Payment (service or non-service connected payment)	0
Regular contributions or gifts from organizations or persons not residing in the residence	0
Worker's Compensation	0
General Assistance (GA), or local program	0
Unemployment Insurance	0
Other Sources of Income	0
How many households maintained no sources of income?	5
Medical Insurance/Assistance for Households Served by this Activity	
How many households accessed or maintained access to the following sources of medical insurance in the past year?	
MEDICAID Health Program or local program equivalent	20
MEDICARE Health Insurance or local program equivalent	11
Veterans Affairs Medical Services	0
AIDS Drug Assistance Program	44
State Children's Health Insurance Program (SCHIP) or local program equivalent	0
Ryan White-funded Medical or Dental Assistance	44
Housing Outcomes for Households Served by this Activity	44
<i>In the context of PHP, "exited" means the housing situation into which the household was placed using the PHP assistance.</i>	
How many households exited to other HOPWA housing programs?	5
How many households exited to other housing subsidy programs?	10
How many households exited to private housing?	29

General Data Check: If you report expenditures you must report corresponding households. If you report households, you must report expenditures.

The total in Row 6 should include overhead (staff costs, fringe, etc.).

Income Sources in Rows 9-21:
Report ALL sources of income for HOPWA-eligible households (including those for other household members).

Data Check: Sum of 9-21 as shown in Row 8 must be = to or > than Row 4

Medical Insurance in Rows 24-29: Report ALL of the specific insurance types listed that were accessed by HOPWA-eligible households (including those for other household members). The sum of this section does NOT have to match your household total.

Data Check: If 24-29 are all "0", provide explanation in "PHP" section of "Data Quality Notes" Tab.

Housing Outcomes in Rows 32-34:
Data Check: Sum of 32-34 as shown in Row 30 must be = to Row 4; If not, provide explanation in "PHP" section of "Data Quality Notes" Tab.

Complete for all households served with HOPWA-funded <u>Housing Information Services</u> by your organization in the reporting year. See definition of "Housing Information Services" on "Performance Report Cover" tab.	
Question	This Report
Households Served by this Activity	
How many households were served with housing information services?	214
Housing Information Services Expenditures	
What were the HOPWA funds expended for Housing Information Services?	19554.85

General Data Check: If you report expenditures you must report corresponding households. If you report households, you must report expenditures.

NOTE: The total in Row 6 should include overhead (staff costs, fringe, etc.).

Complete for all households served with HOPWA funded Supportive Services by your organization in the reporting year.

Note that this table also collects HOPWA Supportive Service expenditures.

Questions	This Report	
	Number of Households	Expenditures
Households and Expenditures for Supportive Service Types		
What were the expenditures and number of households for each of the following types of supportive services in the program year?		
Adult Day Care and Personal Assistance	0	0
Alcohol/Drug Abuse	0	0
Child Care	0	0
Case Management	214	123330.46
Education	0	0
Employment Assistance and Training	0	0
Health/Medical Services	0	0
Legal Services	0	0
Life Skills Management	0	0
Meals/Nutritional Services	0	0
Mental Health Services	0	0
Outreach	0	0
Transportation	61	4020
Any other type of HOPWA-funded, HUD approved supportive service?	0	0
What were the other type(s) of supportive services provided? (150 characters)		
Deduplication of Supportive Services		
How many households received more than one of any type of Supportive Services?	58	
Deduplicated Supportive Services Household Total (based on amounts reported in Rows 5-21 above):	217	

General Data Check: If you report expenditures you must report corresponding households. If you report households, you must report expenditures.

Column B: enter the unduplicated number of households served by each type of **HOPWA-FUNDED** Supportive Services

Column C: Report all HOPWA expenditures associated with delivering each type of **HOPWA-FUNDED** Supportive Services. This total should include overhead (staff costs, fringe, etc.).

NOTE: The grantee can determine how to report/distribute overhead costs (i.e., all overhead included in one row, or divided across categories with expenditures. All sponsors should report in the same manner.

Data Check: If your unduplicated household total calculated in Cell B23 appears incorrect, adjust Row 21.

Only Competitive Grantees with an "Other Housing Activity" approved in their grant agreement should complete this tab.	
"Other" Housing Activities -- Households and Expenditures Served by this Activity	This Report
How many households were served with "Other Housing Activity" assistance?	0
What were the HOPWA funds expended for "Other Housing Activity" assistance?	0
What is the "Other" HOPWA budget line item approved in the grant agreement? (150 characters)	

General Data Check: If you report expenditures you must report corresponding households. If you report households, you must report expenditures.
Complete this tab ONLY if you have been approved by HUD in your grant agreement to carry out "Other Housing Activities."
The total in Row 4 should include overhead (staff costs, fringe, etc.).

Activity Review	TBNA	P-FBH	ST-TBNA	STBNU	PHF	Housing Info	SUPP SVC	Other Competitive Activity
Total Households Served in ALL Activities from this report for each Activity:	21	0	0	106	44	214	217	0
Housing Subsidy Assistance Household Count Deduplication Data Check: The Highest unduplicated activity total shown in row 2 on this ATC tab must be equal to or less than the HOPWA-Eligible individual total reported in row 27 on the DEM & Prior Living Tab. The HOPWA-Eligible individual total from row 27 is also shown directly to the right for your reference.								
Total Housing Subsidy Assistance (from the TBNA, P-FBH, ST-TBNA, STBNU, PHF, Other Competitive Activity counts above)	179							DEM tab, row 27:
How many households received more than one type of HOPWA Housing Subsidy Assistance for TBNA, P-FBH, ST-TBNA, STBNU, PHF, Other Competitive Activity?	13							217
Total Unduplicated Housing Subsidy Assistance Household Count	160							
Access to Care (ATC) Complete HOPWA Outcomes for Access to Care and Support for all households served with HOPWA housing assistance and "other competitive activities" in the reporting year.								
Questions	This Report							
How many households had contact with a case manager?	300							Rows 10-15: Data Checks: The values entered in each of these rows individually cannot be greater than the value calculated in Row 6. If insurance or income were reported on any of the activity tabs, Rows 12 & 14 should be completed accordingly. If all Rows are "0", provide explanation in Access to Care section of Data Quality Notes Tab. Reminders: 1. Contact with a case manager does not have to be a HOPWA-funded case manager. 2. Access to medical insurance can include those who accessed other types of insurance not included in the activity tabs.
How many households developed a housing plan for maintaining or establishing stable housing?	300							
How many households accessed and maintained medical insurance and/or assistance?	318							
How many households had contact with a primary health care provider?	319							
How many households accessed or maintained qualification for sources of income?	300							
How many households obtained/maintained an income-producing job during the program year (with or without any HOPWA-related assistance)?	64							
Subsidy Assistance with Supportive Service, Funded Case Management:								
Questions	This Report							
How many households received any type of HOPWA Housing Subsidy Assistance and HOPWA Funded Case Management?	300							Data Check: Individually, Rows 18 & 19 cannot be > than the lesser of Cells H2 or B6. In Rows 18 & 19, report on Housing Subsidy Assistance households as calculated in Row 6 ONLY. Case management is a supportive service; therefore, all individuals reported in Row 18 should be included in total reported in Row 19.
How many households received any type of HOPWA Housing Subsidy Assistance and HOPWA Supportive Services?	300							

[illegible]

Complete for all households who requested Violence Against Women Act (VAWA) protections per 24 CFR 5.2005 with your organization in the reporting year.

Question	This Report
How many internal emergency transfers were requested?	0
How many internal emergency transfers were granted?	0
How many external emergency transfers were requested?	0
How many external emergency transfers were granted?	0
How many emergency transfers were denied?	0

If you have this data, please complete Rows 3-7. If you do not have this data, it is currently NOT an error to leave this chart blank.

Housing Opportunities for Persons With AIDS (HOPWA) Program	
Revised: 10/11/2020	
Consolidated AFR/CAPER – HOPWA Grantee	
OMB Approval No. 2506-0117 (Expiration Date: 12/31/2025)	
Burden Statement	
The purpose of this information collection is to meet the Housing Opportunities for Persons With AIDS (HOPWA) annual reporting requirements. Reporting is required for all HOPWA grantees pursuant to 42 U.S.C. § 12911; 24 CFR § 574.520(a) and (b); and 24 CFR § 91.520(f). The information collected on this form is required to obtain a benefit. It will not be confidential. The public reporting burden for this collection of information is estimated to average 40 hours, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the information collection. This includes the time for collecting, reviewing, and reporting the data. HUD may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a currently valid OMB control number. OMB Approval No. 2506-0117 (Expiration Date: 12/31/2025).	
Term	Definition
Viral Suppression	When the load or volume of HIV virus present in a person's blood is measured at less than 200 copies per milliliter of blood.
Adjustment for Duplication	Removal of duplicate entries when a household/unit received more than one type of HOPWA assistance, which enables the calculation of unduplicated output totals. For example, if a household received both HOPWA TBRA and HOPWA PwP from the same project sponsor, adjusting for duplication would ensure that household was only counted once when calculating the HOPWA housing subsidy assistance household total.
Administrative Agent	As entity the grantee has selected to carry out administrative activities on behalf of the grantee. When a grantee utilizes another organization to carry out some or all of the grantee's administrative functions, the administrative activities, costs, and terms of payment should be clearly delineated in a contract or other written agreement between the parties. All costs associated with administering the grant, whether incurred by the grantee or the other organization, are subject to the 3% administrative cost limit. For the purposes of HOPWA annual performance reporting, the administrative agent would not complete a separate "Provider Workbook."
Administrative Costs	Costs for general management, oversight, coordination, evaluation, and reporting (24 CFR § 574.3). By statute, grantee administrative costs are limited to 3% of the total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive (42 U.S.C. § 12911).
Anti-Retroviral Therapy	A use of a combination of medications to treat HIV.
Area Median Income (AMI)	The Department of Housing and Urban Development (HUD) sets income limits that determine eligibility for assisted housing programs including the HOPWA program. HUD develops income limits based on Median Family Income estimates and Fair Market Rent area definitions for each metropolitan area, parts of some metropolitan areas, and each non-metropolitan county. AMI values vary by location and are published at https://www.huduser.gov/portal/datasets/il.html .
Beneficiary(ies)	All members of a household (with or without HIV) who benefited from HOPWA assistance during the operating year, not including the HOPWA-eligible individual (see definition).
Chronically Homeless Person	A person defined as chronically homeless under 24 CFR 578.3. (3) A homeless individual with a disability as defined in section 401(b) of the McKinney-Vento Assistance Act (42 U.S.C. 11360(b)) who: a) lives in a place not meant for human habitation, a safe haven, or in an emergency shelter, and b) has been homeless and living as described for at least 12 months or on at least 4 separate occasions in the last 3 years, as long as the combined occasions equal at least 12 months and each break in homelessness separating the occasions is no longer than 7 consecutive nights of not living as described; (2) An individual who has been residing in an institutional care facility, including jail, substance abuse or mental health treatment facility, hospital, or other similar facility, for fewer than 90 days and met all of the criteria of this definition before entering that facility; or (3) A family with an adult head of household (or, if there is no adult in the family, a minor head of household) who meets all of the criteria of this definition, including a family whose composition has fluctuated while the head of household has been homeless(s).
Contractor	A contractor is an entity that receives a legal instrument (contract) by which a grantee or project sponsor purchases property or services needed to carry out the project or program under a Federal award. The purpose of the contract is to obtain goods and services for the grantee/project sponsor's own use and creates a procurement relationship with the contractor. Characteristics indicative of a procurement relationship between the grantee and a contractor are when the contractor: provides the goods and services within normal business operations; provides similar goods or services to many different purchasers; normally operates in a competitive environment; provides goods or services that are ancillary to the operation of the HOPWA program; and is not subject to compliance requirements of the HOPWA program as a result of the agreement, though similar requirements may apply for other reasons (2 CFR 200.331(b)). For purposes of HOPWA annual performance reporting, contractors do not submit a separate "Provider Workbook."
Facility-Based Housing Assistance	Leasing, operating, and hotel/motel expenditures to support units or facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.
Faith-Based Organization	Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.
Grassroots Organization	An organization that is headquartered in the local community where it provides services, has a social services budget of \$300,000 or less annually, and has six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered "grassroots."
HOPWA-Eligible Individual	The one low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered "Head of Household." A child may also qualify the household for HOPWA assistance. When the annual performance report asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH(s) would be considered a beneficiary(s).
Housing Information Services	Costs to provide counseling, information, or referral services to assist an eligible person to locate, acquire, finance, and maintain housing (24 CFR § 574.300(b)(1)). Some eligible costs under Housing Information Services include staff time to assist eligible clients in searching for or locating appropriate housing whether HOPWA subsidized or not; staff time to provide fair housing guidance for eligible households who may encounter discrimination on the basis of race, color, religion, sex, age, national origin, familial status, or disability; staff time to provide housing counseling to acquire and finance housing; and development and use of Homeless Management Information System (HMIS) elements to coordinate housing assistance for eligible households. HMIS costs billed to housing information services must be pre-negotiated and not include HMIS reporting. Use of HMIS for reporting purposes is considered an administrative activity and must be billed to administrative costs.
HOPWA Housing Subsidy Assistance Total	The unduplicated number of households receiving housing subsidies (TBRA, STRMUL, Permanent Housing Placement services and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the program year for formula grantees and the operating year for competitive grantees.
Household	A single individual or a family, as defined in 24 CFR 574.3. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Use-In Aides (see definition for Live-In Aide) and non-household members (e.g., a shared housing arrangement with a roommate) who resided in the unit are not reported in the annual performance report.
Improved HIV Viral Load	A reduction in the load or volume of HIV present in the HOPWA-eligible individual's blood at the end of the operating year compared to the beginning of the operating year. Most people with HIV/AIDS (PWH) who are engaged in medical care have routine laboratory tests. The HOPWA-eligible individual's two most recent laboratory reports can be used to determine viral load improvement, even if the first laboratory test was performed in a prior operating year.
In-Kind Leveraged Resources	These are additional types of support provided to assist HOPWA-eligible individuals such as volunteer services, materials, use of equipment, and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the criteria described in 2 CFR part 200. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sale, advertised prices, appraisals, or other information for comparable property similarly situated.
Leasing Costs	Costs used to lease all or a portion of a building as needed to provide housing to eligible households. For eligible individuals or families unable to hold leases in their names, funding may be used to "master lease" units, where the eligible households choose the units, the grantee or project sponsor leases the units and pays the full rent to the landlord, and the eligible households pay the grantee or project sponsor the amount required by 24 CFR 574.330(b). This type of master leasing can be administered as "lean-key" housing assistance, to be replaced with Tenant-Based Rental Assistance (TBRA) if the landlord agrees to transfer the lease to the eligible person. Funding may also be used to master lease units to be operated as transitional housing for eligible households. For example, post-incarceration programs often lease a unit to temporarily house an eligible household returning to the community until other more permanent housing arrangements can be made. The lease is always in the organization's name. The furniture, housing equipment, and supplies, eligible under the operating budget line item, belong to the organization and remain in the unit for the next household's use. Eligible leasing costs include the cost to lease a housing facility or scattered-site units, staff time to negotiate lease terms with lessor or landlord, and annual housing inspections to ensure HOPWA habitability standards are met for scattered-site units assisted with Leasing.
Leveraged Funds	The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources. Leveraged funds are used to further support HOPWA clients receiving assistance during the operating year for formula grants, or under the applicable competitive grant.
Live-In Aide	A person who resides with the HOPWA-eligible individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. See 24 CFR 5.403 and the HOPWA Grantee Oversight Resource Guide for additional reference.

Master Leasing	Applies to the leasing of units of housing (scattered sites or entire buildings) from a landlord by a nonprofit or public agency that subleases the units to HOPWA-eligible tenants. By assuming the tenancy burden, the agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.
Minimum Use Periods	Grantees that used HOPWA funding for new construction, acquisition, conversion, lease, or substantial rehabilitation of a building or structure are required to operate the building or structure for HOPWA-eligible individuals for a 30-year period. In the case of non-substantial rehabilitation or repair of a building or structure, the minimum use period is not less than 5 years. If no further HOPWA funds are used to support the facility, in place of completing the "CAP DEY" tab in the Performance Report Worksheet, the grantee must complete an "Annual Report of Continued Project Operation" throughout the required use periods. This report is found on the "STRATEGY" tab of the Grantee Workbook.
Medically Assisted Living Facilities	HOPWA facility-based housing that assists residents with most or all activities of daily living, such as meals, bathing, dressing, and toileting. Regular medical care, supervision, and rehabilitation are also often available.
Operating Costs	Costs of operating a housing facility owned or leased by the grantee or project sponsor, to the extent the costs are necessary to house eligible households. Eligible operating costs include utilities, property insurance, minor repairs, and upkeep of the facility, maintenance both inside and outside the facility, procurement and contracting of services for facility operation or maintenance, furniture and appliances that will remain with the facility, food purchases and kitchen operation for HOPWA household at the facility, and staff time for directing any of the eligible operating costs mentioned above at the facility for eligible households (24 CFR §574.300(b)(8)).
Operating Year – Competitive grantees	HOPWA competitive grants are awarded for a 3-year period of performance with annual performance reports submitted for each of the 3 operating years. The information contained in this performance report should reflect the grantee's operating year with the beginning date determined at the time the grant agreement is signed. Project sponsor accomplishment information must coincide with the operating year this Performance Report covers. Any change to the period of performance requires the approval of HUD by amendment, such as an extension for one additional operating year. A FSI renewal/replacement grant start date would be coordinated with the close out of the existing grant. Grantees with an approved extension period of less than 6 months must submit the Performance Report for the third year of the grant term at the end of the approved extension period and incorporate data from the additional months. Grantees with an approved extension period of 6 months or more must turn in a Performance Report at the end of the operating year and submit a separate extension Performance Report at the end of the extension period.
Operating Year – Formula grantees	HOPWA Formula Grantees follow the Grantee Program Year as established by the Consolidated Planning Processes. All CDF Programs (HOMI/ESG/CDBG/HOPWA) use the same 12-month period as their Operating Year for performance reporting. The information contained in each annual performance report must represent a one-year time period of HOPWA program operation that coincides with the grantee's program year. HOPWA Formula Grantees are annually awarded grants with a 3-year period of performance, as established by the Grantee signature date on the Grant Agreement. Since Grant period of performance varies from Fiscal Year to Fiscal Year, and do not necessarily coincide with a Grantee's Operating Year, funds from more than one HOPWA formula grant awarded to the same grantee may be used during an operating year and the annual performance report must capture all formula grant funding used during the operating year. Project sponsor accomplishment information must also align with the operating year the annual performance report covers.
Outcome	The degree to which the HOPWA assisted household has been enabled to establish or maintain a stable living environment in housing that is safe, decent, and sanitary (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness and improve access to HIV treatment and other health care and support.
Output	The number of units of housing or households that receive HOPWA assistance during the operating year.
Permanent Housing Placement (PHP)	Eligible costs under PHP include security deposits not to exceed two months of rent, rental application fees, credit checks, one-time utility hook-up fees paid directly to the utility company, utility arrears only if the cost is creating a barrier to establishing permanent housing in a new unit, rent arrears only if paid due rent debt at a prior unit is a barrier to accessing a new unit, initial housing inspections, reasonable travel costs to units for initial housing inspections, staff time to review and identify causes for eviction and responsibilities of the tenant within the last, staff time for assisting clients with executing the lease, and staff time for resolving landlord issues directly related to the PHP assistance being provided. PHP can be used in conjunction with TBRA where PHP pays the security deposit and TBRA covers ongoing monthly rent payments starting with the first month. PHP must only be used to assist the client in entering permanent housing. PHP must never be used for monthly rent or ongoing utility costs where a client is already in permanent housing. Although PHP is included as a supportive service under 24 CFR 574.300(b)(7), HUD has established a dedicated BU for PHP to distinguish it from other supportive services.
Program Income	As defined in 2 CFR 200.3, program income is income earned by the grantee or project sponsor that is directly generated by a supported activity or earned as a result of the grant during the period of performance (except as provided by 2 CFR 200.307). See grant administration requirements on program income at 2 CFR 200.307 and the Federal award.
Project-Based Rental Assistance (PBRA)	A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor. Assistance is tied directly to the properties and is not portable or transferable.
Project Sponsor Organizations	Per HOPWA regulations at 24 CFR 574.3, any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended.
Resource Identification	Resource identification funds may be used to establish, coordinate, and develop permanent housing assistance resources for eligible persons (including conducting preliminary research and making expenditures necessary to determine the feasibility of specific housing-related initiatives) (24 CFR § 574.300(b)(2)). Activities can include increasing coordination with local initiatives, systems, or strategies (such as the local Continuum of Care or Ryan White Planning Council) to house HOPWA-eligible individuals, development of new housing resources, and conducting community needs assessments to inform system design.
Rural	For purposes of HOPWA reporting, a rural county is a county in which: (1) Has no part of it within an area designated as a standard metropolitan statistical area by the Office of Management and Budget; or (2) is within an area designated as a metropolitan statistical area or considered as part of a metropolitan statistical area and at least 75% of its population is local on U.S. Census blocks classified as non-urban; or (3) is located in a state that has a population density of less than 30 persons per square mile (as reported in the most recent decennial census), and of which at least 1.25% of the total acreage of such State is under Federal jurisdiction.
Short-Term Rent, Mortgage, and Utility (STRMU) Assistance	Short-term rent, mortgage, and utility payments to prevent the homelessness of the tenant or mortgagee of a dwelling (24 CFR 574.300(b)(4)). Eligible STRMU costs include up to 23 weeks of the HOPWA-eligible individual's rent, mortgage, and/or utility costs, and the costs of staff time to review and determine household's need for STRMU assistance and make the STRMU payments. For the purposes of STRMU assistance, to the extent that taxes, insurance, condominium fees, or other building operation costs are included in the monthly mortgage payment either by federal regulation or the terms of the mortgage, these expenses are eligible to be included in the STRMU mortgage assistance payments. STRMU mortgage assistance for taxes, insurance, or condo fees that are not included on the monthly mortgage statement are not eligible.
Stewardship Units	Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction, and/or rehabilitation that no longer receive operating subsidies from HOPWA. Report information for the units is subject to the 3-year use agreement if rehabilitation is non-substantial and to the 30-year use agreement if rehabilitation is substantial.
Sub-Recipient	For the purposes of the HOPWA program, a sub-recipient is an organization that receives funds under a contract with the project sponsor to carry out eligible HOPWA activities. For the purposes of HOPWA annual performance reporting, a sub-recipient organization does not need to submit a separate "Provider Workbook." The sub-recipient's HOPWA activities should be included in the "Provider Workbook" of the project sponsor with whom they have a contract.
Supportive Services	Costs include providing housing stability services, assistance in gaining access to mainstream resources, public benefits, healthcare and support positive health outcomes. However, health services may only be provided to individuals with acquired immunodeficiency syndrome or related diseases and not to family members of these individuals. Eligible supportive services costs include staff time to develop, update and review individualized housing and service plans for clients; staff time to connect households to appropriate services and treatment in accordance with their housing and service plans, management-level consultation (case staffing); health and mental health assessment services; direct outpatient treatment by licensed professionals of mental health services; substance use disorder services provided by licensed or certified professionals; individual, family, or group therapy to address co-occurring disorders; nutritional services including food banks, nutritional supplements, and counseling by certified nutrition specialists; life skills trainings such as budgeting resources, resolving conflict, using public transportation, unit maintenance, credit counseling; education services including instruction or training in consumer education, health education, substance use prevention, literacy, English as a Second Language, and General Educational Development (GED); job training or job coaching including resume development; client transportation to and from medical care, employment, child care, or other eligible essential services facilities; and HOPWA provider transportation to meet with clients for supportive service needs (24 CFR §574.300(b)(7)).
Tenant-Based Rental Assistance (TBRA)	TBRA is a rental subsidy program that grantees can provide to help low-income households access affordable housing. HOPWA does not place restrictions on the length of time eligible persons may receive TBRA. Grantees should provide the opportunity for eligible households to transition in place to self-sufficiency or another subsidy. Eligible TBRA costs include rental payments, staff time to verify household income for TBRA assistance, calculation of resident rent payment, monthly rental payments, processing a TBRA rental payment on behalf of the HOPWA-eligible individual, annual housing inspections to ensure HOPWA habitability standards are met for units being assisted with TBRA, reasonable travel costs to units for housing inspections, review of a client's selected unit for rent reasonableness and rent standard, annual recertification for households receiving ongoing TBRA, and staff time for resolving landlord issues directly related to providing the TBRA assistance.

VAWA Internal Emergency Transfers	Per 24 CFR 5.2005e, an internal emergency transfer under the Violence Against Women Act (VAWA) protections refers to an emergency relocation of a tenant to another unit where the tenant would not be categorized as a new applicant; that is, the tenant may reside in the new unit without having to undergo an application process.
VAWA External Emergency Transfers	Per 24 CFR 5.2005e, an external emergency transfer under the VAWA protections refers to an emergency relocation of a tenant to another unit where the tenant would be categorized as a new applicant; that is, the tenant must undergo an application process in order to reside in the new unit.
Veteran	A veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.

Instructions for Completing the HOPWA Grantee Performance Report Workbook

What is the HOPWA Grantee Performance Report Workbook?

This workbook provides information at the Grantee Administration level, including grantee contact information, annual performance report narratives, and stewardship cost information. This data will be compiled by the HOPWA Formula or Competitive Grantee, as part of providing annual performance reporting to HUD.

Who completes this form?

This workbook will be completed by the HOPWA Formula or Competitive Grantee ONLY.

Remember:

Any entity that provides DIRECT HOPWA services – including the HOPWA Grantee – must also complete a separate HOPWA Provider Performance Report Workbook.

What tabs should be completed for this report?

EVERY GRANTEE USER should complete these tabs:

- GRANTEE
- CONTACT
- Narrative

STEWARDSHIP: The Stewardship tab should only be completed if the Grantee is reporting on HOPWA Stewardship Costs.

Grantees that used HOPWA funding for new construction, expansion, or substantial rehabilitation of a building or street are required to operate the building or structure for HOPWA's eligible beneficiaries for a ten (10) year period.

If no further HOPWA funds are used to support the facility, in place of completing the "CAP DEV" tab in the Provider Performance Report workbook, the grantee must complete an Annual Report of Continued Project Operation throughout the required use periods based on the "STEWARDSHIP" tab of this workbook. The required use period is three (3) years if the rehabilitation is non-substantial.

Important Information:

To ensure the integrity of this workbook, please do not DELETE or ALTER any rows, columns, tabs, or the NAME of the report.

This workbook requires the entry of data only when applicable, with no other actions required.

- 1 Enter text in empty cells used for questions.

- 2 Enter numbers where the entry reads "0" and the answer is an amount.

The workbook MUST be submitted in this Excel format. The Grantee will be **unable to submit** it to HUD if it has been converted to any other format, such as a Word or PDF file.

HOPWA Grantee Performance Report Submission Instructions:

HOPWA Annual Performance reporting is collected and submitted at both the Grantee and Project Provider levels.

HUD or a HUD contractor will provide HOPWA Grantees annually with an advance set of earned Grantee and Project Sponsor files, based on Project Sponsor activity logged in HUD's EIS system relative to the Grantee's Accomplishment Year on which it will be reporting.

Grantees complete this high-level Grantee workbook covering: Grantee organizational information, Grantee contact information, a narrative of all activities provided by the Grantee and its Project Sponsors, and Stewardship data information, as applicable.

Project Sponsors (and any Grantee that provides direct HOPWA activities) will complete a separate detailed annual report, called the "Provider Performance Report Workbook," with every Project Sponsor completing a workbook and submitting it to the Grantee.

The Grantee will then:

- Review all Provider Performance Report Workbooks for accuracy and will request that the Project Sponsor correct any missing or incorrect information.
- Collect all of the Grantee and Project Sponsor workbooks together.
- Submit the collection of all separate workbook files in a **single transmission** to HOPWAReports@HUD.gov.
- The entire collection of HOPWA workbook files is considered the Grantee's submission of annual performance reporting under its HOPWA grant agreement.
- Grantees shall submit their annual collection of workbooks within 90 days of the completion of their operating (or Accomplishment) year (except competitive Grantees submitting their grant's 3rd year report have 120 days to submit).
- Submission of the collection of separate workbook files satisfies the HOPWA annual performance reporting submission requirements to HUD.

No additional uploads or submission methods (i.e., e-Com Planning Suite, SharePoint, etc.) are required.

Once submitted, the Grantee will receive confirmation regarding the submitted files and may be contacted by HUD or a HUD contractor to confirm or correct reported information, as necessary.

For assistance with this process, please submit a query to HOPWAReports@HUD.gov.

Grantee	Grant ID	Sponsor(s)	File ID
Kentucky	FKY39122	S22176A_AVOL (AIDS Volunteers, Inc.) 2 S221274A_Livwell Community Health Services S22177A_Matthew 25 AIDS Services	26098_2444729

GRANTEE SUMMARY	
Complete the chart below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program.	
Question	Responses
For Competitive Grantees Only	
For Competitive Grantees only, what is the grant number?	
For Competitive Grantees only, which year (1, 2, or 3) of the grant does this report cover?	
Is the Competitive Grantee a nonprofit organization? Yes or No.	
Is the Competitive Grantee a grassroots organization? Yes or No.	
For Competitive Grantees only, how much was expended on an "Other Housing Activity" (as approved in the grant agreement)?	
For All HOPWA Grantees	
What is the name of the Grantee organization?	Kentucky Housing Corporation
What is the Grantee's Unique Entity Identifier (UEI)?	N362TZ7CFXC3
What is the Employer ID Number (EIN) or Tax ID Number (TIN) of the Grantee?	610864674
For formula grantees only, are there any changes to your program year? Yes or No.	No
<i>Note: HUD must be notified of consolidated program year changes at least two months before the date the program year would have ended if it had not been lengthened, or at least two months before the end of a proposed shortened program year.</i>	
If yes above, what is the revised program start date?	
If yes above, what is the revised program end date?	
What is the street address of the Grantee's office?	1231 Louisville Rd
In what city is the Grantee's business address?	Frankfort
In what county is the Grantee's business address?	Franklin
In what state is the Grantee's office located?	Kentucky
What is the zip code for the Grantee's business address?	40601
What is the parent company of the Grantee (if applicable)?	
What department at the Grantee organization administers the grant?	Housing Contract Administration
What is the Grantee organization's website address?	https://www.kyhousing.org/Pages/default.aspx
What is the Facebook name or page of the Grantee?	Kentucky Housing Corporation
What is the Twitter handle of the Grantee?	@KYHousing
What are the cities of the primary service area of the Grantee?	Everything in KY except Louisville or Lexington
What are the counties of the primary service area of the Grantee?	Every county in KY except Jefferson and Fayette
What is the congressional district of the Grantee's business address?	1
What is the congressional district of the Grantee's primary service area?	1, 2, 4, 5, 6
Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service area? Yes or No.	No
Is the Grantee's System for Award Management (SAM) status currently active for this report? Yes or No.	Yes
What is the Grantee's SAM registration number for this report?	OCGX8
Does the Grantee provide HOPWA-funded services directly to clients? Yes or No.	No
Does the Grantee take the allowable 3% Grantee Administration allowance? Yes or No.	Yes
How much was expended on Grantee Administration?	\$43,280.00

Contact Information for your Organization	
Question	Responses
Contact Information for Authorizing Official	
What is the Authorizing Official contact name?	Kenzie Strubank
What is the Authorizing Official contact title?	Assistant Director, Homeless Programs
In what department does the Authorizing Official contact work?	Housing Contract Administration
What is the Authorizing Official contact email?	kstrubank@kyhousing.org
What is the Authorizing Official contact phone number (including extension)?	502-564-7630 ext. 138
What is the Authorizing Official contact fax number?	502-564-5708
Contact Information for Reporting (APR/CAPER) Contact	
What is the Reporting contact name?	Sara Morris
What is the Reporting contact title?	Reporting Specialist
In what department does the Reporting contact work?	Housing Contract Administration
What is the Reporting contact email?	smorris@kyhousing.org
What is the Reporting contact phone number (including extension)?	502-564-7630 ext. 318
What is the Reporting contact fax number?	502-564-5708
Contact Information for HMIS User	
What is the HMIS User contact name?	Margaret Ann Smith
What is the HMIS User contact title?	Data Analysis and Systems Supervisor
In what department does the HMIS User contact work?	Housing Contract Administration
What is the HMIS User contact email?	msmith@kyhousing.org
What is the HMIS User contact phone number (including extension)?	502-564-7630 ext. 274
What is the HMIS User contact fax number?	502-564-5708
Contact Information for IDIS User	
What is the IDIS User contact name?	Ben Livingston
What is the IDIS User contact title?	Data and Reporting Analyst
In what department does the IDIS User contact work?	Corporate Planning and Accountability
What is the IDIS User contact email?	blivingston@kyhousing.org
What is the IDIS User contact phone number (including extension)?	502-237-6893 ext. 158
What is the IDIS User contact fax number?	502-564-5708
Contact Information for Primary Program Contact	
What is the Primary Program contact name?	Michelle Singer
What is the Primary Program contact title?	Grants Management Supervisor
In what department does the Primary Program contact work?	Housing Contract Administration
What is the Primary Program contact email?	msinger@kyhousing.org
What is the Primary Program contact phone number (including extension)?	502-564-7630 ext. 264
What is the Primary Program contact fax number?	502-564-5708
Contact Information for Secondary Program Contact	
What is the Secondary Program contact name?	Tanesha Johnson
What is the Secondary Program contact title?	Program Planning - Project Specialist I
In what department does the Secondary Program contact work?	Housing Contract Administration
What is the Secondary Program contact email?	tjohnson@kyhousing.org
What is the contact Secondary Program phone number (including extension)?	502-564-7630 ext. 474
What is the Secondary Program contact fax number?	502-564-5708
Contact Information for Individuals Seeking Services	
What is the Services contact name?	Michelle Singer
What is the Services contact title?	Grants Management Supervisor
In what department does the Services contact work?	Housing Contract Administration
What is the Services contact email?	msinger@kyhousing.org
What is the Services contact phone number (including extension)?	502-564-7630 ext. 264
What is the Services contact fax number?	502-564-5708

This information may be published on HUD websites as a resource for clients seeking services.

<https://doi.org/10.1002/eqm2.1395>
<https://onlinelibrary.wiley.com/doi/10.1002/eqm2.1395>

Citizen Participation

McClatchy

The Beaufort Gazette
The Belleville News-Democrat
Bellingham Herald
Centre Daily Times
Sun Herald
Idaho Statesman
Bradenton Herald
The Charlotte Observer
The State
Ledger-Enquirer

Durham | The Herald-Sun
Fort Worth Star-Telegram
The Fresno Bee
The Island Packet
The Kansas City Star
Lexington Herald-Leader
The Telegraph - Macon
Merced Sun-Star
Miami Herald
El Nuevo Herald

The Modesto Bee
The Sun News - Myrtle Beach
Raleigh News & Observer
Rock Hill | The Herald
The Sacramento Bee
San Luis Obispo Tribune
Tacoma | The News Tribune
Tri-City Herald
The Wichita Eagle
The Olympian

AFFIDAVIT OF PUBLICATION

Account #	Order Number	Identification	Order PO	Cols	Depth
51946	IPL0368684	Legal Ad - IPL0368684	2025 CAPER Public Notice	1.0	48.0L

ATTENTION: KENTUCKY HOUSING CORP LEGALS IP
1231 LOUISVILLE ROAD
FRANKFORT, KY 40601
khale@kyhousing.org;bfoster@kyhousing.org

NOTICE OF PUBLIC COMMENT PERIOD

Kentucky Housing Corporation will accept public comments on the Consolidated Annual Performance and Evaluation Report August 31, 2026 – September 18, 2026

The draft Kentucky Consolidated Annual Performance and Evaluation Report (CAPER) will be available for public review and comment beginning August 31, 2026. The CAPER is prepared by Kentucky Housing Corporation (KHC) and the Department for Local Government (DLG) and is submitted to the U.S. Department of Housing and Urban Development (HUD) Office of Community Planning and Development. The CAPER details how the state utilized block grant funds allocated for Federal Fiscal Year 2025 (July 1, 2025 – June 30, 2026).

Written comments received by September 18, 2026, will be accepted, addressed, and where applicable, incorporated into the document. A summary of comments will be submitted to HUD along with the CAPER on or before September 28, 2026.

The proposed CAPER and related reports will be available for review online at the KHC website at <https://www.kyhousing.org/page/consolidated-and-action-plans-caper>. Please send comments by email to corplan-report@kyhousing.org.

Equal Housing and
Equal Employment Opportunity
IPL0368684
Aug 30 2026

STATE OF)

KENTUCKY) AFFIDAVIT

COUNTY OF FAYETTE)

I, the undersigned, makes oath that the advertisement, was published in the Lexington Herald-Leader, a newspaper published in Fayette County, State and County aforesaid, in the issue(s) of

1.0 insertion(s) published on:
08/30/26 Print

[Print Tearsheet Link](#)

[Marketplace Link](#)

Mary Castro

Sworn to and subscribed before me on

Aug 31, 2026, 9:49 AM EDT



Online Notary Public. This notarial act involved the use of online audio/video communication technology. Notarization facilitated by SIGNOR.

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IRU0968684
Aug 30 2026

NOTIFICACIÓN DE PERÍODO DE COMENTARIOS DEL PÚBLICO

**La Corporación de Vivienda de Kentucky (*Kentucky Housing Corporation*) aceptará
comentarios del público acerca del
Reporte Anual Consolidado de Desempeño y Evaluación
del 31 de agosto de 2026 al 18 de septiembre de 2026**

El borrador del Reporte Anual Consolidado de Desempeño y Evaluación de Kentucky (*Kentucky Consolidated Annual Performance and Evaluation Report*, CAPER, por sus siglas en inglés) estará disponible para revisión pública y comentarios a partir del 31 de agosto del 2026. El reporte CAPER es preparado por la Corporación de Vivienda de Kentucky (*Kentucky Housing Corporation*, KHC, por sus siglas en inglés) y el Departamento de Gobierno Local (*Department for Local Government*, DLG, por sus siglas en inglés) y se presenta a la Oficina de Planificación y Desarrollo Comunitario del Departamento de Vivienda y Desarrollo Urbano de Estados Unidos (*Department of Housing and Urban Development*, HUD, por sus siglas en inglés). El reporte CAPER detalla cómo el estado utilizó los fondos de subvención global asignados para el Año Fiscal Federal 2025 (1^{ro} de julio del 2025 al 30 de junio del 2026).

Los comentarios escritos que se reciban a más tardar el 18 de septiembre del 2026 serán aceptados, abordados y, según corresponda, incorporados en el documento. Se enviará un resumen de comentarios al HUD junto con el reporte CAPER a más tardar el 28 de septiembre del 2026.

El reporte CAPER propuesto y los reportes relacionados estarán disponibles para su revisión en línea en la página de Internet de KHC en <https://www.kyhousing.org/page/consolidated-and-action-plans-caper>. Favor de enviar sus comentarios por correo electrónico a corpplanreport@kyhousing.org.

Igualdad de Oportunidades en la Vivienda e
Igualdad de Oportunidades en el Empleo

HOME > LEGALS > LEGALS & PUBLIC NOTICES

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Aug 30 2026

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08/05/2026

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Equal Housing and
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For Immediate Release
August 25, 2026

Media Contact: Jon Davidson
jidavidson@kyhousing.org

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www.kyhousing.org

Kentucky Housing Corporation, the state housing finance agency, was created by the 1972 General Assembly to provide affordable housing opportunities. As a self-supporting, public corporation, Kentucky Housing offers down payment assistance loans to assist first-time homebuyers obtain home mortgages, housing production financing, homeownership education/counseling, rental assistance, housing rehabilitation, and supportive housing programs for special needs populations.

Kentucky Housing Corporation (KHC) is an Equal Housing Opportunity and Equal Employment Opportunity organization.

