

The Process

PR-05 Lead & Responsible Agencies 24 CFR 91.300(b)

1. Describe agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source

The following are the agencies/entities responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
CDBG Administrator	KENTUCKY	Dept for Local Government/Office of Federal Grants
HOPWA Administrator	KENTUCKY	KHC Housing Contract Administration
HOME Administrator	KENTUCKY	KHC Housing Contract Administration/Multifamily
ESG Administrator	KENTUCKY	KHC Housing Contract Administration
HOPWA-C Administrator	KENTUCKY	Specialized Housing Resources
	KENTUCKY	KHC Multifamily Programs

Table 1– Responsible Agencies

Narrative

KHC is the lead agency for preparation of the Consolidated Plan, Annual Action Plans, and Consolidated Annual Performance and Evaluation Report (CAPER) in partnership with the Kentucky Department for Local Government.

Consolidated Plan Public Contact Information

For questions regarding the Consolidated Plan, contact:

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To request a Certificate of Consistency with the Consolidated Plan, visit KHC's Web site at <http://www.kyhousing.org/page.aspx?id=330>

PR-10 Consultation – 91.100, 91.110, 91.200(b), 91.300(b); 91.215(I) and 91.315(I)

1. Introduction

KHC and DLG endeavored to consult with partners, state and federal agencies, local governments, non-profit and for-profit housing developers, and many other parties interested in providing input prior to and during the drafting of this Consolidated Plan. Both DLG and KHC undertook online surveys, receiving hundreds of responses. KHC hosted a summit on housing preservation, which was well-attended. Additionally, information was presented to the statewide Housing Policy Advisory Committee about the plan, requesting input on needs. Consultation with all entities is ongoing throughout the year. In addition to a formal public hearing prior to drafting the Consolidated Plan and Annual Action Plan, KHC and DLG engaged partners and other interested parties at meetings of the Continuum of Care, Housing Policy Advisory Committee, Kentucky Interagency Council on Homelessness, and others. These forums offer the opportunity for constant communication and feedback on needs and priorities.

With federal funding for the four block grant programs continuing to decrease, and great need continuing, many discussions were held with partners, including the possibility that some activities may not be funded. KHC and DLG received a high level of response to discussions held with smaller groups, with the statewide Housing Policy Advisory Committee and other committees, and through online surveys. The activities included in this plan reflect the balance between needs and available resources as DLG and KHC have best been able to balance them.

Provide a concise summary of the state’s activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I))

The state's Housing Policy Advisory Committee plays an active role in advising KHC regarding affordable housing issues. The advisory committee consists of ten ex-officio state government members, seventeen at-large members appointed by KHC's Board, one member of the Senate, and one member of the House of Representatives.

KHC and the state Cabinet for Health and Family Services (CHFS) work very closely together on numerous initiatives, including Chaffee Room and Board, Olmstead Housing, Weatherization, and LIHEAP. KHC has full-time dedicated staff tasked to coordinate housing and services initiatives funded by KHC and the Cabinet.

KHC partners with the state Division of Behavioral Health to host SOAR (SSI/SSDI, Outreach, Access, and Recovery) trainings throughout the state. SOAR teaches case managers how to complete disability applications more thoroughly. With techniques from these trainings, more disability applications will be approved upon the first submission. Although SSI/SSDI will not lift households above the poverty line, it

certainly can help households sustain an income and medical insurance, and with housing assistance, can be as sufficient as the individual has capacity to be.

Samaritan Projects and other permanent supportive housing projects funded through the Continuum of Care (CoC), serve homeless individuals and families with documented disabilities. These projects are designed as part of the CoC strategy to promote the development of housing and supportive services to assist homeless persons in the transition from streets and shelters to permanent housing and maximum self-sufficiency. It is renewable annually through the CoC application.

As KHC develops self-sufficiency further, local partnerships must be established to assist participants. The CHFS open child protection cases with participants from time-to-time. In these cases, KHC must develop relationships with local office to provide support to families as well as to CHFS. With mental illness, local partnerships with the community mental health agencies have been established so referrals are easily made.

In future years, KHC will continue to enhance these partnerships and develop new partnerships as needs arise with participants.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness

KHC is the lead agency, coordinating the Balance of State Continuum of Care (118 of Kentucky's 120 counties). In addition to coordinating the Balance of State CoC, KHC works with the state Cabinet for Health and Family Services to manage programs that assist homeless veterans, persons with persistent mental illness, and youth aging out of foster care, three populations that are at high risk of becoming homeless.

KHC and the Housing and Homeless Coalition of Kentucky are the lead agencies for the Kentucky Interagency Council on Homelessness (KICH). KICH is composed of representation from state government, nonprofit agencies, and advocacy agencies who collaborate and perform duties related to serving special needs populations, including the homeless. Representatives of state cabinets and nonprofit organizations (including, but limited to, those who serve veterans, homeless, families with children and youth aging out of foster care) are active participants on the executive committee and subcommittees.

KHC offers to other agencies that serve the homeless across the state the opportunity to participate in the U.S. Department of Housing and Urban Development's Continuum of Care (CoC) Program. The CoC is a local or regional system for helping people who are homeless, or at risk of homelessness, by providing housing and services appropriate to the whole range of homeless needs in the community. Through the CoC Program, agencies can serve clients with supportive services, transitional housing, permanent supportive housing, or rapid re-housing.

Describe consultation with the Continuum(s) of Care that serves the state in determining how to allocate ESG funds, develop performance standards and evaluate outcomes, and develop funding, policies and procedures for the administration of HMIS

The Kentucky Balance of State CoC process begins at the local level with six regional planning entities for 118 counties across the state. The City of Lexington as well as the City of Louisville have their own CoC application process and are funded separately from the Balance of State CoC.

In the Kentucky Balance of State CoC, service providers, developers, community leaders, advocates, financial institutions, and homeless service clients meet as local CoC Planning Boards in each of the six regions. At numerous local planning meetings held throughout the year, participants evaluate their service delivery system, coordinate plans in order to avoid duplication, share resources, and establish goals for eliminating gaps in the local delivery system. This community-based process assesses services from prevention to self-sufficiency in permanent housing.

KHC, as the Collaborative Applicant for the Balance of State CoC, has the unique opportunity and ability to reach out to members of the CoC in order to obtain feedback and guidance. Through regional CoC meetings, information is routinely shared on the anticipated timeline for the allocation of the Emergency Solutions Grant funds. CoC Webinars give KHC ESG staff an opportunity to present information about the annual plan and to field questions from CoC partner agencies on the potential roll-out of the funding.

Additionally, KHC holds regional CoC meetings where ESG staff present updates to program guidelines and request feedback on potential changes to the allocation process in future funding rounds. KHC ESG program staff also participate in the CoC process for the Louisville and Lexington area, attending their regular monthly meetings. This allows them to provide regular information about and receive feedback on the Kentucky ESG process. In addition to the webinars and regional CoC meetings, KHC, as Collaborative Applicant coordinates the Balance of State CoC Board which guides the decisions affecting the CoC. The Balance of State CoC Board, made up of 12 agency representatives from across the state and of a cross-section of homeless and special needs service providers, will continue to be a pivotal link to the CoC and the larger services community. The Board will be working with ESG staff on implementation of the HEARTH Act rules, analyzing system performance and recommending funding mechanisms that allow for the best service delivery across the state and across populations. In addition, as the roll-out of the Coordinated Assessment system continues, the CoC Board and KHC will be working to smooth out the bumps along the way.

KHC also communicates very often with partners via eGram service. Thousands of people subscribe to this service for periodic messages on ESG and other programs. KHC often uses this tool to invite comments on various programs and policies.

2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdictions consultations with housing, social service agencies and other entities

Table 2– Agencies, groups, organizations who participated

1	Agency/Group/Organization	Kentucky Statewide Housing Policy Advisory Committee
	Agency/Group/Organization Type	Housing PHA Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-homeless Services-Health Services-Education Services-Employment Service-Fair Housing Services - Victims Health Agency Child Welfare Agency Other government - Federal Other government - State Other government - County Other government - Local Planning organization Business Leaders Civic Leaders Business and Civic Leaders Statutory Advisory Committee
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Market Analysis

	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	KHC presented the Needs Assessment to the Housing Policy Advisory Committee in advance of its public hearing for the Consolidated Plan. KHC emphasized the importance of participation by citizens and agencies in the process, and asked agencies to provide needs information as well as the current state of the affordable housing market in their service areas. Many members of the committee were engaged in the discussion as well as assisting in soliciting public comment to benefit the consolidated plan process.
2	Agency/Group/Organization	Next Step
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Market Analysis
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Public hearing participation and comments. This organization focuses on manufactured housing.
3	Agency/Group/Organization	Kentucky Habitat for Humanity
	Agency/Group/Organization Type	Housing Regional organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Market Analysis
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Public hearing attendee.
4	Agency/Group/Organization	FEDERATION OF APPALACHIAN HOUSING ENTERPRISES (KENTUCKY)
	Agency/Group/Organization Type	Housing Regional organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Market Analysis

	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Public Hearing attendee; provided comments regarding TBRA. This organization is consulted year-round.
5	Agency/Group/Organization	KENTUCKY COALITION AGAINST DOMESTIC VIOLENCE
	Agency/Group/Organization Type	Housing Services - Housing Services-Children Services-Victims of Domestic Violence Services-homeless Services-Health Services-Education Services-Employment Services - Victims Regional organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Market Analysis
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Public Hearing Attendee; also consulted regarding emergency shelter and other housing available for survivors of domestic violence. This organization is consulted year-round.
6	Agency/Group/Organization	Homeless and Housing Coalition of Kentucky
	Agency/Group/Organization Type	Housing Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-homeless Regional organization Planning organization

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Market Analysis
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Public Hearing attendee; also a partner in the Kentucky Interagency Council on Homelessness; this organization is consulted year-round.
7	Agency/Group/Organization	COAP, INC
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Market Analysis
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Public hearing attendee
8	Agency/Group/Organization	KENTUCKY HIGHLANDS INVESTMENT CORP
	Agency/Group/Organization Type	Housing Regional organization Planning organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Economic Development Market Analysis
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Public hearing attendee; also partner in the Supporting our Appalachian Region initiative.
9	Agency/Group/Organization	COMMUNITY VENTURES CORPORATION
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Market Analysis

	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Public hearing attendee
10	Agency/Group/Organization	HOUSING DEVELOPMENT ALLIANCE, INC.
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Market Analysis
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Public hearing attendee; also Housing Policy Advisory Committee attendee

Identify any Agency Types not consulted and provide rationale for not consulting

Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	Kentucky Housing Corporation	Both plans focus on the priority populations and activities including Opening Doors, the Federal Strategic Plan to end Homelessness (Chronically Homeless, Veterans, Families with Children). ESG funds and COC funds both give local scoring preference to agencies that target funds to be used for those populations. Additionally, Rapid ReHousing continues to be encouraged as a successful housing delivery method under both federal funding sources. ESG Prevention funding will be a key resource in responding to COVID-19.
Comprehensive Economic Development Strategy	Area Development Districts	This plan is updated annually and coordinates with other economic development strategies undertaken by the Cabinet for Economic Development and the Department for Local Government's CDBG planning.

Table 3 - Other local / regional / federal planning efforts

Describe cooperation and coordination among the State and any units of general local government, in the implementation of the Consolidated Plan (91.315(I))

Kentucky cooperates and coordinates with units of general local government extensively with regard to the Community Development Block Grant (CDBG) program. These contacts and relationships include, but are not limited to:

- Conference round tables and the Kentucky Local Issues Conference, Kentucky Association of Counties, and Kentucky League of Cities meetings
- Meetings with Area Development District Directors, including CDBG guidelines training
- Information on the Department for Local Government web site contains information related to CDBG, and is accessible to local governments to aid in project planning and application submission
- The annual Public Hearing held by KHC for the Consolidated Plan and Action Plan, as well as the CDBG annual hearing held with the Legislative Research Commission also acts to coordinate implementation of the program.

Narrative (optional):

KHC's legislation includes provisions for statutory advisory committees, including the statewide Housing Policy Advisory Committee (HPAC) and Affordable Housing Trust Fund (AHTF) Advisory Committee. These committees include representatives from state government, the federal government, nonprofit and for-profit agencies, service agencies, homeless agencies, community housing development organizations (CHDOs), agencies who serve the homeless, and housing industry professionals. KHC consulted with the HPAC and AHTF to obtain needs and market information, as well as discussing the Consolidated Plan process. KHC encouraged all members of these advisory bodies to participate in the process, provide needs and market data, and to assist KHC in soliciting feedback from other agencies and the general public. KHC and DLG both conducted surveys during the planning and drafting phases of the five-year plan. Input from agencies before, during and after the public hearing is attached to this Consolidated Plan as well as the information gleaned from both KHC's and DLG's online surveys.

KHC hosted a housing preservation focus group and summit in September and October of 2014. The summit was attended by 25 persons representing affordable housing developers, HUD, nonprofit organizations, consultants, legal counsel, bond entities, and others. This group discussed the housing preservation crisis in Kentucky, and planned for additional consultation with a larger group at the Preservation Summit in October. The October summit was attended by more than 150 persons. As a result of the discussions held and information presented at the summit, additional work groups were formed, and an overall strategy for housing preservation was outlined.

PR-15 Citizen Participation – 91.105, 91.115, 91.200(c) and 91.300(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting

Prior to final development of the Consolidated Plan, KHC and DLG held a public hearing to obtain views of citizens, public agencies, and other interested parties on community and housing needs. The hearing was advertised at least fourteen (14) days in advance of the date of the hearing and was widely publicized through the use of direct electronic mail notification, Web announcements, social media announcements, and newspaper advertisements. At this hearing KHC and DLG presented information regarding the amount of assistance the State expects to receive and the range of activities that may be undertaken. In addition, KHC's partners actively promoted participation in KHC's online survey as well as submission of written comments to KHC.

DLG and KHC also both encouraged citizen participation in the process via online surveys that were advertised in direct mail, eGrams, through partner agencies, through the network of public libraries across the state, and social media.

This Consolidated Plan has been amended three times - a minor amendment to add HOPWA projects to the 2015 Action Plan, and two substantial amendments to include provisions of and allocation of resources under the new National Housing Trust Fund (HTF) program and the 2020 CARES Act funding. In preparation for the HTF amendment, KHC held a series of developer forums including discussion of the HTF in conjunction with discussions about the low-income housing tax credit 2017-2018 Qualified Allocation Plan (QAP). This process was undertaken simultaneously as the HTF funds will be awarded in conjunction with housing tax credits to eligible projects.

A public hearing was held on June 30, 2016 to obtain additional needs information and to allow citizens and the general public to participate in the process. The hearing was advertised in the same manner as for the original Consolidated Plan, through legal advertising and eGram service. A specific personal email invitation was sent to a party interested in providing input for special needs housing.

In addition, the substantial amendment was advertised for a 30-day written public comment period from July 9 to August 8, 2016 via the same method as the public hearing.

KHC issued a public notice of a public comment period for newest substantial amendment for the 2020 CARES Act funding for CDBG, ESG, and HOPWA on May 11, 2020. The public comment period was held May 11 through May 19, 2020. Per HUD waivers issued March 31, 2020, Items 8

and 9 of the Memorandum waive the normal 30-day public comment period. The waiver allows for a five-day abbreviated public comment period. KHC and DLG plan to observe this guidance. KHC and DLG made the proposed amended 2019 Action Plan available to community partners during a public comment period. Partners and other organizations submitted several written comments. The public notice and full comments are attached to this plan. There were no comments or views not accepted.

Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (if applicable)
1	Public Hearing	Non-targeted/broad community Residents of Public and Assisted Housing	A public hearing was held at KHC's offices on February 10, 2015 which was attended by 26 persons. No members of the general public attended.	See attachment to the Consolidated Plan for comments received as a result of the public hearing.	There were no comments not received.	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
2	Newspaper Article	Non-targeted/broad community	Representatives of the Cumberland Valley Area Development District were interviewed for a newspaper article in the Middlesboro Daily News that promoted participation by local governments and individuals in the CDBG survey for the Consolidated Plan.	See survey results	None.	http://www.middlesborodailynews.com/news/news/150481172/CVADD:-Feds-are-listening
3	Internet Outreach	Non-targeted/broad community	Online surveys were made available to members of the general public. These were advertised via social media and were made available to agencies who assist the general public. They were also made available through all public libraries throughout the state.	See the attachment to the Consolidated Plan for survey results received from the general public.		

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
4	Newspaper Article	Non-targeted/broad community	Letters and surveys solicited by partner agencies of families that they assist.	Several letters and surveys from families supporting the use of TBRA funding and describing how it has helped them.	No comments were not accepted	
5	Newspaper Ad	Non-targeted/broad community	Advertisement for HTF substantial amendment hearing - attended by non-profits and multifamily developers.	Two nonprofit entities spoke in support of offering operating subsidy and support for special needs projects.	There were no comments not accepted.	
6	Public Meeting	Non-targeted/broad community	See number 5 above.	See number 5 above.	There were no comments not accepted.	
7	Internet Outreach	Non-targeted/broad community	See number 5 above - public hearing and subsequent public comment period were advertised via eGram to a subscriber list of over 14,000 persons.	See number 5 above.	There were no comments not accepted.	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
8	Newspaper Article	Persons with disabilities Non-targeted/broad community	Public comments are attached to the amended Action Plan.	Attached to the amended Action Plan.	There were no comments not accepted.	

Table 4– Citizen Participation Outreach