



2022 Emergency Solutions Grant (ESG) Certifications and Assurances

I, _____ (enter name), authorized representative of
_____ (enter name of organization),

on behalf of the organization do hereby certify that, if an award is received, our organization will conform to all programmatic regulations, guidelines and requirements set forth in the ESG Interim Rule (24 CFR Part 576); Kentucky Housing Corporation (KHC) ESG Policy Manual; 2022 KHC ESG Notice of Funding Availability (NOFA); ESG Grant Agreement between our organization and KHC; policies, procedures, and written standards enacted by the Kentucky Balance of State Continuum of Care (KY BoS CoC) and KHC; and any additional requirements set forth by KHC or the U.S. Department of Housing and Urban Development (HUD) while conducting grant activities for the programs funded.

To this end, I certify/assure by initialing each and signing the following:

- _____ All applicable staff members from our organization involved in the administration of the ESG award have thoroughly read and understand the ESG Interim Rule (24 CFR Part 576) and its requirements related to the delivery of ESG assistance and grant administration responsibilities.
- _____ All applicable staff members from our organization involved in the administration of the ESG award have thoroughly read and understand the KHC ESG Policy Manual.
- _____ Prior to completing the 2022 ESG application, our organization thoroughly reviewed the 2022 KHC ESG Notice of Funding Availability (NOFA) document and the application submitted by our organization is consistent with requirements relating to the program components proposed.
- _____ Our organization is committed to the goal of ending homeless and the vision that our community must have a systemic response in place that ensures homelessness is prevented whenever possible, or if it cannot be prevented, it is rare, brief, and non-recurring.
- _____ All policies and procedures relating to our ESG program will promote dignity, respect and equity for every person seeking assistance.
- _____ All assistance/programs supported by grant funds will be delivered on a non-discriminatory basis consistent with the Fair Housing Act of 1988.
- _____ All assistance/programs supported by grant funds will be delivered in accordance with the 2012 Equal Access to Housing Final Rule, which prohibits discrimination based on sexual orientation, gender identity, or marital status; and the 2016 Equal Access in Accordance with Gender Identity Final Rule, which ensures equal access to individuals in accordance with their gender identity in programs and shelter funded through HUD.

- _____ Our organization will provide all activities under the program(s) in a manner that is free from religious influence as required in 24 CFR 576.406.
- _____ Our organization agrees to comply with HUD's Final Rule of Implementing the Violence Against Women Reauthorization Act (VAWA) of 2013, which, among other requirements, ensures that survivors cannot be denied assistance, evicted, or terminated because they are or have been a victim of domestic violence, dating violence, sexual assault, and stalking; and requires ESG-funded projects to have emergency transfer plans to allow survivors to move to another safe and available unit if they fear for their life and safety.
- _____ As applicable to our proposed ESG component type(s), our organization provides shelter in a space that meets HUD's minimum standards for emergency shelter and/or our organization provides housing assistance that is compliant with applicable State and local health, building, and fire safety codes, meeting the HUD Habitability Standards as a minimum. *(Note: Organizations proposing to only provide Street Outreach should write "N/A" in the space reserved for initials).*
- _____ Our organization shall maintain and operate under a standardized set of procurement procedures designed to assure efficient and proper expenditure of grant funds.
- _____ Our organization commits to expend grant funds in a timely manner within the grant term to allow program participants to receive assistance as quickly as possible while also ensuring responsible budget management.
- _____ Our organization will administer a policy to ensure a workplace free from the illegal use, possession or distribution of drugs or alcohol by its employees and/or beneficiaries.
- _____ Our organization will maintain and operate under a standardized conflict of interest procedure for employees and members of the board.
- _____ Our organization will ensure the confidentiality of its program participants and program participant records.
- _____ Our organization assures it will not provide ESG assistance to any program participant already receiving the same service from another program.
- _____ Our organization assures it will not provide ESG assistance to ineligible individuals.
- _____ Our organization agrees to participate in the Homelessness Management Information System (HMIS) either through the Kentucky Homelessness Management Information System (KYHMIS) or, if a Victim-Service Provider (VSP), through an HMIS-comparable database and adhere to all requirements relating to participation, including, but not limited to data completeness, timeliness, and quality.
- _____ Our organization agrees to remain current with all required fees and executed documents associated with the HMIS system.
- _____ Our organization agrees to meet all reporting deadlines, including those related to HMIS data quality, the Consolidated Annual Performance and Evaluation Report (CAPER), the annual Point-in-Time Count (K-Count), the Housing Inventory Count (HIC), and any additional deadlines set by KHC or HUD; understanding that if not met it will negatively impact future application awards; and may also impact the ability to receive reimbursement.

- _____ Our organization agrees to comply with the Required Standard Forms as provided in the KHC ESG Toolkit.

- _____ Our organization agrees to comply with all requirements to inform participants in writing of the Termination Policy implemented with the ESG program.

- _____ Our organization agrees to comply with all requirements to inform participants in writing of the Grievance Policy implemented with the ESG program.

- _____ Our organization agrees to take reasonable steps to ensure meaningful access to our ESG program(s) by limited English proficiency individuals in accordance with Title VI of the Civil Rights Act of 1964.

- _____ Our organization understands if we serve families with children we must designate a staff person to ensure that children are enrolled in school and connected to appropriate services within the community such as McKinney-Vento education services provided by local school districts.

- _____ Our organization agrees to participate in the KY BoS CoC Coordinated Entry System as required for our proposed component type(s) and appropriate staff have read the KY BoS CoC Coordinated Entry Policies and Procedures.

- _____ Our organization agrees to comply with 2 CFR as it pertains to nonprofits and units of local government federal funds financial systems requirements.

- _____ Our organization agrees to comply with the Single Audit Act when applicable. ESG CFDA #14.231

- _____ Our organization will ensure that all staff members involved in our ESG program are aware of these certifications and assurance and any additional requirements within the Grant Agreement between our organization and KHC.

Signature of Authorized Agency Representative and Title Date

Signature of Board Chairperson Date