

**OWNER'S ANNUAL CERTIFICATION OF COMPLIANCE  
In Connection with the Development and Operation of a Section 542C  
Risk-Sharing Housing Project**

To decrease costs for partners and KHC, the Owner's Annual Certification of Compliance is now required to be submitted through Tenant Data Collection System (TDCS). The electronic submission affects all affordable rental housing programs that are required to submit annual performance reports to Kentucky Housing's Compliance Department. This includes projects allocated Housing Credits and funding from the Section 1602, TCAP, Risk-Sharing, HOME Investment Partnerships, Small Multifamily Affordable Loan programs and Affordable Housing Trust Fund. Tenant data and the Annual Operating Expense Information are required to be submitted through Kentucky Housing Corporation's Tenant Data Collection System (TDCS).

Certification Dates:	From:	To:
Project Name:		

**CERTIFICATION**

The undersigned hereby certifies that the information presented herein is true and correct to the best of his/her knowledge and that he/she will, if requested, submit documentation in support of such statement. He/she further certifies UNDER PENALTY OF PERJURY that the project meets the requirement of Housing and Urban Development Handbook 4571.2, including Section 542c regulations pursuant thereto, applicable laws, rules, regulations and ordinances.

<b>Owner Information</b>			
Name of Owner:		Taxpayer ID No.:	
General Partner (if different from owner):		Taxpayer ID No.:	
Owner's Address:		Owner Daytime Telephone No.:	
City: Code	State:	Zip	Fax Number:
<b>See Electronic Signature Authorization Form</b>		E-mail Address:	
Date Form Completed:		<input type="checkbox"/> Check if new address or phone	

<b>CURRENT - Management Company Information</b>			
Name of Current Management Company:		Taxpayer ID No. of Agent:	
Name of Current Management Contact Person:		Daytime Telephone No.:	
Current Management Company's Address:		Fax Number:	
City:	State:	Zip Code	E-mail Address:

A copy of the Management Agreement **MUST** be submitted if there has been a **CHANGE** since the previous Annual Performance Report. Failure to submit a copy of the Management Agreement may preclude KHC from releasing project information to the managing company.

- Check if Management Company change has occurred since the previous Annual
- Performance Report  
Check if new address or phone

Read the following statements. If the property owner is in compliance with the requirement, check YES. If it is NOT in compliance, check NO. Each question **MUST** be answered, and an explanation provided for each NO answer. Do NOT answer Not Applicable for ANY questions except question 12 (if applicable). **To mark a response box, double click on box and select "Default Value as Checked"**.

1. The owner has complied with Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and regulations pursuant thereto (24 CFR Part I) which state that no person in the United States shall, on the ground of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the applicant receives Federal financial assistance; and will immediately take any measures necessary to effectuate this agreement. With reference to the real property and structure(s) thereon which are provided or improved with the aid of Federal financial assistance extended to the applicant, this assurance shall obligate the transferee, for the period during which the real property and structure(s) are used for the purpose for which the Federal financial assistance is extended or for another purpose involving the provision of similar services or benefits.

YES       NO, please provide an explanation in box below.

2. The owner has complied with the Fair Housing Act (42 U.S.C. 3601-19) and regulations pursuant thereto (24 CFR Part 100) which prohibit discrimination in housing on the basis of race, color, religion, sex, handicap, familial status, or national origin, and administer its programs and activities relating to housing in a manner to affirmatively further fair housing; the fair housing poster regulation (24 CFR Part 110) and advertising guidelines (24 CFR 109).

YES       NO, please provide an explanation in box below.

3. The owner has complied with Executive Order 11063 on Equal Opportunity in Housing which prohibits discrimination because of race, color, creed, national origin or sex in housing and related facilities provided with Federal financial assistance, and regulations pursuant thereto (24 CFR Part 107).

YES       NO, please provide an explanation in box below.

4. The owner has established its criteria for the selection of tenants, the applicant will not utilize preferences or priorities which are based upon the length of time the applicant has resided in the jurisdiction. The applicant shall treat nonresidents who are working or have been notified that they are hired to work in the jurisdiction as residents of the jurisdiction.

YES       NO, please provide an explanation in box below.

5. The owner has complied with Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 794) and regulations issued pursuant thereto (24 CFR Part 8) which state that no otherwise qualified individual with handicaps in the United States shall solely by reason of handicap be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving Federal financial assistance.

YES       NO, please provide an explanation in box below.

6. The owner has complied with the provisions of the Age Discrimination Act of 1975 (42 U.S.C. 6101-07) and regulations issued pursuant thereto (24 CFR Part 146) which state that no person in the United States shall, on the basis of age, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under a program or activity receiving Federal financial assistance.

YES       NO, please provide an explanation in box below.

7. The owner has complied with Executive Order 11246 and all regulations issued pursuant thereto (41 CFR Chapter 60-1) which state that no person shall be discriminated against on the basis of race, color, religion, sex or national origin in all phases of employment during the performance of Federal contracts and shall take affirmative action to ensure equal employment opportunity. The applicant will incorporate, or cause to be incorporated, into any contract for construction work, as defined in 24 CFR Part 130.5 of HUD regulations, the equal opportunity clause required by 24 CFR Part 130.15(b) of the HUD regulations.

YES       NO, please provide an explanation in box below.

8. The owner has complied with Section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and regulations pursuant thereto (24 CFR Part 135) which require that, to the greatest extent feasible, opportunities for training and employment be given lower-income persons residing within the unit of local government or metropolitan area (or non-metropolitan county) in which the project is located; and that contracts for work be awarded to business concerns which are located in, or owned in substantial part by, persons residing in the same metropolitan area (or non-metropolitan county) as the project.

YES       NO, please provide an explanation in box below.

9. The owner has complied with the Affirmative Fair Housing Marketing requirements of 24 CFR Part 200, Subpart M and the implementing regulations at 24 CFR Part 108.

YES       NO, please provide an explanation in box below.

10. The owner has complied with the requirements of Executive Orders 11625, 12432, and 12138 (Minority and Women-Owned Business Enterprises).

YES       NO, please provide an explanation in box below.

11. If the Risk Sharing loan was financed with tax exempt bonds; the owner certifies that they have appropriately filed Form 8703 with the Internal Revenue Service.

YES       NO, please provide an explanation in box below.  
 Not Applicable (Project is not financed with tax exempt bonds)

12. During the reporting period, was a physical inspection of each unit conducted to ensure that Real Estate Assessment Center (REAC) was met as required by 24 CFR Part 5 and Part 200?

YES       NO

14. The property is in compliance with the Violence Against Women Act requirements and all related implementing regulations providing protections for residents and applicants who are victims of domestic violence, dating violence, sexual assault, and/or stalking.

True       False    If "False," attach an explanation and the supporting documentation.

15. The owner certifies that the project has continued to operate in a manner consistent with the Tenant Selection Plan, Affirmative Marketing Plan, and Fair Housing law.

YES       NO, please provide an explanation in box below.

16. Has TDCS been updated for the reporting year and is all resident information true and accurate?

YES       NO, please provide an explanation in box below.