

**U.S. Department of Energy
WEATHERIZATION ASSISTANCE PROGRAM (WAP)
WEATHERIZATION ANNUAL FILE WORKSHEET**

(Grant Number: EE0007921, State: KY, Program Year: 2020)

IV.1 Subgrantees

Subgrantee (City)	Planned Funds/Units
Audubon Area Community Services, Inc (Owensboro)	\$293,626.00 27
Bell-Whitley Community Action Agency, Inc. (Pineville)	\$205,532.00 17
Big Sandy Area Community Action Program, Inc. (Paintsville)	\$271,603.00 23
Blue Grass Community Action Agency, Inc. (Frankfort)	\$360,277.00 31
Central Kentucky Community Action Council, Inc. (Lebanon)	\$338,253.00 29
Community Action Lexington-Fayette/Bourbon/Harrison/Nicholas (Lexington)	\$371,288.00 32
Daniel Boone Development Council, Inc. (Manchester)	\$282,614.00 24
Gateway Community Services Organization, Inc. (West Liberty)	\$227,555.00 19
KCEOC Barren River Area (Barbourville)	\$216,544.00 18
KCEOC Harlan (Barbourville)	\$138,881.00 11
Kentucky Communities Economic Opportunity Council, Inc. (Barbourville)	\$193,940.00 16
Kentucky River Foothills Development Council, Inc. (Richmond)	\$304,638.00 26
Lake Cumberland Community Services Organization (Jamestown)	\$315,650.00 27
Leslie, Knott, Letcher, Perry Community Action Council (Hazard)	\$238,567.00 20
Licking Valley Community Action Program, Inc. (Flemingsburg)	\$160,905.00 13
Louisville - Multi-Purpose Community Action Agency (Shelbyville)	\$349,265.00 30
Middle Kentucky River Area Development Council, Inc. (Jackson)	\$171,917.00 15
Multi-Purpose Community Action Agency, Inc. (Shelbyville)	\$182,929.00 15
Northeast Kentucky Area Development Council, Inc. (Olive Hill)	\$249,579.00 21
Northern Kentucky Community Action Commission, Inc. (Covington)	\$379,402.00 32
Pennyrile Allied Community Services, Inc. (Hopkinsville)	\$260,591.00 22
Tri-County Community Action Agency, Inc. (LaGrange)	\$149,893.00 12
West Kentucky Allied Services, Inc. (Mayfield)	\$327,241.00 28
Total:	\$5,990,690.00 508

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IV.2 WAP Production Schedule

Weatherization Plans	Units
Total Units (excluding reweatherized)	508
Reweatherized Units	0

Note: Planned units by quarter or category are no longer required, no information required for persons.

Average Unit Costs, Units subject to DOE Project Rules		
VEHICLE & EQUIPMENT AVERAGE COST PER DWELLING UNIT (DOE RULES)		
A	Total Vehicles & Equipment (\$5,000 or more) Budget	\$0.00
B	Total Units Weatherized	508
C	Total Units Reweatherized	00
D	Total Dwelling Units to be Weatherized and Reweatherized (B + C)	508
E	Average Vehicles & Equipment Acquisition Cost per Unit (A divided by D)	\$0.00
AVERAGE COST PER DWELLING UNIT (DOE RULES)		
F	Total Funds for Program Operations	\$3,894,361.00
G	Total Dwelling Units to be Weatherized and Reweatherized (from line D)	508
H	Average Program Operations Costs per Unit (F divided by G)	\$7,666.06
I	Average Vehicles & Equipment Acquisition Cost per Unit (from line E)	\$0.00
J	Total Average Cost per Dwelling (H plus I)	\$7,666.06

IV.3 Energy Savings

Method used to calculate savings: <input checked="" type="checkbox"/> WAP algorithm <input type="checkbox"/> Other (describe below)			
	Units	Savings Calculator (MBtus)	Energy Savings
This Year Estimate	508	29.3	14884
Prior Year Estimate	451	29.3	13214
Prior Year Actual	198	29.3	5801
Method used to calculate savings description:			

IV.4 DOE-Funded Leveraging Activities

No DOE funds will be diverted to leveraging activities.

IV.5 Policy Advisory Council Members

Check if an existing state council or commission serves in this category and add name below

American Electric Power	Type of organization: Utility Contact Name: Barrett Nolen Phone: 6069291696 Email: bnolen@aep.com
Atmos Energy	Type of organization: Utility Contact Name: Mark Martin Phone: 8882866700 Email: mark.martin@atmosenergy.com
Audubon Area Community Services, Inc.	Type of organization: Non-profit (not a financial institution) Contact Name: Rob Jones Phone: 2706861600

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Bluegrass Area Development District	Email: rjones@audubon-area.com Type of organization: Unit of Local Government Contact Name: Mary Crowley-Schmidt Phone: 8592698021226 Email: maryc@bgadd.org
Cabinet for Health and Family Services	Type of organization: Unit of State Government Contact Name: Vickie Bowling Phone: 50256434403683 Email: vickie.bowling@ky.gov
Center for Accessible Living	Type of organization: Non-profit (not a financial institution) Contact Name: David Allgood Phone: 5025896620 Email: dallgood@calky.org
Daniel Boone Community Action Agency Inc	Type of organization: Non-profit (not a financial institution) Contact Name: Mike Buckles Phone: 6065985127 Email: mike.buckles@danielboonecaa.org
Division of Efficiency and Conservation	Type of organization: Unit of State Government Contact Name: Lee Colten Phone: 5025647192 Email: lee.colten@ky.gov
Housing Development Alliance	Type of organization: Non-profit (not a financial institution) Contact Name: Scott McReynolds Phone: 6064360497 Email: scott@housingdevelopmentalliance.org
Housing Policy Advisory Council	Type of organization: Other Contact Name: Phone: 5025647630126 Email: wsmith@kyhousing.org
Partnership Housing, Inc.	Type of organization: Non-profit (not a financial institution) Contact Name: Cassie Hudson Phone: 6065937296 Email: cassie.hudson@ymail.com
University of Kentucky	Type of organization: Other Contact Name: Christina Espinosa Bard Phone: 8592577225 Email: ctespinosa@uky.edu

IV.6 State Plan Hearings (Note: attach notes and transcripts to the SF-424)

Date Held	Newspapers that publicized the hearings and the dates the notice ran
04/16/2019	The Public Hearing was held on Tuesday, April 16th at 2 p.m. Public notices went out through an egram system on March 28 and April 4th and was posted on the KHC website on Monday, March 25th.

IV.7 Miscellaneous

<p>Recipient Business Officer Lisa Beran lberan@kyhousing.org 502-564-7630, ext. 299</p> <p>Recipient Principal Investigator John Cora jcora@kyhousing.org 502 564 7630 ext: 399</p> <p><u>MAJOR CHANGES TO THIS PLAN:</u></p>
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- The allocation formula used last year was refreshed, based on expenditure data, thus, creating a different allocation award than last year. For example, the sub-grantees changes positions of largest to smallest awardees.
- The exception to the allocation formula this year was in T&TA. We allocated T&TA based on expenditures and crew-based versus contractor-based agencies to reduce the number or reallocations of T&TA throughout the year.
- The PAC is replaced with the Housing Policy Advisory Committee (HPAC), which consists of housing developers, advocates, and state senators/representatives. Craig Brock, executive director of Bell-Whitely Community Action and a sub-grantee, currently chairs HPAC. The group meets at least quarterly and will have more expertise and focus for the weatherization program. They will also be able to think about it with a broader housing perspective.
- Proposed in this plan is to continue re-allocating weatherization funding throughout the grant period to the current CAA network (current grantees); however, if CAAs cannot be identified to take more service delivery areas, an open application to non-profits will be offered.
- Training requirements were aligned to DOE requirements for Energy Auditor (EA). Historically, KHC offered a dwelling needs evaluator (DNE) certification, which included components to the DOE Energy Auditor Certification. KHC will pull that out of the DNE training and offer it separately as according to the DOE requirement. For persons who recently received the DNE certification the EA training requirement will be grandfathered in until certification expires.

AVERAGE COST PER UNIT:

Our average cost per unit is based on the DOE average per unit cost.

AMERICAN CUSTOMER SATISFACTION INDEX:

Following the receipt of the results of the ACSI data provided to KHC by DOE, we engaged in outreach to our sub-recipient partners to both share the results and get feedback from them on next steps. We sent the agencies the results, and we discussed them at the annual community action agency conference held in June 2019 as well as highlighting our actions in a statewide meeting in September 2019. Based on the survey results, the primary area needing improvement was related to communication, training, and the development of the state plan from KHC to sub-recipients.

Actions taken to improve communication, training, and development of the state plan

Communications

- KHC meets quarterly with a weatherization sub-committee for the Community Action of Kentucky board.
- KHC hosts two meetings each year for technical updates.
- KHC held emergency meetings with sub-grantees regarding COVID-19 and updates policies/FAQs on their help desk for sub-grantees to access.
- KHC hired a weatherization technical administrator, Johnny Bush, to work directly with sub-grantees on WAP issues in the network and troubleshoot policy and technical issues in the field. He communicates with sub-grantees on a daily basis to address issues more timely.

Training

- KHC offered three regional financial/admin trainings in March/April 2020.
- The training center continues to hold more trainings for technical crews and QCIs. They are also piloting a QCI training program with other grant funds to increase the numbers of QCIs in our state.
- KHC is scheduled to host a tract for financial/admin training in June 2020.

State Plan Development

KHC held a virtual meeting with weatherization sub-grantees in December 2019 to gather feedback on the state plan and possible changes. Sub-grantees discussed needs for vehicles in 2 - 3 years, expenditure rates, and other areas of concern. KHC opened formal commenting periods from December 2019 - January 2020.

POLICY ADVISORY COUNCIL:

The new plan replaces the PAC with the Housing Policy Advisory Committee (HPAC), which consists of housing developers, advocates, and state senators/representatives. Craig Brock, executive director of Bell-Whitely Community Action and a sub-grantee, currently chairs HPAC. The group meets at least quarterly and will have more expertise and focus for the weatherization program. They will also be able to think about it with a broader housing perspective.

REALLOCATION PROCESS:

During the term of the Weatherization operating year, Kentucky Housing Corporation may deem it appropriate to recapture and/or reallocate funds. Recapture would be pursued based on an agency's **established pattern** of slow expenditures or in the case of significant compliance violations (see Corrective Action in V.8.3). Additionally agencies that experience unexpected delays or work stoppages would have the opportunity to voluntarily surrender funds they cannot spend, without the fear of negative capacity evaluations in future funding rounds. Recapture would take place on a limited scope and should not be considered a routine or expected process each year.

Any funds that become available for reallocation will be offered first to agencies that meet the following criteria:

- Have an appropriate expenditure rate on both DOE WX and LIHEAP funds
- Have no unresolved administrative issues from desk audit, technical assistance visit or monitoring review
- Be in good standing with any reporting or draw timeliness

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- Be able to meet all commitment and expenditure deadlines

If an agency can successfully demonstrate eligibility, it may be considered for a reallocation of funds. However, if no in-network subgrantee meets the requirement and/or is interested in the new funding, KHC will do an open application with non-profit agencies operating in the state of Kentucky.