

**U.S. Department of Energy
WEATHERIZATION ASSISTANCE PROGRAM (WAP)
WEATHERIZATION ANNUAL FILE WORKSHEET**

(Grant Number: EE0007921, State: KY, Program Year: 2018)

IV.1 Subgrantees

Subgrantee (City)	Planned Funds/Units
Audubon Area Community Services, Inc (Owensboro)	\$249,534.00 23
Bell-Whitley Community Action Agency, Inc. (Pineville)	\$163,443.00 15
Big Sandy Area Community Action Program, Inc. (Paintsville)	\$155,654.00 14
Blue Grass Community Action Agency, Inc. (Frankfort)	\$179,021.00 16
Central Kentucky Community Action Council, Inc. (Lebanon)	\$265,113.00 25
Community Action Lexington-Fayette/Bourbon/Harrison/Nicholas (Lexington)	\$270,852.00 25
Community Action of Southern Kentucky (Bowling Green)	\$257,324.00 24
Daniel Boone Development Council, Inc. (Manchester)	\$202,389.00 19
Gateway Community Services Organization, Inc. (West Liberty)	\$171,232.00 16
Harlan County Community Action Agency, Inc. (Harlan)	\$116,298.00 10
Kentucky Communities Economic Opportunity Council, Inc. (Barbourville)	\$131,876.00 12
Kentucky River Foothills Development Council, Inc. (Richmond)	\$225,757.00 21
Lake Cumberland Community Services Organization (Jamestown)	\$147,864.00 13
Leslie, Knott, Letcher, Perry Community Action Council (Hazard)	\$186,811.00 17
Licking Valley Community Action Program, Inc. (Flemingsburg)	\$139,665.00 13
Louisville - Multi-Purpose Community Action Agency (Shelbyville)	\$233,956.00 22
Middle Kentucky River Area Development Council, Inc. (Jackson)	\$124,086.00 11
Multi-Purpose Community Action Agency, Inc. (Shelbyville)	\$108,508.00 10
Northeast Kentucky Area Development Council, Inc. (Olive Hill)	\$194,600.00 18
Northern Kentucky Community Action Commission, Inc. (Covington)	\$217,968.00 20
Pennyrile Allied Community Services, Inc. (Hopkinsville)	\$210,178.00 19
Tri-County Community Action Agency, Inc. (LaGrange)	\$100,719.00 9
West Kentucky Allied Services, Inc. (Mayfield)	\$241,746.00 22
Total:	\$4,294,594.00 394

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IV.2 WAP Production Schedule

Weatherization Plans	Units
Total Units (excluding reweatherized)	394
Reweatherized Units	0

Note: Planned units by quarter or category are no longer required, no information required for persons.

Average Unit Costs, Units subject to DOE Project Rules		
VEHICLE & EQUIPMENT AVERAGE COST PER DWELLING UNIT (DOE RULES)		
A	Total Vehicles & Equipment (\$5,000 or more) Budget	\$0.00
B	Total Units Weatherized	394
C	Total Units Reweatherized	00
D	Total Dwelling Units to be Weatherized and Reweatherized (B + C)	394
E	Average Vehicles & Equipment Acquisition Cost per Unit (A divided by D)	\$0.00
AVERAGE COST PER DWELLING UNIT (DOE RULES)		
F	Total Funds for Program Operations	\$2,859,137.00
G	Total Dwelling Units to be Weatherized and Reweatherized (from line D)	394
H	Average Program Operations Costs per Unit (F divided by G)	\$7,256.69
I	Average Vehicles & Equipment Acquisition Cost per Unit (from line E)	\$0.00
J	Total Average Cost per Dwelling (H plus I)	\$7,256.69

IV.3 Energy Savings

Method used to calculate savings: <input checked="" type="checkbox"/> WAP algorithm <input type="checkbox"/> Other (describe below)			
	Units	Savings Calculator (MBtus)	Energy Savings
This Year Estimate	394	29.3	11544
Prior Year Estimate	669	29.3	19602
Prior Year Actual	407	29.3	11925
Method used to calculate savings description:			

IV.4 DOE-Funded Leveraging Activities

No DOE funds will be diverted to leveraging activities.

IV.5 Policy Advisory Council Members

Check if an existing state council or commission serves in this category and add name below

American Electric Power	Type of organization: Utility Contact Name: Barrett Nolen Phone: 6069291696 Email: bnolen@aep.com
Atmos Energy	Type of organization: Utility Contact Name: Mark Martin Phone: 8882866700 Email: mark.martin@atmosenergy.com
Audubon Area Community Services, Inc.	Type of organization: Non-profit (not a financial institution) Contact Name: Rob Jones Phone: 2706861600

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Big Sandy Area Community Action Program	Email: rjones@audubon-area.com Type of organization: Non-profit (not a financial institution) Contact Name: Mike Howell Phone: 6067896364 Email: mhowell@bsacap.org
Bluegrass Area Development District	Type of organization: Unit of Local Government Contact Name: Mary Crowley-Schmidt Phone: 8592698021226 Email: maryc@bgadd.org
Center for Accessible Living	Type of organization: Non-profit (not a financial institution) Contact Name: David Allgood Phone: 5025896620 Email: dallgood@calky.org
Daniel Boone Community Action Agency Inc	Type of organization: Non-profit (not a financial institution) Contact Name: Mike Buckles Phone: 6065985127 Email: mike.buckles@danielboonecaa.org
Division of Efficiency and Conservation	Type of organization: Unit of State Government Contact Name: Lee Colten Phone: 5025647192 Email: lee.colten@ky.gov
Kentucky Rivers Foothills Development Council Inc	Type of organization: Non-profit (not a financial institution) Contact Name: Melissa Gross Phone: 8596242046 Email: mgross@foothillscap.org
Partnership Housing, Inc.	Type of organization: Non-profit (not a financial institution) Contact Name: Cale Turner Phone: 6065937296 Email: cale.turner@yahoo.com
University of Kentucky	Type of organization: Other Contact Name: Christina Espinosa Bard Phone: 8592577225 Email: ctespinosa@uky.edu

IV.6 State Plan Hearings (Note: attach notes and transcripts to the SF-424)

Date Held	Newspapers that publicized the hearings and the dates the notice ran
04/18/2018	Electronic public hearing notice was sent on March 21 and March 28, 2018 through KHC's eGram system. Copies of the Annual State Plan were made available on KHC's website and at the public hearing. The public hearing was held at the KY Housing Corp.

IV.7 Miscellaneous

<p>Recipient Business Officer Edwin King Eking@kyhousing.org 502-564-7630, ext. 299</p> <p>Recipient Principal Investigator John Cora jcora@kyhousing.org 502 564 7630 ext: 399</p> <p><u>MAJOR CHANGES TO THIS PLAN:</u></p> <ul style="list-style-type: none"> • A revised allocation formula is implemented in this plan to allow for use of updated poverty and expenditure data. The formula now in use will be much easier to update annually to account for fluctuations in program variables. • After a review of prior years' expenditures, it has been determined that the Health and Safety Average for Kentucky will be set at 15%. The previous H&S

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average was set at 20%.

- The sub-recipient for the Louisville Metro area will be Multi-Purpose Community Action Agency.

AVERAGE COST PER UNIT:

Our average cost per unit is based on a projection of LIHEAP funding to be received this fall. This average could rise or fall depending on the amount of allowed LIHEAP transfer dollars.

AMERICAN CUSTOMER SATISFACTION INDEX:

Following the receipt of the results of the ACSI data provided to KHC by DOE, we engaged in outreach to our sub-recipient partners to both share the results and get feedback from them on next steps. We sent the agencies the results and we discussed them at the annual community action agency conference held in June of 2017. Based on the survey results, the primary area needing improvement was related to communication from KHC to sub-recipients.

Actions taken to improve communication:

- All CAAs that weren't already signed up for our weekly electronic newsletter (Egram) were encouraged to sign up to receive them. In Egrams, we communicate information related to program changes, training opportunities, and funding opportunities being offered by KHC not related to the WAP.
- KHC WAP staff attended and shared updates at the December 2017 meeting of the Community Action of Kentucky board of directors.
- Prior to beginning work on our state plan for PY2018 (December 2017), we notified the public via Egram of the opportunity to provide comment on the current state plan and changes. This opportunity to comment would be in addition to the open public comment period available leading up to and during the annual public hearing.

Leading up to the public hearing, two separate public notices were sent detailing the availability of the draft PY2018 plan for review and comment.

POLICY ADVISORY COUNCIL:

While the state Weatherization Policy Advisory Council has members representing agencies that receive an allocation of Weatherization Assistance Program funds, processes exist to ensure that those members abstain from reviewing and approving activities associated with the DOE WAP.

REALLOCATION PROCESS:

During the term of the Weatherization operating year, Kentucky Housing Corporation may deem it appropriate to recapture and/or reallocate funds. Recapture would be pursued based on an agency's **established pattern** of slow expenditures or in the case of significant compliance violations (see Corrective Action in V.8.3). Additionally agencies that experience unexpected delays or work stoppages would have the opportunity to voluntarily surrender funds they cannot spend, without the fear of negative capacity evaluations in future funding rounds. Recapture would take place on a limited scope and should not be considered a routine or expected process each year.

Any funds that become available for reallocation will be offered first to agencies that meet the following criteria:

- Have an appropriate expenditure rate on both DOE WX and LIHEAP funds
- Have no unresolved administrative issues from desk audit, technical assistance visit or monitoring review
- Be in good standing with any reporting or draw timeliness
- Be able to meet all commitment and expenditure deadlines

If an agency can successfully demonstrate eligibility, it may be considered for a reallocation of funds.