

Read the following statements. If the property is in compliance with the requirement, check YES. If it is NOT in compliance, check NO. Each question **MUST** be answered, and an explanation provided for each NO answer. Do NOT answer Not Applicable for ANY question except question 14 (if applicable). **To mark a response box, double click on box and select "Default Value as Checked"**.

1. As pledged in the recorded LURA, the project leased all low-income units to households at which of the following Area Median Income (AMI) levels:

- At or below the 50 percent AMI
- At or below the 60 percent AMI
- Other (explain): _____

2. There was **no change in the applicable fraction** (percent of low-income units) of any building in the project, as defined in Section 42(c)(1)(B) of the Code.

- NO CHANGE CHANGE, please provide information in box below.

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|---|
| Previous Year's Applicable Fraction: Current Year's Applicable Fraction: |
|---|

3. The owner has received a Tenant Income Certification (TIC) from each low-income resident and documentation to support that certification at initial occupancy.

- YES NO, please provide an explanation in box below.

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4. The owner has conducted an annual certification of each low-income resident in a consistent manner.

- YES NO, please provide explanation in box below.

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OR N/A due to H.R. 3221

5. Each low-income unit in the project was rent-restricted as pledged in the recorded LURA.

- YES NO, please provide explanation in box below

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6. All units in the project were for use by the general public.

- YES NO, please provide an explanation in the box below.

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7. Each building and units therein the project was suitable for occupancy in accordance with Uniform Physical Condition Standards, taking into account local health, safety and building codes (or other habitability standards) and the state or local government unit responsible for making building code inspections did not issue a report of a violation for any building or low income unit in the project.
- YES NO

If "NO", submit a copy of the violation report and any documentation of correction.

8. There has been **no change in the number of low-income units** of any building in the project, as pledged in the recorded LURA.
- NO CHANGE CHANGE

If "CHANGE" selected, please provide a description of the change(s) (e.g., a common area has become commercial space, or a fee is now charged for the tenant facility formerly provided without charge) in the box below.

9. If a low-income unit in the project became vacant during the year, efforts to actively market the vacant unit and reasonable attempts were or are being made to make the vacant unit rent-ready within a reasonable amount of time.
- YES NO, please provide an explanation in the box below.

10. The owner has not refused to lease a unit in the project to an applicant because the applicant holds a voucher or certificate of eligibility under Section 8 of the United States Housing Act of 1937 (42 U.S.C. 1437f) and the project otherwise meets the provisions, including any special provisions, as outlined in the LURA.
- YES NO, please provide an explanation in the box below.

11. The owner certifies that there have been no eviction(s) or termination(s) of tenancy of an existing tenant of any low-income unit other than for good cause and no tenant has had an increase in the gross rent with respect to a low-income unit not otherwise permitted under Section 42 or the LURA (as prohibited under Section 42(h)(6)(E)(ii)(I) and (II)).
- YES NO, please provide an explanation in the box below.

12. No finding of discrimination under the Fair Housing Act (42 U.S.C. 3601-3619) has occurred for this project. A finding of discrimination includes an adverse final decision by the Secretary of Housing and Urban Development (24 CFR 180.680), an adverse final decision by a substantially equivalent state or local fair housing agency (42 U.S.C. 3616a[a][1]), or an adverse judgment from a federal court.
- NO FINDING FINDING

If "FINDING" checked, submit a copy of the violation report and any documentation of correction.

13. The owner certifies that the project has continued to operate in a manner consistent with the Tenant Selection Plan, Affirmative Marketing Plan, and Fair Housing law.
- YES NO, please provide an explanation in box below.

14. The owner and all primary parties to the project (Management Company) have submitted the required annual reports and fees to the Secretary of State's office in order to be considered active and in good standing.
- YES NO, please provide explanation in box below.

15. If the project provided temporary occupancy to displaced households as a result of Hurricane Katrina, the owner certifies that all provisions as required under IRS Notice 2005-69 have been met.
- YES NO

OR Not Applicable (Project did not utilize waiver)

16. All tenant-related services and amenities are being provided as pledged in the executed LURA.
- YES NO, please provide an explanation in the box below.

17. There is a nonprofit organization participating in this project and the organization is recognized by the Internal Revenue Service as a 501(c)(3) or 501(c)(4) organization and is validly existing and in good standing under the laws of the Commonwealth of Kentucky.
 YES NO

If "YES", please provide the following information:

Name of Nonprofit: _____

Nonprofit Taxpayer ID No.: _____

18. Is project adhering to the Uniform Residential Landlord and Tenant Act (URLTA)
YES NO, please provide an explanation in the box below.